

Edgar Filing: GDL FUND - Form NSAR-A

GDL FUND  
Form NSAR-A  
August 25, 2017

PAGE 1  
000 A000000 06/30/2017  
000 C000000 0001378701  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 THE GDL FUND  
001 B000000 811-21969  
001 C000000 9149215100  
002 A000000 ONE CORPORATE CENTER  
002 B000000 RYE  
002 C000000 NY  
002 D010000 10580  
002 D020000 1422  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
007 C010100 1  
008 A000001 GABELLI FUNDS, LLC  
008 B000001 A  
008 C000001 801-37706  
008 D010001 RYE  
008 D020001 NY  
008 D030001 10580  
008 D040001 1422  
010 A000001 GABELLI FUNDS, LLC  
010 B000001 801-37706  
010 C010001 RYE  
010 C020001 NY  
010 C030001 10580  
010 C040001 1422  
010 A000002 BNY MELLON INVESTMENT SERVICING (US) INC.  
010 B000002 84-01761  
010 C010002 WILMINGTON  
010 C020002 DE  
010 C030002 19809  
012 A000001 AMERICAN STOCK TRANSFER & TRUST COMPANY  
012 B000001 84-00416  
012 C010001 NEW YORK  
012 C020001 NY  
012 C030001 10038  
013 A000001 ERNST & YOUNG LLP  
013 B010001 NEW YORK  
013 B020001 NY  
013 B030001 10036  
PAGE 2  
014 A000001 G.RESEARCH, LLC  
014 B000001 8-21373  
014 A000002 G.DISTRIBUTORS, LLC  
014 B000002 8-68697  
015 A000001 THE BANK OF NEW YORK MELLON  
015 B000001 C

Edgar Filing: GDL FUND - Form NSAR-A

015 C010001 EVERETT  
015 C020001 MA  
015 C030001 02149  
015 E010001 X  
018 000000 Y  
019 A000000 Y  
019 B000000 39  
019 C000000 GABELLIGRP  
020 A000001 G.RESEARCH, LLC  
020 B000001 13-2885006  
020 C000001 133  
020 A000002 BGC FINANCIAL L.P.  
020 B000002 30-0563735  
020 C000002 14  
020 A000003 WEEDEN & CO. LP  
020 B000003 13-3364318  
020 C000003 12  
020 A000004 FBN SECURITIES, INC.  
020 B000004 13-3179514  
020 C000004 9  
020 A000005 NOMURA SECURITIES INTERNATIONAL, INC.  
020 B000005 13-2642206  
020 C000005 4  
020 A000006 CONVERGEX EXECUTION SOLUTIONS LLC  
020 B000006 13-3989198  
020 C000006 4  
020 A000007 GMP SECURITIES LLC  
020 B000007 13-4036836  
020 C000007 3  
020 A000008 BMO NESBITT BURNS SECURITIES LTD.  
020 B000008 10-3854261  
020 C000008 3  
020 A000009 LADENBURG THALMANN CO., INC.  
020 B000009 13-2700032  
020 C000009 3  
020 A000010 MKM PARTNERS LLC  
020 B000010 13-4172253  
020 C000010 2  
021 000000 196  
022 A000001 BARCLAYS CAPITAL, INC.  
022 B000001 06-1031656  
022 C000001 86051  
022 D000001 148282  
022 A000002 BMO CAPITAL MARKETS CORP.  
022 B000002 13-3459853  
PAGE 3  
022 C000002 85362  
022 D000002 36080  
022 A000003 THE EMPIRE DISTRICT ELECTRIC CO.  
022 C000003 0  
022 D000003 100432  
022 A000004 NOMURA SECURITIES INTERNATIONAL, INC.  
022 B000004 13-2642206  
022 C000004 53535  
022 D000004 43151  
022 A000005 DAIWA SECURITIES AMERICA, INC.  
022 B000005 13-5680329  
022 C000005 81669  
022 D000005 0  
022 A000006 CLARCOR, INC.  
022 B000006 36-0922490  
022 C000006 0

