

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-A

TRI-CONTINENTAL CORP

Form NSAR-A

August 27, 2010

PAGE 1
000 A000000 06/30/2010
000 C000000 0000099614
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 TRI-CONTINENTAL CORPORATION
001 B000000 811-00266
001 C000000 6126715682
002 A000000 50606 AMERIPRISE FINANCIAL CENTER
002 B000000 MINNEAPOLIS
002 C000000 MN
002 D010000 55474
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
007 C010200 2
007 C010300 3
007 C010400 4
007 C010500 5
007 C010600 6
007 C010700 7
007 C010800 8
007 C010900 9
007 C011000 10
007 C011100 11
007 C011200 12
007 C011300 13
007 C011400 14
007 C011500 15
007 C011600 16
007 C011700 17
007 C011800 18
007 C011900 19
007 C012000 20
007 C012100 21
007 C012200 22
007 C012300 23
007 C012400 24
007 C012500 25
007 C012600 26
007 C012700 27
007 C012800 28
007 C012900 29
PAGE 2
007 C013000 30
007 C013100 31
007 C013200 32
007 C013300 33
007 C013400 34
007 C013500 35

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-A

007 C013600 36
007 C013700 37
007 C013800 38
007 C013900 39
007 C014000 40
008 A000001 COLUMBIA MANAGEMENT INVESTMENT ADVISERS, LLC
008 B000001 A
008 C000001 801-25943
008 D010001 MINNEAPOLIS
008 D020001 MN
008 D030001 55474
010 A000001 AMERIPRISE FINANCIAL, INC.
010 C010001 MINNEAPOLIS
010 C020001 MN
010 C030001 55474
012 A000001 COLUMBIA MANAGEMENT INVESTMENT SERVICES CORP.
012 B000001 84-5685
012 C010001 MINNEAPOLIS
012 C020001 MN
012 C030001 55474
013 A000001 ERNST & YOUNG LLP
013 B010001 MINNEAPOLIS
013 B020001 MN
013 B030001 55402
014 A00AA01 COLUMBIA MGMT INVESTMENT DISTRIBUTORS, INC.
014 B00AA01 8-25845
014 A00AA02 AMERICAN ENTERPRISE INVESTMENT SERVICES INC.
014 B00AA02 8-42582
014 A00AA03 SECURITIES AMERICA, INC.
014 B00AA03 8-26602
014 A00AA04 AMERIPRISE FINANCIAL SERVICES, INC.
014 B00AA04 8-16791
014 A00AA05 RIVERSOURCE DISTRIBUTORS, INC.
014 B00AA05 8-67196
015 A000001 JPMORGAN CHASE BANK, N.A.
015 B000001 C
015 C010001 NEW YORK
015 C020001 NY
015 C030001 10005
015 E010001 X
015 A000002 JPMORGAN CHASE BANK, N.A
015 B000002 S
015 C010002 NEW YORK
015 C020002 NY
015 C030002 10005
015 E040002 X
018 000000 Y
019 A000000 Y
019 B000000 278
019 C000000 COLUMBIAFD
020 A000001 UBS SECURITIES LLC
020 B000001 13-3873456
020 C000001 70
020 A000002 REDI INVESTMENTS, LLC
020 B000002 13-4125743
PAGE 3
020 C000002 65
020 A000003 INTERNATIONAL STRATEGY & INVESTMENT GROUP INC
020 B000003 13-3599877
020 C000003 46
020 A000004 WEEDEN & CO. L.P.
020 B000004 13-3364318

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-A

020 C000004 32
020 A000005 JONES & ASSOCIATES
020 B000005 N/A
020 C000005 23
020 A000006 FRIEDMAN, BILLINGS, RAMSEY & CO., INC.
020 B000006 32-0045263
020 C000006 18
020 A000007 CITIGROUP GLOBAL MARKETS INC.
020 B000007 11-2418191
020 C000007 12
020 A000008 LIQUIDNET, INC.
020 B000008 13-4095933
020 C000008 11
020 A000009 ITG INC.
020 B000009 95-2848406
020 C000009 11
020 A000010 THOAMS WEISEL PARTNERS
020 B000010 N/A
020 C000010 10
021 000000 322
022 A000001 J.P. MORGAN SECURITIES INC.
022 B000001 13-3224016
022 C000001 191993
022 D000001 191951
022 C000002 0
022 D000002 0
022 C000003 0
022 D000003 0
022 C000004 0
022 D000004 0
022 C000005 0
022 D000005 0
022 C000006 0
022 D000006 0
022 C000007 0
022 D000007 0
022 C000008 0
022 D000008 0
022 C000009 0
022 D000009 0
022 C000010 0
022 D000010 0
023 C000000 191993
023 D000000 191951
024 000000 Y
PAGE 4
025 A000001 CITIGROUP, INC.
025 B000001 11-2418191
025 C000001 E
025 D000001 9841
025 A000002 FRANKLIN RESOURCES, INC.
025 B000002 13-2670991
025 C000002 E
025 D000002 2927
025 A000003 THE GOLDMAN SACHS GROUP
025 B000003 13-5108880
025 C000003 E
025 D000003 7146
025 A000004 LEHMAN BROTHERS HOLDINGS, INC.
025 B000004 13-2518466
025 C000004 D
025 D000004 4256

