TIFFANY & CO Form 4 May 30, 2017

#### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005 Estimated average burden hours per

**OMB APPROVAL** 

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Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Last)

1. Name and Address of Reporting Person \* MARQUIS CHARLES K.

(First)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

(Middle)

TIFFANY & CO [TIF]

(Check all applicable)

TIFFANY & CO., 727 FIFTH

3. Date of Earliest Transaction

(Month/Day/Year) 05/25/2017

\_X\_\_ Director 10% Owner \_ Other (specify Officer (give title below)

**AVENUE** 

4. If Amendment, Date Original

Applicable Line)

(Street) Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

NEW YORK, NY 10022

(City)	(State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	(A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock \$.01 Par	05/25/2017		A	933 (1)	, ,	\$ 0 (2)	50,202 (3)	D	
Common Stock \$.01 Par	05/26/2017		G V	1,269 (4)	D	\$0	48,933 (3)	D	
Common Stock \$.01 Par							30,249 (5)	I	By Revocable Trust <u>(6)</u>
Common Stock \$.01							56,000	I	Irrevocable Trust (7)

Par

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number out Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisab Expiration Date (Month/Day/Year		7. Title and A Underlying S (Instr. 3 and 4	Seci
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	A or N of SI
Non-Qualified Stock Option (Right to Buy)	\$ 85.82	05/25/2017		A	5,450	05/26/2017(8)	05/25/2027	Common Stock \$.01 Par	4

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
•	Director	10% Owner	Officer	Other			
MARQUIS CHARLES K. TIFFANY & CO. 727 FIFTH AVENUE NEW YORK, NY 10022	X						

### **Signatures**

/s/ John C. Duffy, 05/30/2017 Attorney-in-Fact \*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Includes 933 unvested restricted stock units, which will vest in accordance with their terms on the earlier of the one-year anniversary of **(1)** the grant date, or the termination of the reporting person's service as a director due to death or disability.

(2) Given for no consideration.

Reporting Owners 2

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- Includes 933 unvested restricted stock units, which will vest on the earlier of May 25, 2018, or the termination of the reporting person's service as a director due to death or disability. The reporting person has elected to defer the maturity date of these restricted stock units (and thereby the delivery of the related shares) until six months after the date on which he ceases to be a director. The terms of the restricted stock units provide for earlier delivery upon the death, or the end of service as a result of the disability, of the reporting person.
- (4) Shares transferred to the Charles and Cynthia Marquis Joint Revocable Trust dated 12/8/03.
- (5) 1,269 shares were transferred on 5/26/17 from directly owned shares to the Charles and Cynthia Marquis Joint Revocable Trust dated 12/8/03.
- (6) Charles and Cynthia Marquis Joint Revocable Trust dated 12/8/03.
- (7) Irrevocable Trust titled "Marquis 2012 Children's Trust." Reporting person is the sole trustee of the trust. Reporting person disclaims beneficial ownership of Tiffany & Co. stock held by the Marquis 2012 Children's Trust.
- (8) Options granted pursuant to the Tiffany & Co. 2008 Directors Equity Compensation Plan, which complies with Rule 16b-3. The option is exercisable on the first business day following the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.