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TRI-CONTINENTAL CORP

Form NSAR-B

February 28, 2012

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000 B000000 12/31/2011
000 C000000 0000099614
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000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 TRI-CONTINENTAL CORPORATION
001 B000000 811-00266
001 C000000 6126715682
002 A000000 225 FRANKLIN STREET
002 B000000 BOSTON
002 C000000 MA
002 D010000 02110
003 000000 N
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007 C010100 1
008 A000001 COLUMBIA MANAGEMENT INVESTMENT ADVISERS, LLC
008 B000001 A
008 C000001 801-25943
008 D010001 BOSTON
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010 B000001 801-25943
010 C010001 BOSTON
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012 A000001 COLUMBIA MANAGEMENT INVESTMENT SERVICES CORP.
012 B000001 84-05685
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012 C020001 MA
012 C030001 02266
012 C040001 8081
013 A000001 ERNST & YOUNG LLP
013 B010001 MINNEAPOLIS
013 B020001 MN
013 B030001 55402
014 A000001 COLUMBIA MGMT INVESTMENT DISTRIBUTORS, INC.
014 B000001 8-25845
014 A000002 AMERICAN ENTERPRISE INVESTMENT SERVICES INC.
014 B000002 8-42582
014 A000003 AMERIPRISE FINANCIAL SERVICES, INC.
014 B000003 8-16791
014 A000004 RIVERSOURCE DISTRIBUTORS, INC.
014 B000004 8-67196
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015 A000001 JPMORGAN CHASE BANK, N.A
015 B000001 C
015 C010001 NEW YORK
015 C020001 NY
015 C030001 10005

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015 E010001 X
015 A000002 AB SEB BANKAS
015 B000002 S
015 C010002 VILNIUS
015 D010002 LITHUANIA
015 E040002 X
015 A000003 BANCO BCT, S.A.
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015 D010003 COSTA RICA
015 E040003 X
015 A000004 BANCO ITAU URUGUAY S.A.
015 B000004 S
015 C010004 MONTEVIDEO
015 D010004 URUGUAY
015 E040004 X
015 A000005 BANCO NACIONAL DE MEXICO, S.A.
015 B000005 S
015 C010005 MEXICO CITY
015 D010005 MEXICO
015 E040005 X
015 A000006 BANCO SANTANDER CHILE
015 B000006 S
015 C010006 SANTIAGO
015 D010006 CHILE
015 E040006 X
015 A000007 BANK HANDLOWY W. WARSZAWIE S.A.
015 B000007 S
015 C010007 WARSAW
015 D010007 POLAND
015 E040007 X
015 A000008 BANK LEUMI LE-ISRAEL B.M.
015 B000008 S
015 C010008 TEL AVIV
015 D010008 ISRAEL
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015 A000009 BANQUE INTERNATIONALE ARABE DE TUNISIE, S.A.
015 B000009 S
015 C010009 TUNIS CEDEX
015 D010009 TUNISIA
015 E040009 X
015 A000010 BARCLAYS BANK OF ZIMBABWE LIMITED
015 B000010 S
015 C010010 HARARE
015 D010010 ZIMBABWE
015 E040010 X
PAGE 3
015 A000011 BNP PARIBAS SECURITIES SERVICES S.C.A.
015 B000011 S
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015 E040011 X
015 A000012 BNP PARIBAS SECURITIES SERVICES S.C.A.
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015 D010012 FRANCE
015 E040012 X
015 A000013 BNP PARIBAS SECURITIES SERVICES S.C.A.
015 B000013 S
015 C010013 MILAN
015 D010013 ITALY
015 E040013 X

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015 A000014 BNP PARIBAS SECURITIES SERVICES S.C.A.
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015 B000016 S
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015 D010016 PORTUGAL
015 E040016 X
015 A000017 CANADIAN IMPERIAL BANK OF COMMERCE
015 B000017 S
015 C010017 TORONTO
015 D010017 CANADA
015 E040017 X
015 A000018 CITIBANK A.S.
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015 E040018 X
015 A000019 CITIBANK DEL PERU S.A.
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015 A000022 DBS BANK LTD.
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015 E040023 X
015 A000024 DEUTSCHE BANK AG
015 B000024 S
015 C010024 JAKARTA
015 D010024 INDONESIA
015 E040024 X
015 A000025 DEUTSCHE BANK AG
015 B000025 S
015 C010025 LONDON
015 D010025 UNITED KINGDOM
015 E040025 X
015 A000026 DEUTSCHE BANK ZRT.

