

CORNERSTONE PROGRESSIVE RETURN FUND  
Form N-PX  
August 21, 2012

OMB  
APPROVAL

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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, DC 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT  
INVESTMENT COMPANY

Investment Company Act file number 811-22066

Cornerstone Progressive Return Fund

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(Exact name of registrant as specified in charter)

350 Jericho Turnpike, Suite 206                      Jericho, New York                      11753  
(Address of principal executive offices)                      (Zip code)

Frank L. Newbauer, Esq.

Ultimus Fund Solutions, LLC 225 Pictoria Drive, Suite 450 Cincinnati, Ohio 45246

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(Name and address of agent for service)

Registrant's telephone number, including area code: (646) 881-4985

Date of fiscal year end: December 31

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Date of reporting period: July 1, 2011 - June 30, 2012

Form N-PX is to be used by a registered management investment company, other than a small business investment company registered on Form N-5 (ss.ss. 239.24 and 274.5 of this chapter), to file reports with the Commission, not later than August 31 of each year, containing the registrant's proxy voting record for the most recent twelve-month period ended June 30, pursuant to section 30 of the Investment Company Act of 1940 and rule 30b1-4 thereunder (17 CFR 270.30b1-4). The Commission may use the information provided on Form N-PX in its regulatory, disclosure review, inspection, and policymaking roles.

A registrant is required to disclose the information specified by Form N-PX, and the Commission will make this information public. A registrant is not required to respond to the collection of information contained in Form N-PX unless the Form displays a currently valid Office of Management and Budget ("OMB") control number. Please direct comments concerning the accuracy of the information collection burden estimate and any suggestions for reducing the burden to the Secretary, Securities and Exchange Commission, 450 Fifth Street, NW, Washington, DC 20549-0609. The OMB has reviewed this collection of information under the clearance requirements of 44 U.S.C. ss. 3507.

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ITEM 1. PROXY VOTING RECORD.

Disclose the following information for each matter relating to a portfolio security considered at any shareholder meeting held during the period covered by the report and with respect to which the registrant was entitled to vote:

- (a) The name of the issuer of the portfolio security;
- (b) The exchange ticker symbol of the portfolio security;
- (c) The Council on Uniform Securities Identification Procedures ("CUSIP") number for the portfolio security;
- (d) The shareholder meeting date;
- (e) A brief identification of the matter voted on;
- (f) Whether the matter was proposed by the issuer or by a security holder;
- (g) Whether the registrant cast its vote on the matter;
- (h) How the registrant cast its vote (e.g., for or against proposal, or abstain; for or withhold regarding election of directors); and
- (i) Whether the registrant cast its vote for or against management.

SIGNATURES

[See General Instruction F]

Pursuant to the requirements of the Investment Company Act of 1940, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

(Registrant) Cornerstone Progressive Return Fund

By (Signature and Title)\* /s/ Ralph W. Bradshaw  
Ralph W. Bradshaw, President and  
Chairman  
(Principal Executive Officer)

Date August 21, 2012

\* Print the name and title of each signing officer under his or her signature.

## EXHIBIT A

Proxy Voting Record  
 Cornerstone Progressive Return Fund  
 July 1, 2011 - June 30, 2012

