#### WINTRUST FINANCIAL CORP

Form 4

February 06, 2017

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB

**OMB APPROVAL** 

Number:

3235-0287

Expires:

January 31, 2005

0.5

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if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(City)

(State)

(Zip)

(Print or Type Responses)

1. Name and Address of Reporting Person * WEHMER EDWARD J			2. Issuer Name <b>and</b> Ticker or Trading Symbol WINTRUST FINANCIAL CORP [WTFC]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)		
(Last) 9700 WEST H	(First)	(Middle) DAD, 8TH	3. Date of Earliest Transaction (Month/Day/Year) 02/06/2017	X Director 10% Owner Other (specify below)  PRESIDENT AND CEO		
	(Street)		4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check		
ROSEMONT, IL 60018			Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		

Table I - No	on-Derivative Securities Acq	uired, Disposed o	f, or Be	eneficially Owned
3	4 Securities Acquired	5 Amount of	6	7 Nature

							,	,	•
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			5. Amount of 6. Securities Ownership Beneficially Form: Direc Owned (D) or Following Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(Instr. 4)	
Common Stock	02/06/2017		M	14,883	A	\$ 46.86	127,377	D	
Common Stock	02/06/2017		S	14,883	D	\$ 72.16 (1)	112,494	D	
Common Stock							7,085	I	by 401(k) Plan
Common Stock							46,376	I	by Spouse
							4,950	I	

Common FBO Stock children

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amou Underlying Secur (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Am or Nu of S
Non-Qualified Stock Opion (right to buy)	\$ 46.86	02/06/2017		M	14,883	01/23/2017	01/23/2021	Common Stock	14

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
WEHMER EDWARD J 9700 WEST HIGGINS ROAD, 8TH FLOOR ROSEMONT, IL 60018	X		PRESIDENT AND CEO			

## **Signatures**

/s/Kathleen M. Boege, Attorney-in-fact 02/06/2017

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The price reported is the weighted average price. The shares were sold in multiple transactions at prices ranging from \$71.75 to \$72.59, inclusive. The reporting person undertakes to provide to the SEC, the issuer and any security holder full information regarding the

(1) inclusive. The reporting person undertakes to provide to the SEC, the issuer and any security holder full information regarding the number of shares and the prices at which the shares were sold.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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