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015 B000026 S
015 C010026 BUDAPEST
015 D010026 HUNGARY
015 E040026 X
015 A000027 FIRSTRAND BANK LIMITED
015 B000027 S
015 C010027 JOHANNESBURG
015 D010027 SOUTH AFRICA
015 E040027 X
015 A000028 HSBC BANK (CHINA) COMPANY LIMITED
015 B000028 S
015 C010028 SHANGHAI
015 D010028 CHINA
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015 A000029 HSBC BANK (VIETNAM) LTD.
015 B000029 S
015 C010029 HO CHI MINH CITY
015 D010029 VIETNAM
015 E040029 X
015 A000030 HSBC BANK ARGENTINA S.A.
015 B000030 S
015 C010030 BUENOS AIRES
015 D010030 ARGENTINA
015 E040030 X
015 A000031 HSBC BANK BERMUDA LIMITED
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PAGE 5
015 C010031 HAMILTON
015 D010031 BERMUDA
015 E040031 X
015 A000032 HSBC BANK MALAYSIA BERHAD
015 B000032 S
015 C010032 KUALA LUMPUR
015 D010032 MALAYSIA
015 E040032 X
015 A000033 HSBC BANK MALTA P.L.C.
015 B000033 S
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015 D010033 MALTA
015 E040033 X
015 A000034 HSBC BANK MIDDLE EAST LIMITED
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015 C010034 AL SEEF
015 D010034 BAHRAIN
015 E040034 X
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015 D010037 LEBANON
015 E040037 X
015 A000038 HSBC BANK MIDDLE EAST LIMITED
015 B000038 S

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015 C010038 RUWI
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015 A000039 HSBC BANK MIDDLE EAST LIMITED
015 B000039 S
015 C010039 RAMALLAH
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015 E040039 X
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015 E040040 X
015 A000041 HSBC BANK MIDDLE EAST LIMITED
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015 C010041 DUBAI
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015 E040041 X
015 A000042 HSBC BANK MIDDLE EAST LIMITED
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015 A000043 HSBC BANK MIDDLE EAST LIMITED
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015 A000044 HSBC BANK PLC
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015 A000045 HSBC SAUDI ARABIA LIMITED
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015 C010045 RIYADH
015 D010045 SAUDI ARABIA
015 E040045 X
015 A000046 ING BANK (EURASIA) ZAO
015 B000046 S
015 C010046 MOSCOW
015 D010046 RUSSIA
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015 B000047 S
015 C010047 SOFIA
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015 E040047 X
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015 D010048 ROMANIA
015 E040048 X
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015 B000049 S
015 C010049 KIEV
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015 E040049 X
015 A000050 ISLANDSBANKI HF.
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015 C010050 REYKJAVIK

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015 D010050 ICELAND
015 E040050 X
015 A000051 J.P. MORGAN AG
015 B000051 S
015 C010051 FRANKFURT
015 D010051 GERMANY
PAGE 7
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015 A000052 J.P. MORGAN BANK INTERNATIONAL
015 B000052 S
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015 E040052 X
015 A000053 J.P. MORGAN S.A. DTVM
015 B000053 S
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015 D010053 BRAZIL
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015 A000054 JPMORGAN CHASE BANK, N.A.
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015 D010057 TAIWAN
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015 C010058 LONDON
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015 A000059 MIZUHO CORPORATE BANK, LIMITED
015 B000059 S
015 C010059 TOKYO
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015 E040059 X
015 A000060 NORDEA BANK AB
015 B000060 S
015 C010060 STOCKHOLM
015 D010060 SWEDEN
015 E040060 X
015 A000061 NORDEA BANK DANMARK A/S
015 B000061 S
015 C010061 TAASTRUP
015 D010061 DENMARK
015 E040061 X
PAGE 8
015 A000062 NORDEA BANK FINLAND PLC
015 B000062 S
015 C010062 HELSINKI