Company Name	CUSIP	Ticker	Meeting Date	Proposal #	Proposal Description	Proponent	Mgmt Recommendation	Vote Cast
Transamerica Income Shares Inc	893506105	TAI	7/7/2011	1.1	Elect John Waechter	Mgmt	For	For
Transamerica Income Shares Inc	893506105	TAI	7/7/2011	1.2	Elect Joyce Norden Elect Norman	Mgmt	For	For
Transamerica Income Shares Inc	893506105	TAI	7/7/2011	1.3	Nielsen	Mgmt	For	For
Transamerica Income Shares Inc	893506105	TAI	7/7/2011	1.4	Elect Russell Kimball, Jr.	Mgmt	For	For
Invesco AIM	46133E102	PIA	7/14/2011	1.1	Elect David Arch	Mgmt	For	For 93% Abstain 7%
Invesco AIM	46133E102	PIA	7/14/2011	1.2	Elect Bob Baker	Mgmt	For	For 93% Abstain 7%
Invesco AIM	46133E102	PIA	7/14/2011	1.3	Elect Larry Soll	Mgmt	For	For 93% Abstain 7%
Invesco AIM	46133E102	PIA	7/14/2011	1.4	Elect Philip Taylor Elect Wayne	Mgmt	For	For 93% Abstain 7%
Invesco AIM	46133E102	PIA	7/14/2011	1.5	Whalen	Mgmt	For	For 96.3% Abstain 3.7%
Morgan Stanley Fund	46133A100	IIC	7/14/2011	1.1	Elect David Arch	Mgmt	For	For 96.3% Abstain 3.7%
Morgan Stanley Fund	46133A100	IIC	7/14/2011	1.2	Elect Bob Baker	Mgmt	For	For 96.3% Abstain 3.7%
Morgan Stanley Fund	46133A100	IIC	7/14/2011	1.3	Elect Frank Bayley	Mgmt	For	For 96.3% Abstain 3.7%
Morgan Stanley Fund	46133A100	IIC	7/14/2011	1.4	Elect Larry Soll	Mgmt	For	For 96.3% Abstain 3.7%
Morgan Stanley Fund	46133A100	IIC	7/14/2011	1.5	Elect Philip Taylor	Mgmt	For	For 96.3% Abstain 3.7%
Morgan Stanley Fund	46133A100	IIC	7/14/2011	1.6	Elect Wayne Whalen	Mgmt	For	For 96.3% Abstain 3.7%
Morgan Stanley Fund	46133A100	IIC	7/14/2011	1.1	Elect David Arch	Mgmt	For	For 96.3% Abstain 3.7%
Morgan Stanley Fund	46133A100	IIC	7/14/2011	1.2	Elect Bob Baker	Mgmt	For	For 96.3% Abstain 3.7%

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									3.7%
Morgan Stanley Fund	46133A100	IIC	7/14/2011	1.3	Elect Frank Bayley	Mgmt	For		For 96.3% Abstain 3.7%
Morgan Stanley Fund	46133A100	IIC	7/14/2011	1.4	Elect Larry Soll	Mgmt	For		For 96.3% Abstain 3.7%
Morgan Stanley Fund	46133A100	IIC	7/14/2011	1.5	Elect Philip Taylor	Mgmt	For		For 96.3% Abstain 3.7%
Morgan Stanley Fund	46133A100	IIC	7/14/2011	1.6	Elect Wayne Whalen	Mgmt	For		For 96.3% Abstain 3.7%
Clough Global Allocation Fund	18913Y103	GLV	7/15/2011	1.1	Elect Andrew Boynton	Mgmt	For		For 36.3% Abstain 63.7%
Clough Global Allocation Fund	18913Y103	GLV	7/15/2011	1.2	Elect Adam Crescenzi	Mgmt	For		For 36.3% Abstain 63.7%
Clough Global Allocation Fund	18913Y103	GLV	7/15/2011	1.3	Elect Jerry Rutledge	Mgmt	For		For 36.3% Abstain 63.7%
Clough Global Equity Fund	18914C100	GLQ	7/15/2011	1.1	Elect Edmund Burke	Mgmt	For		For 98% Withhold 2%
Clough Global Equity Fund	18914C100	GLQ	7/15/2011	1.2	Elect John Mee	Mgmt	For		For 98% Withhold 2%
Eaton Vance	278279104	EOI	7/22/2011	1.1	Elect Benjamin Esty	Mgmt	For		For 97% Withhold 3%
Eaton Vance	278279104	EOI	7/22/2011	1.2	Elect Thomas Faust, Jr.	Mgmt	For		For 97% Withhold 3%
Eaton Vance	278279104	EOI	7/22/2011	1.3	Elect Allen Freedman	Mgmt	For		For 97% Withhold 3%
BlackRock Core Bond Trust	09249E101	BHK	7/28/2011	1.1	Elect Paul Audet	Mgmt	For		For
BlackRock Core Bond Trust	09249E101	BHK	7/28/2011	1.2	Elect Michael Castellano	Mgmt	For		For
BlackRock Core Bond Trust	09249E101	BHK	7/28/2011	1.3	Elect R. Glenn Hubbard	Mgmt	For		For
BlackRock Core Bond Trust	09249E101	BHK	7/28/2011	1.4	Elect W. Carl Kester	Mgmt	For		For
BlackRock Credit Allocation Income Trust II Inc	09255H105	PSY	7/28/2011	1.1	Elect Paul Audet	Mgmt	For		For 93% Against 7%
BlackRock Credit Allocation Income Trust II Inc	09255H105	PSY	7/28/2011	1.2	Elect Michael Castellano	Mgmt	For		For 93% Against 7%

