Edgar Filing: FS Bancorp, Inc. - Form 4

FS Bancorp, Form 4	Inc.										
May 12, 201	_								OMB A	PPROVAL	
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								OMB Number:	3235-0287		
	Check this box								Expires:	January 31, 2005	
subject to Section 16. Form 4 or				GES IN I SECUR		CIAI	LOW	NERSHIP OF	Estimated a burden hou response	d average ours per	
Form 5 obligation may cont <i>See</i> Instru 1(b).	ns inue. Section	17(a) of the		ility Hold	ing Com	pany	Act of	e Act of 1934, f 1935 or Sectio 40	·		
(Print or Type F	Responses)										
1. Name and Address of Reporting Person <u>*</u> Mullet Matthew D.			Symbol	2. Issuer Name and Ticker or Trading Symbol FS Bancorp, Inc. [FSBW]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last)	(First)	(Middle)	3. Date of	3. Date of Earliest Transaction					ek an application	-)	
	NCORP, INC. EET SW, SUI		(Month/D 05/08/20	•				Director X Officer (give below) Chief		o Owner er (specify er	
MOUNTLA	(Street)			ndment, Dat th/Day/Year)	-			6. Individual or J Applicable Line) _X_ Form filed by Form filed by M Person		erson	
TERRACE,	WA 98043							1 cloch			
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	ecurit	ties Acq	uired, Disposed o	f, or Beneficial	lly Owned	
(Instr. 3) any		emed ion Date, if n/Day/Year)	n Date, if Transaction(A) or Disposed of Code (D) Day/Year) (Instr. 8) (Instr. 3, 4 and 5) (A) or			l of 5)	SecuritiesForm: DirectBeneficially(D) orOwnedIndirect (I)Following(Instr. 4)ReportedTransaction(s)(Instr. 3 and 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common	05/00/0014			Code V	Amount	(D)	Price	10.000	Ŧ	Stock	
Stock	05/08/2014			А	18,000	А	<u>(1)</u>	18,000	Ι	award	
Common Stock								10,782	Ι	By IRA	
Common Stock								3,218	D		
Common Stock								2,800	I	By spouse's	

Stock

ĪRA

Edgar Filing: FS Bancorp, Inc. - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount o Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
Stock Option (Right to Buy)	\$ 16.89	05/08/2014		A	17,500	05/08/2015 <u>(2)</u>	05/08/2024	Common Stock	17,500

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Mullet Matthew D. C/O FS BANCORP, INC. 6920 220TH STREET SW, SUITE 300 MOUNTLAKE TERRACE, WA 98043			Chief Financial Officer			
Signaturaa						

Signatures

N C 6 N

/s/ Matthew D. 05/07/2014 Mullet

<u>**</u>Signature of Reporting Person Date

n

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents award of restricted stock under the FS Bancorp, Inc. 2013 Equity Incentive Plan. Vests in equal installments of 20% per year beginning on May 8, 2015.
- (2) Stock options awarded pursuant to the FS Bancorp, Inc. 2013 Equity Incentive Plan. Vests in equal installments of 20% per year beginning on May 8, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Edgar Filing: FS Bancorp, Inc. - Form 4

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.