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015 D010062 FINLAND
015 E040062 X
015 A000063 NORDEA BANK NORGE ASA
015 B000063 S
015 C010063 OSLO
015 D010063 NORWAY
015 E040063 X
015 A000064 PRIVREDNA BANKA ZAGREB D.D.
015 B000064 S
015 C010064 ZAGREB
015 D010064 CROATIA
015 E040064 X
015 A000065 REPUBLIC BANK LIMITED
015 B000065 S
015 C010065 PORT OF SPAIN
015 D010065 TRINIDAD AND TOBAGO
015 E040065 X
015 A000066 ROYAL BANK OF CANADA
015 B000066 S
015 C010066 TORONTO
015 D010066 CANADA
015 E040066 X
015 A000067 SANTANDER INVESTMENT TRUST COLUMBIA S.A.
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015 C010067 BOGOTA
015 D010067 COLUMBIA
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015 A000068 SANTANDER INVESTMENT, S.A.
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015 C010068 MADRID
015 D010068 SPAIN
015 E040068 X
015 A000069 SB HSBC BANK KAZAKHSTAN JSC
015 B000069 S
015 C010069 ALMATY
015 D010069 KAZAKHSTAN
015 E040069 X
015 A000070 SOCIETE GENERALE
015 B000070 S
015 C010070 PARIS
015 D010070 FRANCE
015 E040070 X
015 A000071 SOCIETE GENERALE DE BANQUES EN COTE D'IVOIRE
015 B000071 S
015 C010071 ABIDJAN
015 D010071 IVORY COAST
015 E040071 X
015 A000072 SOCIETE GENERALE MAROCAINE DE BANQUES
PAGE 9
015 B000072 S
015 C010072 CASABLANCA
015 D010072 MOROCCO
015 E040072 X
015 A000073 STANBIC IBTC BANK PLC
015 B000073 S
015 C010073 LAGOS
015 D010073 NIGERIA
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015 A000074 STANDARD BANK LIMITED, MALAWI
015 B000074 S
015 C010074 BLANTYRE
015 D010074 MALAWI

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015 E040074 X
015 A000075 STANDARD BANK NAMIBIA LIMITED
015 B000075 S
015 C010075 WINDHOEK
015 D010075 NAMIBIA
015 E040075 X
015 A000076 STANDARD CHARTERED BANK
015 B000076 S
015 C010076 DHAKA
015 D010076 BANGLADESH
015 E040076 X
015 A000077 STANDARD CHARTERED BANK (PAKISTAN) LIMITED
015 B000077 S
015 C010077 KARACHI
015 D010077 PAKISTAN
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015 A000078 STANDARD CHARTERED BANK (THAI) PUBLIC CO. LTD
015 B000078 S
015 C010078 BANGKOK
015 D010078 THAILAND
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015 A000079 STANDARD CHARTERED BANK BOTSWANA LIMITED
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015 C010079 GABORONE
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015 A000080 STANDARD CHARTERED BANK GHANA LIMITED
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015 A000081 STANDARD CHARTERED BANK KENYA LIMITED
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015 C010081 NAIROBI
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015 A000082 STANDARD CHARTERED BANK UGANDA LIMITED
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PAGE 10
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015 A000083 STANDARD CHARTERED BANK ZAMBIA PLC
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015 C010083 LUSAKA
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015 A000084 STANDARD CHARTERED FIRST BANK KOREA LIMITED
015 B000084 S
015 C010084 SEOUL
015 D010084 SOUTH KOREA
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015 A000085 SWEDBANK AS
015 B000085 S
015 C010085 TALLINN
015 D010085 ESTONIA
015 E040085 X
015 A000086 SWEDBANK AS
015 B000086 S
015 C010086 RIGA
015 D010086 LATVIA
015 E040086 X