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BlackRock Credit Allocation Income Trust II Inc	09255H105	PSY	7/28/2011	1.3	Elect Richard Cavanagh	Mgmt	For	For 93% Against 7%
BlackRock Credit Allocation Income Trust II Inc	09255H105	PSY	7/28/2011	1.4	Elect Frank Fabozzi	Mgmt	For	For 93% Against 7%
BlackRock Credit Allocation Income Trust II Inc	09255H105	PSY	7/28/2011	1.5	Elect Kathleen Feldstein	Mgmt	For	For 93% Against 7%
BlackRock Credit Allocation Income Trust II Inc	09255H105	PSY	7/28/2011	1.6	Elect James Flynn	Mgmt	For	For 93% Against 7%
BlackRock Credit Allocation Income Trust II Inc	09255H105	PSY	7/28/2011	1.7	Elect Henry Gabbay	Mgmt	For	For 93% Against 7%
BlackRock Credit Allocation Income Trust II Inc	09255H105	PSY	7/28/2011	1.8	Elect Jerrold Harris	Mgmt	For	For 93% Against 7%
BlackRock Credit Allocation Income Trust II Inc	09255H105	PSY	7/28/2011	1.9	Elect R. Glenn Hubbard	Mgmt	For	For 93% Against 7%
BlackRock Credit Allocation Income Trust II Inc	09255H105	PSY	7/28/2011	1.10	Elect W. Carl Kester	Mgmt	For	For 93% Against 7%
BlackRock Credit Allocation Income Trust II Inc	09255H105	PSY	7/28/2011	1.11	Elect Karen Robards	Mgmt	For	For 93% Against 7%
BlackRock Credit Allocation Income Trust III	09249V103	BPP	7/28/2011	1.1	Elect Paul Audet	Mgmt	For	For
BlackRock Credit Allocation Income Trust III	09249V103	BPP	7/28/2011	1.2	Elect Michael Castellano	Mgmt	For	For
BlackRock Credit Allocation Income Trust III	09249V103	BPP	7/28/2011	1.3	Elect R. Glenn Hubbard	Mgmt	For	For
BlackRock Credit Allocation Income Trust III	09249V103	BPP	7/28/2011	1.4	Elect W. Carl Kester	Mgmt	For	For
BlackRock Credit Allocation Income Trust IV	092508100	BTZ	7/28/2011	1.1	Elect Paul Audet	Mgmt	For	For
BlackRock Credit Allocation Income Trust IV	092508100	BTZ	7/28/2011	1.2	Elect Michael Castellano	Mgmt	For	For
BlackRock Credit Allocation Income Trust IV	092508100	BTZ	7/28/2011	1.3	Elect R. Glenn Hubbard	Mgmt	For	For
BlackRock Credit Allocation Income Trust IV	092508100	BTZ	7/28/2011	1.4	Elect W. Carl Kester	Mgmt	For	For

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BlackRock Enhanced Government Fund Inc	09255K108	EGF	7/28/2011	1.1	Elect Paul Audet	Mgmt	For	For
BlackRock Enhanced Government Fund Inc	09255K108	EGF	7/28/2011	1.2	Elect Michael Castellano	Mgmt	For	For
BlackRock Enhanced Government Fund Inc	09255K108	EGF	7/28/2011	1.3	Elect Richard Cavanagh	Mgmt	For	For
BlackRock Enhanced Government Fund Inc	09255K108	EGF	7/28/2011	1.4	Elect Frank Fabozzi	Mgmt	For	For
BlackRock Enhanced Government Fund Inc	09255K108	EGF	7/28/2011	1.5	Elect Kathleen Feldstein	Mgmt	For	For
BlackRock Enhanced Government Fund Inc	09255K108	EGF	7/28/2011	1.6	Elect James Flynn	Mgmt	For	For
BlackRock Enhanced Government Fund Inc	09255K108	EGF	7/28/2011	1.7	Elect Henry Gabbay	Mgmt	For	For
BlackRock Enhanced Government Fund Inc	09255K108	EGF	7/28/2011	1.8	Elect Jerrold Harris	Mgmt	For	For
BlackRock Enhanced Government Fund Inc	09255K108	EGF	7/28/2011	1.9	Elect R. Glenn Hubbard	Mgmt	For	For
BlackRock Enhanced Government Fund Inc	09255K108	EGF	7/28/2011	1.10	Elect W. Carl Kester	Mgmt	For	For
BlackRock Enhanced Government Fund Inc	09255K108	EGF	7/28/2011	1.11	Elect Karen Robards	Mgmt	For	For
BlackRock High Yield Trust.	09248N102	BHY	7/28/2011	1.1	Elect Paul Audet	Mgmt	For	For
BlackRock High Yield Trust.	09248N102	BHY	7/28/2011	1.2	Elect Michael Castellano	Mgmt	For	For
BlackRock High Yield Trust.	09248N102	BHY	7/28/2011	1.3	Elect R. Glenn Hubbard	Mgmt	For	For
	09248N102	BHY	7/28/2011	1.4	Elect W. Carl Kester	Mgmt	For	For

