Edgar Filing: PRUDENTIAL BANCORP, INC. - Form 4

PRUDENTIAL BANCORP, INC. Form 4 December 15, 2015 **OMB APPROVAL** FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Balka Jerome R Issuer Symbol PRUDENTIAL BANCORP, INC. (Check all applicable) [PBIP] (Last) (First) (Middle) 3. Date of Earliest Transaction _X__ Director 10% Owner Other (specify Officer (give title (Month/Day/Year) below) below) C/O PRUDENTIAL BANCORP. 12/11/2015 INC., 1834 WEST OREGON **AVENUE** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting PHILADELPHIA, PA 19145 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 7. Nature of 6. Transaction(A) or Disposed of (D) Indirect Beneficial Security (Month/Day/Year) Execution Date, if Securities Ownership (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Ownership anv (Month/Day/Year) (Instr. 8) Owned Direct (D) (Instr. 4) Following or Indirect Reported (\mathbf{I}) (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V Amount (D) Price Common \$ 12/11/2015 28,375 D Μ 4.000A 11 84 Stock Common 12/11/2015 S 4.000 D 24,375 (1) D 14.81 Stock Common By 401(K) 1,888 Ι Stock Plan Common By IRA 4,721 Ι Stock 472 I

Edgar Filing: PRUDENTIAL BANCORP, INC. - Form 4

Common Stock						Th	Danielle omas wocable ust	
Common Stock				2	4,721 I	•	⁷ Balka andchildren ust	
Common Stock				(66 I	•	Estate of Elen Klara	
Reminder: Report on a se	Table II - Deriv	ass of securities benef vative Securities Acq puts, calls, warrants	Person inform require displa numbe juired, Disj	ns who respon nation contain ed to respon ys a currentl er. posed of, or Be	ond to the collec ned in this form d unless the form y valid OMB con eneficially Owned	are not m	SEC 1474 (9-02)	
1. Title of Derivative2.Derivative SecurityConversion or Exercise(Instr. 3)Price of Derivative Security	3. Transaction Date (Month/Day/Year)		4.	5. Number onof Derivative Securities	6. Date Exercisable and 7. Title and Amo		Securities	
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option \$ 11.84	12/11/2015		М	4,000	01/05/2010(2)	01/05/2019	Common Stock	4,000
Stock Option \$ 12.23					02/18/2016(3)	02/18/2025	5 Common Stock	30,000
Reporting C)wners		lationshins					

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Balka Jerome R C/O PRUDENTIAL BANCORP, INC. 1834 WEST OREGON AVENUE PHILADELPHIA, PA 19145	Х					

Signatures

/s/Jack E. Rothkopf A.I.F. for Jerome R.

Balka

<u>**</u>Signature of Reporting Person

12/15/2015 Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 10,000 shares granted pursuant to the 2014 Stock Incentive Plan that vest 20% per year commencing on February 18, 2016.
- (2) The options vested at a rate of 20% per year commencing on January 5, 2010.
- (3) The options vest at the rate of 20% per year commencing on February 18, 2016

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.