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015 A000087 THE BANK OF TOKYO-MITSUBISHI UFJ, LIMITED
015 B000087 S
015 C010087 TOKYO
015 D010087 JAPAN
015 E040087 X
015 A000088 THE HONGKONG AND SHANGHAI BANKING CORP. LTD
015 B000088 S
015 C010088 KOWLOON
015 D010088 HONG KONG
015 E040088 X
015 A000089 THE HONGKONG AND SHANGHAI BANKING CORP. LTD
015 B000089 S
015 C010089 EBENE
015 D010089 MAURITIUS
015 E040089 X
015 A000090 THE HONGKONG AND SHANGHAI BANKING CORP. LTD
015 B000090 S
015 C010090 TAGUIG CITY
015 D010090 PHILIPPINES
015 E040090 X
015 A000091 THE HONGKONG AND SHANGHAI BANKING CORP. LTD
015 B000091 S
015 C010091 COLOMBO
015 D010091 SRI LANKA
015 E040091 X
015 A000092 UBS AG
015 B000092 S
015 C010092 ZURICH
PAGE 11
015 D010092 SWITZERLAND
015 E040092 X
015 A000093 UNICREDIT BANK AUSTRIA AG
015 B000093 S
015 C010093 VIENNA
015 D010093 AUSTRIA
015 E040093 X
015 A000094 UNICREDIT BANK CZECH REPUBLIC A.S.
015 B000094 S
015 C010094 PRAGUE
015 D010094 CZECH REPUBLIC
015 E040094 X
015 A000095 UNICREDIT BANK SLOVAKIA A.S.
015 B000095 S
015 C010095 BRATISLAVA
015 D010095 SLOVAK REPUBLIC
015 E040095 X
015 A000096 UNICREDIT BANK SRBIJA A.D.
015 B000096 S
015 C010096 BELGRADE
015 D010096 SERBIA
015 E040096 X
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015 B000097 S
015 C010097 LJUBLJANA
015 D010097 SLOVENIA
015 E040097 X
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019 C000000 COLUMBIAFD
020 A000001 BARCLAYS CAPITAL
020 C000001 66

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020 A000002 UBS SECURITIES LLC
020 B000002 13-3873456
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020 B000003 13-3443395
020 C000003 12
020 A000004 GOLDMAN, SACHS & CO.
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020 A000005 J.P. MORGAN SECURITIES, INC.
020 B000005 13-3224016
020 C000005 8
020 A000006 CREDIT SUISSE SECURITIES (USA) L.L.C.
020 B000006 13-5659485
020 C000006 4
020 A000007 LIQUIDNET, INC.
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PAGE 12
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070 J010000 Y
070 J020000 Y

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| | | | |
|-------|---------|----|---------|
| 070 | K010000 | Y | |
| 070 | K020000 | Y | |
| 070 | L010000 | Y | |
| 070 | L020000 | Y | |
| 070 | M010000 | Y | |
| 070 | M020000 | N | |
| 070 | N010000 | Y | |
| 070 | N020000 | Y | |
| 070 | O010000 | Y | |
| 070 | O020000 | N | |
| 070 | P010000 | Y | |
| 070 | P020000 | N | |
| 070 | Q010000 | Y | |
| 070 | Q020000 | N | |
| 070 | R010000 | Y | |
| 070 | R020000 | N | |
| 071 | A000000 | | 1092536 |
| 071 | B000000 | | 1148160 |
| 071 | C000000 | | 1115317 |
| 071 | D000000 | | 98 |
| 072 | A000000 | 12 | |
| 072 | B000000 | | 894 |
| 072 | C000000 | | 26791 |
| 072 | D000000 | | 0 |
| 072 | E000000 | | 0 |
| 072 | F000000 | | 3957 |
| 072 | G000000 | | 632 |
| 072 | H000000 | | 0 |
| 072 | I000000 | | 1078 |
| 072 | J000000 | | 20 |
| | PAGE | 17 | |
| 072 | K000000 | | 63 |
| 072 | L000000 | | 0 |
| 072 | M000000 | | 31 |
| 072 | N000000 | | 0 |
| 072 | O000000 | | 0 |
| 072 | P000000 | | 0 |
| 072 | Q000000 | | 0 |
| 072 | R000000 | | 93 |
| 072 | S000000 | | 25 |
| 072 | T000000 | | 0 |
| 072 | U000000 | | 0 |
| 072 | V000000 | | 282 |
| 072 | W000000 | | 232 |
| 072 | X000000 | | 6413 |
| 072 | Y000000 | | 0 |
| 072 | Z000000 | | 21272 |
| 072AA | 000000 | | 109500 |
| 072BB | 000000 | | 0 |
| 072CC | 010000 | | 0 |
| 072CC | 020000 | | 62345 |
| 072DD | 010000 | | 0 |
| 072DD | 020000 | | 0 |
| 072EE | 000000 | | 0 |
| 073 | A010000 | | 0.2750 |
| 073 | A020000 | | 0.0000 |
| 073 | B000000 | | 0.0000 |
| 073 | C000000 | | 0.0000 |
| 074 | A000000 | | 0 |
| 074 | B000000 | | 62245 |
| 074 | C000000 | | 33996 |
| 074 | D000000 | | 249799 |

