

Edgar Filing: REGENERON PHARMACEUTICALS INC - Form SC 13G

REGENERON PHARMACEUTICALS INC
Form SC 13G
April 02, 2001

SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, DC 20549

SCHEDULE 13G
(RULE 13D-102)

INFORMATION TO BE INCLUDED IN STATEMENTS FILED PURSUANT
TO RULES 13D-1(B), (C) AND (D) AND AMENDMENTS THERETO FILED
PURSUANT TO RULE 13D-2(B)
(AMENDMENT NO. _____) *

REGENERON PHARMACEUTICALS, INC.

(Name of Issuer)

Common Stock, par value \$0.001 per share

(Title of Class of Securities)

75886F 10 7

(CUSIP Number)

March 23, 2001

(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

☐ Rule 13d-1(b)

☒ Rule 13d-1(c)

☐ Rule 13d-1(d)

*The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act, but shall be subject to all other provisions of the Act (however, see the Notes).

Edgar Filing: REGENERON PHARMACEUTICALS INC - Form SC 13G

CUSIP NO. 75886F 10 7 13G PAGE 2 ----- of ----- 16 PAGES

1 Names of Reporting Persons/I.R.S. Identification Nos. of Above
Persons (Entities Only)

Feldon Invest SA

2 Check the Appropriate Box if a Member of a Group (a) []
(See Instructions) (b) []

3 SEC Use Only

4 Citizenship or Place of Organization

Panama

Number of 5 Sole Voting Power
N/A

Shares 6 Shared Voting Power
4,000,000

Beneficially 7 Sole Dispositive Power
N/A

Owned by 8 Shared Dispositive Power
Each
Reporting 4,000,000
Person With

9 Aggregate Amount Beneficially Owned by Each Reporting Person
4,000,000

10 Check if the Aggregate Amount in Row (9) Excludes Certain
Shares [] (See Instructions)

11 Percent of Class Represented by Amount in Row (9)
9.8%

12 Type of Reporting Person (See Instructions)
CO

CUSIP NO. 75886F 10 7 13G PAGE 3 ----- of ----- 16 PAGES

1 Names of Reporting Persons/I.R.S. Identification Nos. of Above
Persons (Entities Only)

Emfeld Ltd

2 Check the Appropriate Box if a Member of a Group (a) []

Edgar Filing: REGENERON PHARMACEUTICALS INC - Form SC 13G

(See Instructions)

(b) []

3 SEC Use Only

4 Citizenship or Place of Organization

Cayman Islands

Number of 5 Sole Voting Power
N/A

Shares 6 Shared Voting Power
4,000,000

Beneficially 7 Sole Dispositive Power
N/A

Owned by Each Reporting Person With 8 Shared Dispositive Power
4,000,000

9 Aggregate Amount Beneficially Owned by Each Reporting Person
4,000,000

10 Check if the Aggregate Amount in Row (9) Excludes Certain
Shares [] (See Instructions)

11 Percent of Class Represented by Amount in Row (9)
9.8%

12 Type of Reporting Person (See Instructions)
CO

CUSIP NO. 75886F 10 7

13G

PAGE 4 ----- of ----- 16 PAGES

1 Names of Reporting Persons/I.R.S. Identification Nos. of Above
Persons (Entities Only)

Bertarelli & Cie

2 Check the Appropriate Box if a Member of a Group (a) []
(See Instructions) (b) []

3 SEC Use Only

4 Citizenship or Place of Organization

Switzerland

Edgar Filing: REGENERON PHARMACEUTICALS INC - Form SC 13G

Number of	5	Sole Voting Power
		N/A
Shares	6	Shared Voting Power 4,000,000
Beneficially	7	Sole Dispositive Power N/A
Owned by Each Reporting Person With	8	Shared Dispositive Power 4,000,000
9	Aggregate Amount Beneficially Owned by Each Reporting Person 4,000,000	
10	Check if the Aggregate Amount in Row (9) Excludes Certain Shares [] (See Instructions)	
11	Percent of Class Represented by Amount in Row (9) 9.8%	
12	Type of Reporting Person (See Instructions) OO	

