

Centre Partners V, L.P.  
Form 4  
November 21, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Centre Partners V, L.P.

2. Issuer Name and Ticker or Trading Symbol  
LIFETIME BRANDS, INC [LCUT]

5. Relationship of Reporting Person(s) to Issuer  
(Check all applicable)

(Last) (First) (Middle)  
C/O CENTRE PARTNERS  
MANAGEMENT LLC, 825 THIRD  
AVENUE, 40TH FLOOR  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
11/20/2018

\_\_\_ Director \_\_\_X\_\_\_ 10% Owner  
\_\_\_ Officer (give title below) \_\_\_ Other (specify below)

NEW YORK, NY 10022

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
\_\_\_ Form filed by One Reporting Person  
\_X\_ Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock, par value \$0.01, per share	11/20/2018		P		462 <sup>(1)</sup>	A	\$ 10.8
					5,632,244 <sup>(2)</sup>	I	
Common Stock, par value \$0.01, per share					7,086	D <sup>(5)</sup> <sup>(6)</sup>	

See footnotes (3) (4) (5)

Edgar Filing: Centre Partners V, L.P. - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Owned Following Transaction (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Centre Partners V, L.P. C/O CENTRE PARTNERS MANAGEMENT LLC 825 THIRD AVENUE, 40TH FLOOR NEW YORK, NY 10022		X		
Centre Capital Investors V LP C/O CENTRE PARTNERS MANAGEMENT LLC 825 THIRD AVENUE, 40TH FLOOR NEW YORK, NY 10022		X		
Centre Partners V LLC C/O CENTRE PARTNERS MANAGEMENT LLC 825 THIRD AVENUE, 40TH FLOOR NEW YORK, NY 10022		X		
JRJ V LP C/O CENTRE PARTNERS MANAGEMENT LLC 825 THIRD AVENUE, 40TH FLOOR NEW YORK, NY 10022		X		
Harwich Road V LP C/O CENTRE PARTNERS MANAGEMENT LLC 825 THIRD AVENUE, 40TH FLOOR		X		

NEW YORK, NY 10022

JRJ Inc.  
 C/O CENTRE PARTNERS MANAGEMENT LLC X  
 825 THIRD AVENUE, 40TH FLOOR  
 NEW YORK, NY 10022

Harwich Road Inc.  
 C/O CENTRE PARTNERS MANAGEMENT LLC X  
 825 THIRD AVENUE, 40TH FLOOR  
 NEW YORK, NY 10022

POLLACK BRUCE G  
 C/O CENTRE PARTNERS MANAGEMENT LLC X  
 825 THIRD AVENUE, 40TH FLOOR  
 NEW YORK, NY 10022

JAFFE DAVID  
 C/O CENTRE PARTNERS MANAGEMENT LLC X  
 825 THIRD AVENUE, 40TH FLOOR  
 NEW YORK, NY 10022

## Signatures

Centre Partners V, L.P., By: Centre Partners V LLC, Its: General Partner, By: /s/ William Tomai, Authorized Person 11/21/2018

\_\_Signature of Reporting Person Date

Centre Capital Investors V LP, By: /s/ Bruce G. Pollack, Authorized Person 11/21/2018

\_\_Signature of Reporting Person Date

Centre Partners V LLC, By: /s/ William Tomai, Authorized Person 11/21/2018

\_\_Signature of Reporting Person Date

JRJ V LP, By: JRJ Inc., Its: General Partner, By: /s/ Bruce G. Pollack, President 11/21/2018

\_\_Signature of Reporting Person Date

Harwich Road V LP, By: Harwich Road Inc., Its: General Partner, By: /s/ David L. Jaffe, President 11/21/2018

\_\_Signature of Reporting Person Date

JRJ Inc., By: /s/ Bruce G. Pollack, President 11/21/2018

\_\_Signature of Reporting Person Date

Harwich Road Inc., By: /s/ David L. Jaffe, President 11/21/2018

\_\_Signature of Reporting Person Date

/s/ Bruce G. Pollack 11/21/2018

\_\_Signature of Reporting Person Date

/s/ David L. Jaffe 11/21/2018

\_\_Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares of common stock purchased in open market transactions by Centre Capital Investors V, L.P. ("Centre Investors"). Centre Partners V, L.P. ("Centre Partners LP") is the sole general partner of Centre Investors.
- (2) Includes 5,593,116 shares of common stock directly held by Taylor Parent, LLC ("Taylor Parent").  
CP Taylor GP, LLC ("CP Taylor") has the authority to appoint the board of directors of Taylor Parent. Centre Partners, L.P. is the sole member of CP Taylor and the general partner of Centre Investors. Centre Partners V LLC ("Centre Partners") is the general partner of Centre Partners LP. JRJ V LP ("JRJ LP") and Harwich Road V LP ("Harwich Road LP") are co-managers of Centre Partners. JRJ Inc. ("JRJ") is the general partner of JRJ LP. Harwich Road Inc. ("Harwich Road") is the general partner of Harwich Road LP. Bruce Pollack is the president of JRJ. David Jaffe is the president of Harwich Road. (Cont'd in FN 5)
- (3) (Cont'd from FN 4) As such, Centre Partners LP, Centre Partners, JRJ LP, Harwich Road LP, JRJ, Harwich Road, Bruce Pollack and David Jaffe may be deemed to beneficially own the shares of the Issuer owned directly by Centre Investors and CP Taylor, Centre Partners LP, Centre Partners, JRJ LP, Harwich Road LP, JRJ, Harwich Road, Bruce Pollack and David Jaffe may be deemed to beneficially own the shares of the Issuer owned directly by Taylor Parent.
- (4) Partners LP, Centre Partners, JRJ LP, Harwich Road LP, JRJ, Harwich Road, Bruce Pollack and David Jaffe may be deemed to beneficially own the shares of the Issuer owned directly by Taylor Parent.
- (5) Each of the Reporting Persons disclaims beneficial ownership of the shares of the Issuer except to the extent of their respective pecuniary interest therein.
- (6) These shares are directly owned by Mr. Pollack.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.