

Edgar Filing: GDL FUND - Form NSAR-B

GDL FUND  
Form NSAR-B  
March 01, 2013

PAGE 1  
000 B000000 12/31/2012  
000 C000000 0001378701  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 THE GDL FUND  
001 B000000 811-21969  
001 C000000 9149215100  
002 A000000 ONE CORPORATE CENTER  
002 B000000 RYE  
002 C000000 NY  
002 D010000 10580  
002 D020000 1422  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
007 C010100 1  
008 A000001 GABELLI FUNDS, LLC  
008 B000001 A  
008 C000001 801-37706  
008 D010001 RYE  
008 D020001 NY  
008 D030001 10580  
008 D040001 1422  
010 A000001 GABELLI FUNDS, LLC  
010 B000001 801-37706  
010 C010001 RYE  
010 C020001 NY  
010 C030001 10580  
010 C040001 1422  
010 A000002 BNY MELLON INVESTMENT SERVICING (US) INC.  
010 B000002 84-01761  
010 C010002 WILMINGTON  
010 C020002 DE  
010 C030002 19809  
012 A000001 AMERICAN STOCK TRANSFER & TRUST COMPANY  
012 B000001 84-00416  
012 C010001 NEW YORK  
012 C020001 NY  
012 C030001 10038  
013 A000001 ERNST & YOUNG LLP  
013 B010001 NEW YORK  
013 B020001 NY  
013 B030001 10036  
PAGE 2  
014 A000001 GABELLI & COMPANY, INC.  
014 B000001 8-21373  
014 A000002 G.DISTRIBUTORS, LLC  
014 B000002 8-68697  
015 A000001 THE BANK OF NEW YORK MELLON  
015 B000001 C

Edgar Filing: GDL FUND - Form NSAR-B

015 C010001 EVERETT  
015 C020001 MA  
015 C030001 02149  
015 E010001 X  
018 000000 Y  
019 A000000 Y  
019 B000000 31  
019 C000000 GABELLIGRP  
020 A000001 GABELLI & COMPANY, INC.  
020 B000001 13-2885006  
020 C000001 269  
020 A000002 BNY CONVERGEX EXECUTION SOLUTIONS LLC  
020 B000002 13-3989198  
020 C000002 63  
020 A000003 GUGGENHEIM INVESTOR SERVICES, LLC  
020 B000003 30-0003311  
020 C000003 40  
020 A000004 BMO NESBITT BURNS SECURITIES LTD.  
020 B000004 10-3854261  
020 C000004 26  
020 A000005 GOLDMAN, SACHS & CO.  
020 B000005 13-5108880  
020 C000005 25  
020 A000006 MKM PARTNERS LLC  
020 B000006 13-4171600  
020 C000006 21  
020 A000007 GMP SECURITIES LP  
020 C000007 17  
020 A000008 ITG, INC.  
020 B000008 95-4339369  
020 C000008 15  
020 A000009 RBC CAPITAL MARKETS CORP.  
020 B000009 41-1228350  
020 C000009 15  
020 A000010 STIFEL, NICOLAUS & CO., INC.  
020 B000010 43-0538770  
020 C000010 14  
021 000000 548  
022 A000001 BARCLAYS CAPITAL, INC.  
022 B000001 06-1031656  
022 C000001 810685  
022 D000001 628594  
022 A000002 GOLDMAN, SACHS & CO.  
022 B000002 13-5108880  
022 C000002 33386  
PAGE 3  
022 D000002 17088  
022 A000003 DAIWA SECURITIES AMERICA, INC.  
022 B000003 13-5680329  
022 C000003 47964  
022 D000003 1845  
022 A000004 LINCARE HOLDINGS, INC.  
022 B000004 51-0331330  
022 C000004 0  
022 D000004 28925  
022 A000005 PHARMASSET, INC  
022 B000005 98-0406340  
022 C000005 0  
022 D000005 23975  
022 A000006 HUMAN GENOME SCIENCES INC.  
022 B000006 22-3178468  
022 C000006 0