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| | | |
|-----|---------|------------------------------|
| 074 | E000000 | 53828 |
| 074 | F000000 | 775801 |
| 074 | G000000 | 0 |
| 074 | H000000 | 0 |
| 074 | I000000 | 26425 |
| 074 | J000000 | 0 |
| 074 | K000000 | 0 |
| 074 | L000000 | 13023 |
| 074 | M000000 | 86 |
| 074 | N000000 | 1215203 |
| 074 | O000000 | 1597 |
| 074 | P000000 | 205 |
| 074 | Q000000 | 0 |
| 074 | R010000 | 0 |
| 074 | R020000 | 0 |
| 074 | R030000 | 0 |
| 074 | R040000 | 97604 |
| 074 | S000000 | 0 |
| 074 | T000000 | 1115797 |
| 074 | U010000 | 0 |
| | PAGE | 18 |
| 074 | U020000 | 0 |
| 074 | V010000 | 16.77 |
| 074 | V020000 | 0.00 |
| 074 | W000000 | 0.0000 |
| 074 | X000000 | 18774 |
| 074 | Y000000 | 0 |
| 075 | A000000 | 0 |
| 075 | B000000 | 1090458 |
| 076 | 000000 | 14.23 |
| 077 | A000000 | Y |
| 077 | B000000 | Y |
| 077 | C000000 | N |
| 077 | D000000 | N |
| 077 | E000000 | Y |
| 077 | F000000 | N |
| 077 | G000000 | N |
| 077 | H000000 | N |
| 077 | I000000 | N |
| 077 | J000000 | N |
| 077 | K000000 | N |
| 077 | L000000 | N |
| 077 | M000000 | N |
| 077 | N000000 | N |
| 077 | O000000 | N |
| 077 | P000000 | N |
| 077 | Q010000 | N |
| 077 | Q020000 | N |
| 077 | Q030000 | N |
| 078 | 000000 | N |
| 080 | A000000 | ICI Mutual Insurance Company |
| 080 | B000000 | N/A |
| 080 | C000000 | 5000 |
| 081 | A000000 | Y |
| 081 | B000000 | 6 |
| 082 | A000000 | N |
| 082 | B000000 | 0 |
| 083 | A000000 | N |
| 083 | B000000 | 0 |
| 084 | A000000 | N |
| 084 | B000000 | 0 |
| 085 | A000000 | Y |

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085 B000000 N
086 A010000 130
086 A020000 1839
086 B010000 2779
086 B020000 39503
086 C010000 0
086 C020000 0
086 D010000 0
086 D020000 0
086 E010000 0
PAGE 19
086 E020000 0
086 F010000 0
086 F020000 0
087 A010000 TRI-CONTINENTAL - COMMON STOCK
087 A020000 895436103
087 A030000 TY
087 B010000 TRI-CONTINENTAL - PREFERRED STOCK
087 B020000 895436202
087 B030000 TY
087 C010000 TRI-CONTINENTAL - WARRANT
087 C020000 895436111
088 A000000 N
088 B000000 N
088 C000000 N
088 D000000 Y
SIGNATURE SEE BELOW
TITLE SEE BELOW

This report is signed on behalf of the registrant (or depositor or trustee).

City of: Boston State of: Massachusetts Date: February 21, 2012

Name of Registrant, Depositor, or Trustee: Tri-Continental Corporation

/s/ Michael G. Clarke

Michael G. Clarke
Treasurer

/s/ Wade M. Voigt

Wade M. Voigt
Assistant Treasurer
Witness

TRI-CONTINENTAL CORPORATION