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BlackRock High Yield Trust.									
BlackRock Income Opportunity Trust Inc.	092475102	BNA	7/28/2011	1.1	Elect Paul Audet	Mgmt	For	For	
BlackRock Income Opportunity Trust Inc.	092475102	BNA	7/28/2011	1.2	Elect Michael Castellano	Mgmt	For	For	
BlackRock Income Opportunity Trust Inc.	092475102	BNA	7/28/2011	1.3	Elect R. Glenn Hubbard	Mgmt	For	For	
BlackRock Income Opportunity Trust Inc.	092475102	BNA	7/28/2011	1.4	Elect W. Carl Kester	Mgmt	For	For	
BlackRock Income Trust Inc	09247F100	BKT	7/28/2011	1.1	Elect Paul Audet	Mgmt	For	For	
BlackRock Income Trust Inc	09247F100	BKT	7/28/2011	1.2	Elect Michael Castellano	Mgmt	For	For	
BlackRock Income Trust Inc	09247F100	BKT	7/28/2011	1.3	Elect R. Glenn Hubbard	Mgmt	For	For	
BlackRock Income Trust Inc	09247F100	BKT	7/28/2011	1.4	Elect W. Carl Kester	Mgmt	For	For	
BlackRock Muniassets Fund Inc	09254J102	MUA	7/28/2011	1.1	Elect Paul Audet	Mgmt	For		For 96% Against 4%
BlackRock Muniassets Fund Inc	09254J102	MUA	7/28/2011	1.2	Elect Michael Castellano	Mgmt	For		For 96% Against 4%
BlackRock Muniassets Fund Inc	09254J102	MUA	7/28/2011	1.3	Elect R. Glenn Hubbard	Mgmt	For		For 96% Against 4%
BlackRock Muniassets Fund Inc	09254J102	MUA	7/28/2011	1.4	Elect W. Carl Kester	Mgmt	For		For 96% Against 4%
BlackRock Municipal Income Investment Quality Trust	09250G102	BAF	7/28/2011	1.1	Elect Paul Audet	Mgmt	For		For 97% Against 3%
BlackRock Municipal Income Investment Quality Trust	09250G102	BAF	7/28/2011	1.2	Elect Michael Castellano	Mgmt	For		For 96% Against 4%
BlackRock Municipal Income Investment Quality Trust	09250G102	BAF	7/28/2011	1.3	Elect R. Glenn Hubbard	Mgmt	For		For 96% Against 4%
BlackRock Munienhanced Fund Inc	09253Y100	MEN	7/28/2011	1.1	Elect Paul Audet	Mgmt	For		For 99% Against 1%
BlackRock Munienhanced	09253Y100	MEN	7/28/2011	1.2	Elect Michael Castellano	Mgmt	For		For 98% Against 2%