Edgar Filing: GDL FUND - Form NSAR-B

022	D000006	23632
022	A000007	SUCCESSFACTORS, INC
022	B000007	94-3398453
022	C000007	0
022	D000007	23000
022	A000008	ZOLL MEDICAL CORP.
022	B000008	04-2711626
022	C000008	0
022	D000008	18600
022	A000009	SONOSITE INC.
022	B000009	911-405022
022	C000009	0
022	D000009	18171
022	A000010	STATOIL FUEL & RETAIL ASA/ADR
022	C000010	0
022	D000010	13057
023	C000000	892035
023	D000000	958102
024	000000	N
026	A000000	N
026	B000000	Y
026	C000000	N
026	D000000	Y
026	E000000	N
026	F000000	Y
026	G010000	N
026	G020000	N
026	H000000	N
027	000000	N
028	A010000	0
028	A020000	0
028	A030000	0
028	A040000	0
028	B010000	0
028	B020000	0
	PAGE	4
028	B030000	0
028	B040000	0
028	C010000	0
028	C020000	0
028	C030000	0
028	C040000	0
028	D010000	0
028	D020000	0
028	D030000	0
028	D040000	0
028	E010000	0
028	E020000	0
028	E030000	0
028	E040000	0
028	F010000	0
028	F020000	0
028	F030000	0
028	F040000	0
028	G010000	0
028	G020000	0
028	G030000	0
028	G040000	0
028	H000000	0
030	A000000	0
030	B000000	0.00
030	C000000	0.00

Edgar Filing: GDL FUND - Form NSAR-B

031	A000000	0
031	B000000	0
032	000000	0
033	000000	0
035	000000	0
036	B000000	0
038	000000	0
042	A000000	0
042	B000000	0
042	C000000	0
042	D000000	0
042	E000000	0
042	F000000	0
042	G000000	0
042	H000000	0
043	000000	0
044	000000	0
045	000000	Y
046	000000	N
047	000000	Y
048	000000	0.500
048	A010000	0
048	A020000	0.000
048	B010000	0
048	B020000	0.000
	PAGE	5
048	C010000	0
048	C020000	0.000
048	D010000	0
048	D020000	0.000
048	E010000	0
048	E020000	0.000
048	F010000	0
048	F020000	0.000
048	G010000	0
048	G020000	0.000
048	H010000	0
048	H020000	0.000
048	I010000	0
048	I020000	0.000
048	J010000	0
048	J020000	0.000
048	K010000	0
048	K020000	0.000
049	000000	N
050	000000	N
051	000000	Y
052	000000	N
053	A000000	N
054	A000000	Y
054	B000000	Y
054	C000000	Y
054	D000000	N
054	E000000	N
054	F000000	N
054	G000000	N
054	H000000	N
054	I000000	N
054	J000000	Y
054	K000000	N
054	L000000	N
054	M000000	Y

Edgar Filing: GDL FUND - Form NSAR-B

054 N000000 N  
054 O000000 N  
055 A000000 N  
055 B000000 N  
056 000000 Y  
057 000000 N  
058 A000000 N  
059 000000 Y  
060 A000000 N  
060 B000000 N  
061 000000 0  
062 A000000 N  
062 B000000 0.0  
062 C000000 0.0  
062 D000000 0.0  
PAGE 6  
062 E000000 0.0  
062 F000000 0.0  
062 G000000 0.0  
062 H000000 0.0  
062 I000000 0.0  
062 J000000 0.0  
062 K000000 0.0  
062 L000000 0.0  
062 M000000 0.0  
062 N000000 0.0  
062 O000000 0.0  
062 P000000 0.0  
062 Q000000 0.0  
062 R000000 0.0  
063 A000000 0  
063 B000000 0.0  
066 A000000 Y  
066 B000000 N  
066 C000000 N  
066 D000000 N  
066 E000000 N  
066 F000000 N  
066 G000000 Y  
067 000000 N  
068 A000000 N  
068 B000000 N  
069 000000 N  
070 A010000 Y  
070 A020000 N  
070 B010000 Y  
070 B020000 N  
070 C010000 Y  
070 C020000 N  
070 D010000 Y  
070 D020000 N  
070 E010000 Y  
070 E020000 N  
070 F010000 Y  
070 F020000 N  
070 G010000 Y  
070 G020000 N  
070 H010000 Y  
070 H020000 N  
070 I010000 Y  
070 I020000 N  
070 J010000 Y

