

Edgar Filing: TWEETER HOME ENTERTAINMENT GROUP INC - Form 4

TWEETER HOME ENTERTAINMENT GROUP INC  
 Form 4  
 February 08, 2002

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 FORM 4  
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UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 WASHINGTON, DC 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

/ / CHECK BOX IF NO  
 LONGER SUBJECT TO  
 SECTION 16. FORM 4  
 OR FORM 5 OBLIGATIONS  
 MAY CONTINUE. SEE  
 INSTRUCTION 1(b).

Filed pursuant to Section 16(a) of the Securities  
 Exchange Act of 1934, Section 17(a) of the  
 Public Utility Holding Company Act of 1935  
 or Section 30(f) of the Investment Company  
 Act of 1940

|  |               |          |   |                  |
|--|---------------|----------|---|------------------|
| 1. Name and Address of Reporting Person* |               |          | 2. Issuer Name and Ticker or Trading Symbol | 6. R             |
| McGuire                                  | Joseph        | G        | Tweeter Home Entertainment Group, Inc. TWTR |                  |
| (Last)                                   | (First)       | (Middle) |   |                  |
|  | 40 Pequot Way |          | 3. IRS Identification                       | 4. Statement for |
|  | (Street)      |          | Number of Reporting                         | Month/Year       |
| Canton,                                  | MA            | 02021    | Person, if an Entity                        | 01/02            |
| (City)                                   | (State)       | (Zip)    | (Voluntary)                                 |                  |
|  |               |          |   | 5. If Amendment, |
|  |               |          |   | Date of Original |
|  |               |          |   | (Month/Year)     |

TABLE 1 -- NON-DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIA

| 1. Title of Security<br>(Instr. 3) | 2. Trans-<br>action<br>Date<br><br>(Month/<br>Day/<br>Year) | 3. Transac-<br>tion<br>Code<br>(Instr. 8) | 4. Securities Acquired (A)<br>or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount o<br>curities<br>cially O<br>End of M<br>(Instr. |
|------------------------------------|---|---|---|--|
|                                    |   | Code V                                    | Amount (A) or Price (D)   |  |
| Common Stock                       | 1/24/02   | G(1)                                      | 1,200 D 27.575  | 16,  |
| Common Stock                       | 1/28/02   | M(2)                                      | 1,200 A 8.50  | 16,  |
| Common Stock                       | 1/28/02   | S   | 1,200 D 28.0071   | 16,  |
| Common Stock                       | 1/29/02   | M(2)                                      | 3,600 A 8.50  | 16,  |
| Common Stock                       | 1/29/02   | M(2)                                      | 5,400 A 16.063  | 16,  |

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|              |         |      |       |   |       |     |
|--------------|---------|------|-------|---|-------|-----|
| Common Stock | 1/29/02 | S    | 9,000 | D | 27.90 | 16, |
| Common Stock | 1/29/02 | G(3) | 719   | D | 27.79 | 16, |
| Common Stock | 1/29/02 | G(4) | 719   | D | 27.79 | 16, |
| Common Stock | 1/29/02 | G    | 719   | A | 27.79 |     |
| Common Stock | 1/29/02 | G    | 719   | A | 27.79 |     |
| Common Stock | 1/30/02 | S(5) | 1,429 | D | 27.80 |     |
| Common Stock | 1/30/02 | S(6) | 1,429 | D | 27.80 |     |
| Common Stock | 1/31/02 | S    | 4,500 | D | 28.00 | 16, |

\*If the Form is filed by more than one Reporting Person, see Instruction 4(b)(v).  
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Print or Type Response)

FORM 4 (CONTINUED)

TABLE II -- DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIALLY OWNED  
(E.G., PUTS, CALLS, WARRANTS, OPTIONS, CONVERTIBLE SECURITIES)

| 1. Title of Derivative Security<br>(Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date<br>(Month/Day/Year) | 4. Transaction Code<br>(Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D)<br>(Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date<br>(Month/Day/Year) | 7. Date Exercisable and Expiration Date |
|---|--|---|-----------------------------------|--|---|---|
|   |  |   | Code V                            | (A) (D)  | Date Exercisable  | Expiration Date                         |
| Options                                       | 8.50   | 1/28/02                                 | X(2)                              | 1,200  | 7/16/01   | 7/16/04                                 |
| Options                                       | 8.50   | 1/29/02                                 | X(2)                              | 3,600  | 7/16/01   | 7/16/04                                 |

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Options 16.063 1/29/02 X(2) 5,400 7/28/01 7/28/04

| 1. Title of Derivative Security<br>(Instr. 3) | 9. Number of Derivative Securities Beneficially Owned at End of Month<br>(Instr. 4) | 10. Ownership Form of Derivative Security:<br>Direct (D)<br>or Indirect (I)<br>(Instr. 4) | 11. Nature of Indirect Beneficial Ownership<br>(Instr. 4) |
|---|---|---|---|
|   | 72,200  | D   |   |
|   | 72,200  | D   |   |
|   | 72,200  | D   |   |

Explanation of Responses:

- (1) Gift of 1,200 shares to Bethany Congregational Church, Foxboro, MA.
- (2) Exercise of Options.
- (3) Gift to Trust dated 4/16/93 f/b/o Meghan Kelly McGuire. Mr. McGuire and his spouse are trustees of this trust. Mr. McGuire disclaims beneficial ownership of such shares.
- (4) Gift to Trust dated 4/6/95 f/b/o Abigail Jean McGuire. Mr. McGuire and his spouse are trustees of this trust. Mr. McGuire disclaims beneficial ownership of such shares.
- (5) Sale of shares from Trust dated 4/16/93 f/b/o Meghan Kelly McGuire.
- (6) Sale of shares from Trust dated 4/6/95 f/b/o Abigail Jean McGuire.

\*\*Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

/s/  
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\*\*Si

Note. File three copies of this form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.