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Fund Inc BlackRock Munienhanced Fund Inc	09253Y100	MEN	7/28/2011	1.3	Elect Richard Cavanagh	Mgmt	For	For 98% Against 2%
Fund Inc BlackRock Munienhanced Fund Inc	09253Y100	MEN	7/28/2011	1.4	Elect Kathleen Feldstein	Mgmt	For	For 97% Against 3%
Fund Inc BlackRock Munienhanced Fund Inc	09253Y100	MEN	7/28/2011	1.5	Elect James Flynn	Mgmt	For	For 97% Against 3%
Fund Inc BlackRock Munienhanced Fund Inc	09253Y100	MEN	7/28/2011	1.6	Elect Henry Gabbay	Mgmt	For	For 98% Against 2%
Fund Inc BlackRock Munienhanced Fund Inc	09253Y100	MEN	7/28/2011	1.7	Elect Jerrold Harris	Mgmt	For	For 98% Against 2%
Fund Inc BlackRock Munienhanced Fund Inc	09253Y100	MEN	7/28/2011	1.8	Elect R. Glenn Hubbard	Mgmt	For	For 98% Against 2%
Fund Inc BlackRock Munienhanced Fund Inc	09253Y100	MEN	7/28/2011	1.9	Elect Karen Robards	Mgmt	For	For 98% Against 2%
BlackRock Strategic Bond Trust	09249G106	BHD	7/28/2011	1.1	Elect Paul Audet	Mgmt	For	For
BlackRock Strategic Bond Trust	09249G106	BHD	7/28/2011	1.2	Elect Michael Castellano	Mgmt	For	For
BlackRock Strategic Bond Trust	09249G106	BHD	7/28/2011	1.3	Elect R. Glenn Hubbard	Mgmt	For	For
BlackRock Strategic Bond Trust	09249G106	BHD	7/28/2011	1.4	Elect W. Carl Kester	Mgmt	For	For For 92% Against 5.5% Abstain 2.5%
First Trust Strategic High Income	33735C106	FHO	8/8/2011	1	Reorganization	Mgmt	For	For 92% Against 5.5% Abstain 2.5%
First Trust Strategic High Income Fund	337347108	FHI	8/8/2011	1	Reorganization	Mgmt	For	For 92% Against 5.5% Abstain 2.5%
First Trust Strategic High Income Fund II	337353106	FHY	8/8/2011	1	Reorganization	Mgmt	For	For For
Templeton Russia and East European Fund Inc	88022F105	TRF	8/19/2011	1.1	Elect Harris Ashton	Mgmt	For	86% Withhol 14%
Templeton Russia and East European Fund Inc	88022F105	TRF	8/19/2011	1.2	Elect J. Michael Luttig	Mgmt	For	For 86% Withhol 14%

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Templeton Russia and East European Fund Inc	88022F105	TRF	8/19/2011	1.3	Elect Larry Thompson	Mgmt	For	For 86% Withhold 14%
Templeton Russia and East European Fund Inc	88022F105	TRF	8/19/2011	1.4	Elect Constantine Tseretopoulos	Mgmt	For	For 86% Withhold 14%
Templeton Russia and East European Fund Inc	88022F105	TRF	8/19/2011	2	Ratification of Auditor	Mgmt	For	For 99% Against 0.75% Abstain 0.25%
Duke Energy Corp.	26441C105	DUK	8/23/2011	1	Reverse Stock Split	Mgmt	For	For
Duke Energy Corp.	26441C105	DUK	8/23/2011	2	Merger	Mgmt	For	For
Duke Energy Corp.	26441C105	DUK	8/23/2011	3	Right to Adjourn Meeting	Mgmt	For	For
Eaton Vance Tax-Managed Diversified Equity Income Fund	27828N102	ETY	8/26/2011	1.1	Elect William Park	Mgmt	For	For 97.7% Abstain 2.3%
Eaton Vance Tax-Managed Diversified Equity Income Fund	27828N102	ETY	8/26/2011	1.2	Elect Ronald Pearlman	Mgmt	For	For 97.7% Abstain 2.3%
Eaton Vance Tax-Managed Global Diversified Equity Income Fund	27829F108	EXG	8/26/2011	1.1	Elect William Park	Mgmt	For	For 97.7% Abstain 2.3%
Eaton Vance Tax-Managed Global Diversified Equity Income Fund	27829F108	EXG	8/26/2011	1.2	Elect Ronald Pearlman	Mgmt	For	For 97.7% Abstain 2.3%
H.J. Heinz Co.	423074103	HNZ	8/30/2011	1	Elect William Johnson	Mgmt	For	For
H.J. Heinz Co.	423074103	HNZ	8/30/2011	2	Elect Charles Bunch	Mgmt	For	For
H.J. Heinz Co.	423074103	HNZ	8/30/2011	3	Elect Leonard Coleman, Jr.	Mgmt	For	Against
H.J. Heinz Co.	423074103	HNZ	8/30/2011	4	Elect John Drosdick	Mgmt	For	For
H.J. Heinz Co.	423074103	HNZ	8/30/2011	5	Elect Edith Holiday	Mgmt	For	For
H.J. Heinz Co.	423074103	HNZ	8/30/2011	6	Elect Candace Kendle	Mgmt	For	Against
H.J. Heinz Co.	423074103	HNZ	8/30/2011	7	Elect Dean O'Hare	Mgmt	For	For
H.J. Heinz Co.	423074103	HNZ	8/30/2011	8	Elect Nelson Peltz	Mgmt	For	Against
H.J. Heinz Co.	423074103	HNZ	8/30/2011	9	Elect Dennis Reilley	Mgmt	For	Against
H.J. Heinz Co.	423074103	HNZ	8/30/2011	10	Elect Lynn Swann	Mgmt	For	Against
H.J. Heinz Co.	423074103	HNZ	8/30/2011	11	Elect Thomas Usher	Mgmt	For	Against
H.J. Heinz Co.	423074103	HNZ	8/30/2011	12	Elect Michael Weinstein	Mgmt	For	For
H.J. Heinz Co.	423074103	HNZ	8/30/2011	13	Ratification of Auditor	Mgmt	For	For