Edgar Filing: GDL FUND - Form NSAR-B

070 J020000 Y  
 070 K010000 Y  
 070 K020000 N  
 070 L010000 Y  
 070 L020000 Y  
     PAGE 7  
 070 M010000 Y  
 070 M020000 Y  
 070 N010000 Y  
 070 N020000 N  
 070 O010000 N  
 070 O020000 N  
 070 P010000 Y  
 070 P020000 N  
 070 Q010000 N  
 070 Q020000 N  
 070 R010000 Y  
 070 R020000 Y  
 071 A000000 917372  
 071 B000000 1023499  
 071 C000000 273642  
 071 D000000 335  
 072 A000000 12  
 072 B000000 349  
 072 C000000 3500  
 072 D000000 0  
 072 E000000 0  
 072 F000000 6811  
 072 G000000 0  
 072 H000000 147  
 072 I000000 21  
 072 J000000 54  
 072 K000000 0  
 072 L000000 145  
 072 M000000 152  
 072 N000000 0  
 072 O000000 0  
 072 P000000 5692  
 072 Q000000 45  
 072 R000000 22  
 072 S000000 0  
 072 T000000 0  
 072 U000000 0  
 072 V000000 0  
 072 W000000 427  
 072 X000000 13516  
 072 Y000000 0  
 072 Z000000 -9667  
 072AA000000 11944  
 072BB000000 0  
 072CC010000 10273  
 072CC020000 0  
 072DD010000 1730  
 072DD020000 0  
 072EE000000 0  
 073 A010000 0.0800  
 073 A020000 0.0000  
     PAGE 8  
 073 B000000 0.0000  
 073 C000000 1.2000  
 074 A000000 9200  
 074 B000000 0

Edgar Filing: GDL FUND - Form NSAR-B

074	C000000	235500
074	D000000	4586
074	E000000	0
074	F000000	168283
074	G000000	0
074	H000000	0
074	I000000	330
074	J000000	16256
074	K000000	0
074	L000000	276
074	M000000	549
074	N000000	434980
074	O000000	2245
074	P000000	4879
074	Q000000	0
074	R010000	0
074	R020000	4722
074	R030000	0
074	R040000	144166
074	S000000	0
074	T000000	278968
074	U010000	21046
074	U020000	0
074	V010000	13.26
074	V020000	0.00
074	W000000	0.0000
074	X000000	12853
074	Y000000	38781
075	A000000	0
075	B000000	433263
076	000000	11.42
077	A000000	Y
077	B000000	Y
077	C000000	N
077	D000000	N
077	E000000	N
077	F000000	N
077	G000000	N
077	H000000	N
077	I000000	N
077	J000000	N
077	K000000	N
077	L000000	N
077	M000000	N
077	N000000	N
077	O000000	N
077	P000000	N
	PAGE	9
077	Q010000	N
077	Q020000	N
077	Q030000	N
078	000000	N
080	A000000	TRAVELERS-ST.PAUL FIRE AND MARINE INSURANCE
080	B000000	GREAT AMERICAN INSURANCE CO.
080	C000000	27800
081	A000000	Y
081	B000000	36
082	A000000	N
082	B000000	0
083	A000000	N
083	B000000	0
084	A000000	N

Edgar Filing: GDL FUND - Form NSAR-B

084	B000000	0
085	A000000	Y
085	B000000	N
086	A010000	0
086	A020000	0
086	B010000	33
086	B020000	404
086	C010000	0
086	C020000	0
086	D010000	0
086	D020000	0
086	E010000	0
086	E020000	0
086	F010000	0
086	F020000	0
087	A010000	COMMON STOCK
087	A020000	361570104
087	A030000	GDL
087	B010000	SERIES B CUMULATIVE PREFERRED SHARES
087	B020000	361570302
087	B030000	GDL PR B
088	A000000	N
088	B000000	N
088	C000000	N
088	D000000	Y
SIGNATURE	SCOTT R. FUCHS	
TITLE	ASSISTANT TREASURER	