Edgar Filing: GDL FUND - Form NSAR-A

022	D000006	62748
022	A000007	VALSPAR CORP.
022	B000007	36-2443580
022	C000007	0
022	D000007	45200
022	A000008	COLUMBIA PIPELINE GROUP INC.
022	B000008	47-1982552
022	C000008	0
022	D000008	41563
022	A000009	VASCULAR SOLUTIONS INC.
022	C000009	0
022	D000009	40826
022	A000010	HARMAN INTERNATIONAL INDUSTRIES, INC.
022	B000010	11-2534306
022	C000010	0
022	D000010	33600
023	C000000	306617
023	D000000	1155358
024	000000	N
026	A000000	N
026	B000000	Y
026	C000000	N
026	D000000	Y
026	E000000	N
026	F000000	Y
026	G010000	Y
026	G020000	N
026	H000000	N
027	000000	N
028	A010000	0
028	A020000	0
028	A030000	0
028	A040000	0
028	B010000	0
028	B020000	0
	PAGE	4
028	B030000	0
028	B040000	0
028	C010000	0
028	C020000	0
028	C030000	0
028	C040000	0
028	D010000	0
028	D020000	0
028	D030000	0
028	D040000	0
028	E010000	0
028	E020000	0
028	E030000	0
028	E040000	0
028	F010000	0
028	F020000	0
028	F030000	0
028	F040000	0
028	G010000	0
028	G020000	0
028	G030000	0
028	G040000	0
028	H000000	0
030	A000000	0
030	B000000	0.00
030	C000000	0.00

Edgar Filing: GDL FUND - Form NSAR-A

031	A000000	0
031	B000000	0
032	000000	0
033	000000	0
035	000000	0
036	B000000	0
038	000000	0
042	A000000	0
042	B000000	0
042	C000000	0
042	D000000	0
042	E000000	0
042	F000000	0
042	G000000	0
042	H000000	0
043	000000	0
044	000000	0
045	000000	Y
046	000000	N
047	000000	Y
048	000000	0.500
048	A010000	0
048	A020000	0.000
048	B010000	0
048	B020000	0.000
	PAGE	5
048	C010000	0
048	C020000	0.000
048	D010000	0
048	D020000	0.000
048	E010000	0
048	E020000	0.000
048	F010000	0
048	F020000	0.000
048	G010000	0
048	G020000	0.000
048	H010000	0
048	H020000	0.000
048	I010000	0
048	I020000	0.000
048	J010000	0
048	J020000	0.000
048	K010000	0
048	K020000	0.000
049	000000	N
050	000000	N
051	000000	Y
052	000000	N
053	A000000	N
054	A000000	Y
054	B000000	Y
054	C000000	Y
054	D000000	N
054	E000000	N
054	F000000	N
054	G000000	N
054	H000000	N
054	I000000	N
054	J000000	Y
054	K000000	N
054	L000000	N
054	M000000	Y

Edgar Filing: GDL FUND - Form NSAR-A

054 N000000 N  
054 O000000 N  
055 A000000 Y  
055 B000000 N  
056 000000 Y  
057 000000 N  
058 A000000 N  
059 000000 Y  
060 A000000 Y  
060 B000000 Y  
061 000000 0  
062 A000000 N  
062 B000000 0.0  
062 C000000 0.0  
062 D000000 0.0  
PAGE 6  
062 E000000 0.0  
062 F000000 0.0  
062 G000000 0.0  
062 H000000 0.0  
062 I000000 0.0  
062 J000000 0.0  
062 K000000 0.0  
062 L000000 0.0  
062 M000000 0.0  
062 N000000 0.0  
062 O000000 0.0  
062 P000000 0.0  
062 Q000000 0.0  
062 R000000 0.0  
063 A000000 0  
063 B000000 0.0  
066 A000000 Y  
066 B000000 N  
066 C000000 N  
066 D000000 N  
066 E000000 N  
066 F000000 N  
066 G000000 Y  
067 000000 N  
068 A000000 N  
068 B000000 N  
069 000000 N  
070 A010000 Y  
070 A020000 N  
070 B010000 Y  
070 B020000 N  
070 C010000 Y  
070 C020000 N  
070 D010000 Y  
070 D020000 N  
070 E010000 Y  
070 E020000 N  
070 F010000 Y  
070 F020000 N  
070 G010000 Y  
070 G020000 N  
070 H010000 Y  
070 H020000 N  
070 I010000 Y  
070 I020000 N  
070 J010000 Y