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H.J. Heinz Co.	423074103	HNZ	8/30/2011	14	Advisory Vote on Executive Compensation	Mgmt	For	For
H.J. Heinz Co.	423074103	HNZ	8/30/2011	15	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year
Western Asset Managed Municipals Portfolio Inc	95766M105	MMU	9/23/2011	1.1	Elect Leslie Gelb	Mgmt	For	For 98.6% Withhold 1.4%
Western Asset Managed Municipals Portfolio Inc	95766M105	MMU	9/23/2011	1.2	Elect William Hutchinson	Mgmt	For	For 98.7% Withhold 1.3%
Western Asset Managed Municipals Portfolio Inc	95766M105	MMU	9/23/2011	1.3	Elect Riordan Roett	Mgmt	For	For 98.7% Withhold 1.3%
MFS Charter Income Trust	552727109	MCR	10/5/2011	1.1	Elect William Gutow	Mgmt	For	For 98% Against 2%
MFS Charter Income Trust	552727109	MCR	10/5/2011	1.2	Elect Michael Hegarty	Mgmt	For	For 98.5% Against 1.5%
MFS Charter Income Trust	552727109	MCR	10/5/2011	1.3	Elect John Kavanaugh	Mgmt	For	For 98.5% Against 1.5%
MFS Charter Income Trust	552727109	MCR	10/5/2011	1.4	Elect Robert Uek	Mgmt	For	For 98% Against 2%
MFS Government Markets Income Trust	59318T109	MGF	10/5/2011	1.1	Elect William Gutow	Mgmt	For	For 98% Against 2%
MFS Government Markets Income Trust	59318T109	MGF	10/5/2011	1.2	Elect Michael Hegarty	Mgmt	For	For 98% Against 2%
MFS Government Markets Income Trust	59318T109	MGF	10/5/2011	1.3	Elect John Kavanaugh	Mgmt	For	For 98% Against 2%
MFS Government Markets Income Trust	59318T109	MGF	10/5/2011	1.4	Elect Robert Uek	Mgmt	For	For 98% Against 2%
MFS Intermarket Income Trust I	59318T109	CMK	10/5/2011	1.1	Elect William Gutow	Mgmt	For	For 98% Against 2%
MFS Intermarket Income Trust I	59318T109	CMK	10/5/2011	1.2	Elect Michael Hegarty	Mgmt	For	For 98% Against 2%
MFS Intermarket Income Trust I	59318T109	CMK	10/5/2011	1.3	Elect John Kavanaugh	Mgmt	For	For 97% Against 3%
MFS Intermarket Income Trust I	59318T109	CMK	10/5/2011	1.4	Elect Robert Uek	Mgmt	For	For 97.8% Against 2.2%
Oracle Corp.	68389X105	ORCL	10/12/2011	1.1	Elect Jeffrey Berg	Mgmt	For	For
Oracle Corp.	68389X105	ORCL	10/12/2011	1.2		Mgmt	For	Withhold