CUSIP NO. 75886F 10 7 13G PAGE 5 ----- of ----- 16 PAGES

1	Names of Reporting Persons/I.R.S. Identification Nos. of Above Persons (Entities Only) Ernesto Bertarelli	
2	Check the Appropriate Box if a Member of a Group (See Instructions)	(a) [] (b) []
3	SEC Use Only	
4	Citizenship or Place of Organization Switzerland	
Number of	5	Sole Voting Power N/A
Shares	6	Shared Voting Power 4,000,000
Beneficially	7	Sole Dispositive Power N/A

Edgar Filing: REGENERON PHARMACEUTICALS INC - Form SC 13G

Owned by 8 Shared Dispositive Power
Each
Reporting 4,000,000
Person With

9 Aggregate Amount Beneficially Owned by Each Reporting Person
4,000,000

10 Check if the Aggregate Amount in Row (9) Excludes Certain
Shares [] (See Instructions)

11 Percent of Class Represented by Amount in Row (9)
9.8%

12 Type of Reporting Person (See Instructions)
IN

CUSIP NO. 75886F 10 7 13G PAGE 6 ----- of ----- 16 PAGES

ITEM 1(A). NAME OF ISSUER:

Regeneron Pharmaceuticals, Inc.

ITEM 1(B). ADDRESS OF ISSUER'S PRINCIPAL EXECUTIVE OFFICES:

777 Old Saw Mill River Road
Tarrytown, New York 10591-6707

ITEM 2(A). NAMES OF PERSONS FILING:

This statement is being filed jointly by:

(i) Feldon Invest SA, a Panamanian stock company, the holder of
an aggregate of 4,000,000 shares of the issuer's common
stock, par value \$0.001;

(ii) Emfeld Ltd, a Cayman Islands stock company, which is the
holder of all of the issued and outstanding capital stock of
Feldon Invest SA;

(iii) Bertarelli & Cie, a societe en commandite par actions
organized under the laws of Switzerland, which is the holder
of all of the issued and outstanding capital stock of Emfeld
Ltd; and

(iv) Ernesto Bertarelli, an individual, who, as President,
Managing Director and controlling shareholder of Bertarelli
& Cie, controls that entity.

ITEM 2(B). ADDRESS OF PRINCIPAL BUSINESS OFFICE OR, IF NONE, RESIDENCE:

For Feldon Invest SA:
Urbanizacion Obarrio

Edgar Filing: REGENERON PHARMACEUTICALS INC - Form SC 13G

Swiss Bank Building
53rd Street
Panama City, Panama

For Emfeld Ltd:
One Capital Place
Second Floor
P.O. Box 1787
George Town, Grand Cayman, Cayman Islands

For Ernesto Bertarelli and Bertarelli & Cie:
c/o Abacus Conseil et Expertise Comptable SA
2, Chemin des Mines
CH-1202 Geneva
Switzerland

ITEM 2(C). CITIZENSHIP:

Feldon Invest SA: A stock company organized under the laws of Panama

Emfeld Ltd: A stock company organized under the laws of the Cayman Islands

Bertarelli & Cie: A societe en commandite par actions organized under the laws of Switzerland

CUSIP NO. 75886F 10 7 13G PAGE 7 ----- of ----- 16 PAGES

Ernesto Bertarelli: Switzerland

ITEM 2(D). TITLE OF CLASS OF SECURITIES:

Common Stock, par value \$0.001 per share

ITEM 2(E). CUSIP NUMBER:

75886F 10 7

ITEM 3. IF THIS STATEMENT IS FILED PURSUANT TO RULE 13D-1(B), OR 13D-2(B) OR (C), CHECK WHETHER THE PERSON FILING IS A:

Not applicable.

ITEM 4. OWNERSHIP.

The ownership percentage set forth below is based on 40,787,624 shares of common stock outstanding, as reported in the issuer's prospectus dated March 19, 2001.