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-A

025 A000005 MORGAN STANLEY
 025 B000005 13-2655998
 025 C000005 E
 025 D000005 750
 025 A000006 PNC FINANCIAL SERVICES GROUP, INC.
 025 B000006 25-1471636
 025 C000006 E
 025 D000006 11627
 025 A000007 DELETE
 025 D000007 0
 025 D000008 0
 026 A000000 N
 026 B000000 Y
 026 C000000 N
 026 D000000 Y
 026 E000000 Y
 026 F000000 N
 026 G010000 N
 026 G020000 N
 026 H000000 N
 027 000000 N
 028 A010000 0
 028 A020000 0
 028 A030000 0
 028 A040000 0
 028 B010000 0
 028 B020000 0
 028 B030000 0
 028 B040000 0
 028 C010000 0
 028 C020000 0
 028 C030000 0
 028 C040000 0
 028 D010000 0
 028 D020000 0
 PAGE 5
 028 D030000 0
 028 D040000 0
 028 E010000 0
 028 E020000 0
 028 E030000 0
 028 E040000 0
 028 F010000 0
 028 F020000 0
 028 F030000 0
 028 F040000 0
 028 G010000 0
 028 G020000 0
 028 G030000 0
 028 G040000 0
 028 H000000 0
 030 A000000 0
 030 B000000 0.00
 030 C000000 0.00
 031 A000000 0
 031 B000000 0
 032 000000 0
 033 000000 0
 035 000000 0
 036 B000000 0
 038 000000 0
 042 A000000 0

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-A

042 B000000 0
 042 C000000 0
 042 D000000 0
 042 E000000 0
 042 F000000 0
 042 G000000 0
 042 H000000 0
 043 000000 0
 044 000000 0
 045 000000 Y
 046 000000 N
 047 000000 Y
 048 000000 0.000
 048 A010000 500000
 048 A020000 0.060
 048 B010000 500000
 048 B020000 0.055
 048 C010000 2000000
 048 C020000 0.050
 048 D010000 9000000
 048 D020000 0.040
 048 E010000 0
 048 E020000 0.000
 048 F010000 0
 048 F020000 0.000
 PAGE 6
 048 G010000 0
 048 G020000 0.000
 048 H010000 0
 048 H020000 0.000
 048 I010000 0
 048 I020000 0.000
 048 J010000 0
 048 J020000 0.000
 048 K010000 12000000
 048 K020000 0.030
 049 000000 N
 050 000000 N
 051 000000 N
 052 000000 N
 053 A000000 N
 054 A000000 Y
 054 B000000 Y
 054 C000000 Y
 054 D000000 N
 054 E000000 N
 054 F000000 N
 054 G000000 Y
 054 H000000 Y
 054 I000000 N
 054 J000000 Y
 054 K000000 N
 054 L000000 Y
 054 M000000 Y
 054 N000000 N
 054 O000000 Y
 055 A000000 N
 055 B000000 N
 056 000000 Y
 057 000000 N
 058 A000000 N
 059 000000 Y

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-A

060 A000000 Y
060 B000000 Y
061 000000 0
062 A000000 N
062 B000000 0.0
062 C000000 0.0
062 D000000 0.0
062 E000000 0.0
062 F000000 0.0
062 G000000 0.0
062 H000000 0.0
062 I000000 0.0
062 J000000 0.0
062 K000000 0.0
062 L000000 0.0