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					Elect H. Raymond Bingham				
					Elect Michael Boskin				
Oracle Corp.	68389X105	ORCL	10/12/2011	1.3	Boskin	Mgmt	For		For
Oracle Corp.	68389X105	ORCL	10/12/2011	1.4	Elect Safra Catz	Mgmt	For		Withhold
Oracle Corp.	68389X105	ORCL	10/12/2011	1.5	Elect Bruce Chizen	Mgmt	For		For
					Elect George Conrades				
Oracle Corp.	68389X105	ORCL	10/12/2011	1.6	Conrades	Mgmt	For		For
					Elect Lawrence Ellison				
Oracle Corp.	68389X105	ORCL	10/12/2011	1.7	Ellison	Mgmt	For		For
					Elect Hector Garcia-Molina				
Oracle Corp.	68389X105	ORCL	10/12/2011	1.8	Garcia-Molina	Mgmt	For		For
Oracle Corp.	68389X105	ORCL	10/12/2011	1.9	Elect Jeffrey Henley	Mgmt	For		For
Oracle Corp.	68389X105	ORCL	10/12/2011	1.10	Elect Mark Hurd	Mgmt	For		For
Oracle Corp.	68389X105	ORCL	10/12/2011	1.11	Elect Donald Lucas	Mgmt	For		For
					Elect Naomi Seligman				
Oracle Corp.	68389X105	ORCL	10/12/2011	1.12	Seligman	Mgmt	For		For
					Advisory Vote on Executive Compensation				
Oracle Corp.	68389X105	ORCL	10/12/2011	2	Frequency of Advisory Vote on Executive Compensation	Mgmt	For		For
					Ratification of Auditor				
Oracle Corp.	68389X105	ORCL	10/12/2011	3	Compensation	Mgmt	1 Year		1 Year
					Proposal Regarding Retention of Shares				
Oracle Corp.	68389X105	ORCL	10/12/2011	4	After Retirement	Mgmt	For		For
					Shareholder Proposal Regarding Retention of Shares				
Oracle Corp.	68389X105	ORCL	10/12/2011	5	After Retirement	ShrHoldr	Against		Against
CLAYMORE FUNDS	007639107	AGC	10/25/2011	1	Elect Daniel Black	Mgmt	For		Against For 96% Against 3% Abstain 1%
Eaton Vance	278279104	EVF	10/28/2011	1.1	Elect Benjamin Esty	Mgmt	For		For 97%Withhold 3%
					Elect Thomas Faust, Jr.				For 97%Withhold 3%
Eaton Vance Cardinal Health, Inc.	278279104	EVF	10/28/2011	1.2	Jr.	Mgmt	For		For 97%Withhold 3%
					Elect Colleen Arnold				
Cardinal Health, Inc.	14149Y108	CAH	11/2/2011	1	Arnold	Mgmt	For		For
Cardinal Health, Inc.	14149Y108	CAH	11/2/2011	2	Elect George Barrett	Mgmt	For		For
Cardinal Health, Inc.	14149Y108	CAH	11/2/2011	3	Elect Glenn Britt	Mgmt	For		For
Cardinal Health, Inc.	14149Y108	CAH	11/2/2011	4	Elect Carrie Cox	Mgmt	For		For
Cardinal Health, Inc.	14149Y108	CAH	11/2/2011	5	Elect Calvin Darden	Mgmt	For		For
Cardinal Health, Inc.	14149Y108	CAH	11/2/2011	6	Elect Bruce Downey	Mgmt	For		For