With respect to Feldon Invest SA:

(a) Amount beneficially owned: 4,000,000

(b) Percent of class: 9.8%

(c) Number of shares as to which the person has:

(i) Sole power to vote or to direct the vote: N/A

Edgar Filing: REGENERON PHARMACEUTICALS INC - Form SC 13G

(ii) Shared power to vote or to direct the vote: 4,000,000

(iii) Sole power to dispose or to direct the disposition of:
N/A

(iv) Shared power to dispose or to direct the disposition
of: 4,000,000

With respect to Emfeld Ltd:

(a) Amount beneficially owned: 4,000,000

(b) Percent of class: 9.8%

(c) Number of shares as to which the person has:

(i) Sole power to vote or to direct the vote: N/A

(ii) Shared power to vote or to direct the vote: 4,000,000

(iii) Sole power to dispose or to direct the disposition of:
N/A

(iv) Shared power to dispose or to direct the disposition
of: 4,000,000

CUSIP NO. 75886F 10 7

13G

PAGE 8 ----- of ----- 16 PAGES

With respect to Bertarelli & Cie:

(a) Amount beneficially owned: 4,000,000

(b) Percent of class: 9.8%

(c) Number of shares as to which the person has:

(i) Sole power to vote or to direct the vote: N/A

(ii) Shared power to vote or to direct the vote: 4,000,000

(iii) Sole power to dispose or to direct the disposition of:
N/A

(iv) Shared power to dispose or to direct the disposition
of: 4,000,000

With respect to Ernesto Bertarelli:

(a) Amount beneficially owned: 4,000,000

(b) Percent of class: 9.8%

(c) Number of shares as to which the person has:

(i) Sole power to vote or to direct the vote: N/A

(ii) Shared power to vote or to direct the vote: 4,000,000

(iii) Sole power to dispose or to direct the disposition of:

Edgar Filing: REGENERON PHARMACEUTICALS INC - Form SC 13G

N/A

(iv) Shared power to dispose or to direct the disposition
of: 4,000,000

CUSIP NO. 75886F 10 7 13G PAGE 9 ----- of ----- 16 PAGES

ITEM 5. OWNERSHIP OF FIVE PERCENT OR LESS OF A CLASS.

Not applicable.

ITEM 6. OWNERSHIP OF MORE THAN FIVE PERCENT ON BEHALF OF ANOTHER PERSON.

Not applicable.

ITEM 7. IDENTIFICATION AND CLASSIFICATION OF THE SUBSIDIARY WHICH ACQUIRED THE
SECURITY BEING REPORTED ON BY THE PARENT HOLDING COMPANY OR CONTROL
PERSON.

Not applicable.

ITEM 8. IDENTIFICATION AND CLASSIFICATION OF MEMBERS OF THE GROUP.

Not applicable.

ITEM 9. NOTICE OF DISSOLUTION OF GROUP.

Not applicable.

ITEM 10. CERTIFICATIONS.

By signing below I certify that, to the best of my knowledge and
belief, the securities referred to above were not acquired and are not held for
the purpose of or with the effect of changing or influencing the control of the
issuer of the securities and were not acquired and are not held in connection
with or as a participant in any transaction having that purpose or effect.

CUSIP NO. 75886F 10 7 13G PAGE 10 ----- of ----- 16 PAGES

SIGNATURE

After reasonable inquiry and to the best of our knowledge and belief, we
certify that the information set forth in this statement is true, complete and
correct.

Dated: March 30, 2001

FELDON INVEST SA

/s/ Thomas J. Plotz

By: Thomas J. Plotz
Title: Attorney-in-Fact

Edgar Filing: REGENERON PHARMACEUTICALS INC - Form SC 13G

EMFELD LTD

/s/ Thomas J. Plotz

By: Thomas J. Plotz
Title: Attorney-in-Fact

BERTARELLI & CIE

/s/ Thomas J. Plotz

By: Thomas J. Plotz
Title: Attorney-in-Fact

/s/ Ernesto Bertarelli*

Ernesto Bertarelli

* By:
/s/ Thomas J. Plotz

Thomas J. Plotz, Attorney-in-Fact

CUSIP NO. 75886F 10 7 13G PAGE 11 ----- of ----- 16 PAGES

EXHIBITS

1. Power of Attorney of Feldon Invest SA	Page 12
2. Power of Attorney of Emfeld Ltd	Page 13
3. Power of Attorney of Bertarelli & Cie	Page 14
4. Power of Attorney of Ernesto Bertarelli	Page 15
5. Joint Filing Agreement Pursuant to Rule 13d-1(k) (1)	Page 16