#### Edgar Filing: INDIA FUND INC - Form 3

INDIA FUND INC Form 3

September 02, 2014

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person \*

(Last) (First) (Middle)

2. Date of Event Requiring
Statement (Month/Day/Year)

3. Issuer Name and Ticker or Trading Symbol
INDIA FUND INC [IFN]

4. Relationship of Reporting
Person(s) to Issuer

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Person(s) to Issuer

Filed(Month/Day/Year)

1735 MARKET ST., 32ND FLOOR (Check all applicable)

(Check all applicable)

\_\_\_\_\_ Director \_\_\_\_\_ 10% Owner \_\_\_\_\_ Officer \_\_\_\_ Other (give title below) (specify below)

Vice President

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person

\_\_ Form filed by More than One

Reporting Person

PHILADELPHIA, PAÂ 19103

(Street)

(City) (State) (Zip)

**Table I - Non-Derivative Securities Beneficially Owned** 

1.Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned (Instr. 4)

Ownership Form: Direct (D) or Indirect (I) 4. Nature of Indirect Beneficial

Ownership (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

(Instr. 5)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security 2. Date Exercisable and 3. Title and Amount of 4. 6. Nature of Indirect (Instr. 4) **Expiration Date** Securities Underlying Conversion Ownership Beneficial Ownership (Month/Day/Year) Form of Derivative Security or Exercise (Instr. 5) Derivative (Instr. 4) Price of Security: Derivative Date **Expiration Title** Amount or Security Direct (D) Number of Exercisable Date or Indirect Shares (I)

(Instr. 5)

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

HENDRY BEVERLEY

1735 MARKET ST., 32ND FLOOR Â Â Â Vice President Â

PHILADELPHIA, PAÂ 19103

### **Signatures**

Lucia Sitar as attorney in fact 09/02/2014

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

No securities are beneficially owned

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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