Edgar Filing: GDL FUND - Form NSAR-A

070 J020000 N  
 070 K010000 Y  
 070 K020000 Y  
 070 L010000 Y  
 070 L020000 Y  
     PAGE 7  
 070 M010000 Y  
 070 M020000 Y  
 070 N010000 Y  
 070 N020000 N  
 070 O010000 N  
 070 O020000 N  
 070 P010000 Y  
 070 P020000 N  
 070 Q010000 N  
 070 Q020000 N  
 070 R010000 Y  
 070 R020000 Y  
 071 A000000 274842  
 071 B000000 327237  
 071 C000000 218017  
 071 D000000 126  
 072 A000000 6  
 072 B000000 467  
 072 C000000 1552  
 072 D000000 0  
 072 E000000 0  
 072 F000000 1401  
 072 G000000 0  
 072 H000000 70  
 072 I000000 11  
 072 J000000 25  
 072 K000000 0  
 072 L000000 45  
 072 M000000 68  
 072 N000000 0  
 072 O000000 0  
 072 P000000 3  
 072 Q000000 23  
 072 R000000 14  
 072 S000000 3  
 072 T000000 0  
 072 U000000 0  
 072 V000000 0  
 072 W000000 2056  
 072 X000000 3719  
 072 Y000000 15  
 072 Z000000 -1685  
 072AA000000 1098  
 072BB000000 0  
 072CC010000 3126  
 072CC020000 0  
 072DD010000 0  
 072DD020000 0  
 072EE000000 0  
 073 A010000 0.0000  
 073 A020000 0.0000  
     PAGE 8  
 073 B000000 0.0000  
 073 C000000 0.3200  
 074 A000000 1  
 074 B000000 0

Edgar Filing: GDL FUND - Form NSAR-A

074	C000000	147718
074	D000000	7
074	E000000	0
074	F000000	197570
074	G000000	0
074	H000000	0
074	I000000	3398
074	J000000	106
074	K000000	0
074	L000000	3510
074	M000000	155
074	N000000	352465
074	O000000	6509
074	P000000	753
074	Q000000	0
074	R010000	0
074	R020000	3213
074	R030000	0
074	R040000	131585
074	S000000	0
074	T000000	210405
074	U010000	17932
074	U020000	0
074	V010000	11.73
074	V020000	0.00
074	W000000	0.0000
074	X000000	7473
074	Y000000	47250
075	A000000	0
075	B000000	344906
076	000000	10.26
077	A000000	Y
077	B000000	N
077	C000000	Y
077	D000000	N
077	E000000	N
077	F000000	N
077	G000000	N
077	H000000	N
077	I000000	N
077	J000000	N
077	K000000	N
077	L000000	N
077	M000000	N
077	N000000	N
077	O000000	N
077	P000000	N
	PAGE	9
077	Q010000	N
077	Q020000	N
077	Q030000	N
078	000000	N
080	C000000	0
081	B000000	0
082	B000000	0
083	B000000	0
084	B000000	0
086	A010000	0
086	A020000	0
086	B010000	316
086	B020000	3149
086	C010000	0

Edgar Filing: GDL FUND - Form NSAR-A

086	C020000	0
086	D010000	0
086	D020000	0
086	E010000	0
086	E020000	0
086	F010000	0
086	F020000	0
087	A010000	COMMON STOCK
087	A020000	361570104
087	A030000	GDL
087	B010000	SERIES B CUMULATIVE PREFERRED SHARES
087	B020000	361570302
087	B030000	GDL PR B
088	A000000	N
088	B000000	N
088	C000000	N
088	D000000	Y
SIGNATURE	SCOTT R. FUCHS	
TITLE	ASSISTANT TREASURER	