PAGE 7

062 M000000 0.0
062 N000000 0.0
062 O000000 0.0
062 P000000 0.0
062 Q000000 0.0
062 R000000 0.0
063 A000000 0
063 B000000 0.0
066 A000000 Y
066 B000000 N
066 C000000 N
066 D000000 N
066 E000000 Y
066 F000000 N
066 G000000 N
067 000000 N
068 A000000 N
068 B000000 N
069 000000 N
070 A010000 Y
070 A020000 Y
070 B010000 Y
070 B020000 N
070 C010000 Y
070 C020000 N
070 D010000 Y
070 D020000 N
070 E010000 Y
070 E020000 N
070 F010000 Y
070 F020000 Y
070 G010000 Y
070 G020000 N
070 H010000 Y
070 H020000 N
070 I010000 Y
070 I020000 N
070 J010000 Y
070 J020000 Y
070 K010000 Y
070 K020000 N
070 L010000 Y
070 L020000 Y
070 M010000 Y
070 M020000 N
070 N010000 Y

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-A

070	N020000	Y	
070	O010000	Y	
070	O020000	N	
070	P010000	Y	
070	P020000	N	
	PAGE	8	
070	Q010000	Y	
070	Q020000	N	
070	R010000	N	
070	R020000	N	
071	A000000		479491
071	B000000		489489
071	C000000		981206
071	D000000		49
072	A000000	6	
072	B000000		145
072	C000000		12610
072	D000000		0
072	E000000		0
072	F000000		1761
072	G000000		285
072	H000000		0
072	I000000		477
072	J000000		9
072	K000000		35
072	L000000		0
072	M000000		15
072	N000000		0
072	O000000		0
072	P000000		0
072	Q000000		0
072	R000000		6
072	S000000		23
072	T000000		0
072	U000000		0
072	V000000		172
072	W000000		189
072	X000000		2972
072	Y000000		0
072	Z000000		9783
072AA	000000		21775
072BB	000000		0
072CC	010000		0
072CC	020000		79364
072DD	010000		7349
072DD	020000		0
072EE	000000		0
073	A010000		0.0000
073	A020000		0.0000
073	B000000		0.0000
073	C000000		0.0000
074	A000000		0
074	B000000		35661
074	C000000		0
074	D000000		0
074	E000000		0
074	F000000		908296
	PAGE	9	
074	G000000		0
074	H000000		0
074	I000000		33707
074	J000000		2865

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-A

074	K000000	0
074	L000000	5894
074	M000000	43
074	N000000	986466
074	O000000	0
074	P000000	91
074	Q000000	0
074	R010000	0
074	R020000	0
074	R030000	0
074	R040000	69728
074	S000000	0
074	T000000	916647
074	U010000	68643
074	U020000	0
074	V010000	12.95
074	V020000	0.00
074	W000000	0.0000
074	X000000	20886
074	Y000000	652
075	A000000	0
075	B000000	948899
076	000000	10.87
077	A000000	Y
077	B000000	N
077	C000000	Y
077	D000000	N
077	E000000	Y
077	F000000	N
077	G000000	N
077	H000000	N
077	I000000	N
077	J000000	N
077	K000000	N
077	L000000	N
077	M000000	N
077	N000000	N
077	O000000	N
077	P000000	N
077	Q010000	Y
077	Q020000	N
077	Q030000	N
078	000000	N
080	C000000	0
081	B000000	0
082	B000000	0
083	B000000	0
	PAGE	10
084	B000000	0
086	A010000	85
086	A020000	977
086	B010000	1296
086	B020000	15463
086	C010000	0
086	C020000	0
086	D010000	0
086	D020000	0
086	E010000	0
086	E020000	0
086	F010000	0
086	F020000	0
087	A010000	TRI-CONTINENTAL - COMMON STOCK

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-A

087 A020000 895436103
087 A030000 TY
087 B010000 TRI-CONTINENTAL - PREFERRED STOCK
087 B020000 895436202
087 B030000 TY
087 C010000 TRI-CONTINENTAL - WARRANT
087 C020000 895436111
088 A000000 N
088 B000000 N
088 C000000 N
088 D000000 Y

SIGNATURE

TITLE

This report is signed on behalf of the registrant (or depositor or trustee).

City of: Minneapolis State of: Minnesota Date: August 20, 2010

Name of Registrant, Depositor, or Trustee: Tri-Continental Corporation

/s/ Jeffrey P. Fox -- Treasurer and Principal Financial Officer

By (Name and Title):

/s/ Wade M. Voigt -- Assistant Treasurer

Witness (Name and Title):

TRI-CONTINENTAL CORPORATION