Edgar Filing: TOWER AUTOMOTIVE INC - Form 4

TOWER AUTOMOTIVE INC Form 4 June 28, 2001 U.S. SECURITIES AND EXCHANGE COMMISSION Washington D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940 [] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b) 1. Name and Address of Reporting Person* Nelson Georgia (Last) (First) (Middle) 5211 Cascade Road, S.E. (Street) Grand Rapids 49546 MI (City) (State) (Zip) Issuer Name and Ticker or Trading Symbol 2. Tower Automotive, Inc. TWR 3. IRS or Social Security Number of Reporting Person (Voluntary) 4. Statement for Month/Year 6/01 5. If Amendment, Date of Original (Month/Year) 6. Relationship of Reporting Person to Issuer (Check all applicable) ____ 10% Owner _X__ Director _____ Officer (give title below) _____ Other (specify below) 7. Individual or Joint/Group Filing (Check applicable line) _X_ Form filed by One Reporting Person _ Form filed by More than One Reporting Person TABLE I - Non-Derivative Securities Acquired, Disposed of, or Benefically Owned Title of Security (Instr. 3) 1. 2. Transaction Date (Month/Day/Year)

- 3. Transaction Code (Instr. 8) Code **7
- 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)

Edgar Filing: TOWER AUTOMOTIVE INC - Form 4

Amount (A) or (D) Price 5. Amount of Securities Beneficially Owned at End of Month (Instr. 3 and 4) 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) 7. Nature of Indirect Beneficial Ownership (Instr. 4) Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly (Print or Type Responses) *If the Form is filed by more than one Reporting Person, see Instruction 4(b)(v) (over) FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of Derivative Security (Instr. 3) Deferred Stock Units 2. Conversion or Exercise Price of Derivative Security 1-for-1 Transaction Date (Month/Day/Year) 3. 6/18/01 Transaction Code (Instr. 8) 4. Code A 77 Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 5. 4, and 5) (A) 10,090.817 (D) 6. Date Exercisable and Expiration Date (Month/Day/Year) Date Exercisable (1) Expiration Date (1) Title and Amount of Underlying Securities (Instr. 3 and 4) 7. Title Common Stock Amount or Number of Shares 10,090.817 8. Price of Derivative Security (Instr. 5) \$9.91 9 Number of Derivative Securities Beneficially Owned at End of Month (Instr. 4) 10,090.817 10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4) (D)

Edgar Filing: TOWER AUTOMOTIVE INC - Form 4

11. Nature of Indirect Beneficial Ownership (Instr. 4)

Explanation of Responses:
(1) Deferred Stock Units were accrued under the Company's Director Deferred
Stock Purchase Plan. 2,522.704 shares are subject to forfeiture until the third
anniversary of the transaction date.

/s/ Michael G. Wooldridge 6/27/01
**Signature of Reporting Person Date
Michael G. Wooldridge for
Georgia Nelson by Power of Attorney

**Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.