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Cardinal Health, Inc.	14149Y108	CAH	11/2/2011	7	Elect John Finn	Mgmt	For	For
Cardinal Health, Inc.	14149Y108	CAH	11/2/2011	8	Elect Gregory Kenny	Mgmt	For	For
Cardinal Health, Inc.	14149Y108	CAH	11/2/2011	9	Elect David King	Mgmt	For	For
Cardinal Health, Inc.	14149Y108	CAH	11/2/2011	10	Elect Richard Notebaert	Mgmt	For	For
Cardinal Health, Inc.	14149Y108	CAH	11/2/2011	11	Elect David Raisbeck	Mgmt	For	For
Cardinal Health, Inc.	14149Y108	CAH	11/2/2011	12	Elect Jean Spaulding	Mgmt	For	For
Cardinal Health, Inc.	14149Y108	CAH	11/2/2011	13	Ratification of Auditor	Mgmt	For	For
Cardinal Health, Inc.	14149Y108	CAH	11/2/2011	14	2011 Long-Term Incentive Plan	Mgmt	For	For
Cardinal Health, Inc.	14149Y108	CAH	11/2/2011	15	Advisory Vote on Executive Compensation	Mgmt	For	Against
Cardinal Health, Inc.	14149Y108	CAH	11/2/2011	16	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year
Cardinal Health, Inc.	14149Y108	CAH	11/2/2011	17	Shareholder Proposal Regarding Independent Board Chairman	ShrHoldr	Against	For
Japan Smaller Capitalization Fund Inc.	47109U104	JOF	11/16/2011	1.1	Elect Shigeru Shinohara	Mgmt	For	For 92% Withhold 8%
Japan Smaller Capitalization Fund Inc.	47109U104	JOF	11/16/2011	1.2	Elect Chor Weng Tan	Mgmt	For	For 92% Withhold 8%
Nuveen Build America Bond Fund	67074C103	NBB	11/16/2011	1.1	Elect John Amboian	Mgmt	For	For 99% Withhold 1%
Nuveen Build America Bond Fund	67074C103	NBB	11/16/2011	1.2	Elect David Kundert	Mgmt	For	For 99% Withhold 1%
Nuveen Build America Bond Fund	67074C103	NBB	11/16/2011	1.3	Elect Terence Toth	Mgmt	For	For 99% Withhold 1%
Nuveen Insured Municipal Opportunity Fund	670984103	NIO	11/16/2011	1.1	Elect John Amboian	Mgmt	For	For 96% Against 4%
Nuveen Insured Municipal Opportunity Fund	670984103	NIO	11/16/2011	1.2	Elect Robert Bremner	Mgmt	For	For 96% Against 4%
Nuveen Insured Municipal Opportunity Fund	670984103	NIO	11/16/2011	1.3	Elect Jack Evans	Mgmt	For	For 96% Against 4%

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Nuveen Insured Municipal Opportunity Fund	670984103	NIO	11/16/2011	1.4	Elect David Kundert	Mgmt	For	For 96% Against 4%
Nuveen Insured Municipal Opportunity Fund	670984103	NIO	11/16/2011	1.5	Elect Judith Stockdale	Mgmt	For	For 96% Against 4%
Nuveen Insured Municipal Opportunity Fund	670984103	NIO	11/16/2011	1.6	Elect Carole Stone	Mgmt	For	For 96% Against 4%
Nuveen Insured Municipal Opportunity Fund	670984103	NIO	11/16/2011	1.7	Elect Virginia Stringer	Mgmt	For	For 96% Against 4%
Nuveen Insured Municipal Opportunity Fund	670984103	NIO	11/16/2011	1.8	Elect Terence Toth	Mgmt	For	For 96% Against 4%
Nuveen Insured Municipal Opportunity Fund	670984103	NIO	11/16/2011	2	Elimination of Fundamental Investment Policy Concerning Loans Approval of New Fundamental Investment Objective Concerning Loans	Mgmt	For	For 70% Against 5% Abstain 25%
Nuveen Insured Municipal Opportunity Fund	670984103	NIO	11/16/2011	3	Concerning Loans	Mgmt	For	For 70% Against 5% Abstain 25%
Nuveen Insured Quality Municipal Fund	67062N103	NQI	11/16/2011	1.1	Elect John Amboian	Mgmt	For	For 97% Withhold 3%
Nuveen Insured Quality Municipal Fund	67062N103	NQI	11/16/2011	1.2	Elect Robert Bremner	Mgmt	For	For 97% Withhold 3%
Nuveen Insured Quality Municipal Fund	67062N103	NQI	11/16/2011	1.3	Elect Jack Evans	Mgmt	For	For 97% Withhold 3%
Nuveen Insured Quality Municipal Fund	67062N103	NQI	11/16/2011	1.4	Elect David Kundert	Mgmt	For	For 97% Withhold 3%
Nuveen Insured Quality Municipal Fund	67062N103	NQI	11/16/2011	1.5	Elect Judith Stockdale	Mgmt	For	For 97% Withhold 3%
Nuveen Insured Quality Municipal Fund	67062N103	NQI	11/16/2011	1.6	Elect Carole Stone	Mgmt	For	For 97% Withhold 3%
Nuveen Insured Quality Municipal Fund	67062N103	NQI	11/16/2011	1.7	Elect Virginia Stringer	Mgmt	For	For 97% Withhold 3%
Nuveen Insured Quality Municipal Fund	67062N103	NQI	11/16/2011	1.8	Elect Terence Toth	Mgmt	For	For 97% Withhold 3%
Nuveen Insured Quality Municipal Fund	67062N103	NQI	11/16/2011	2	Elimination of Fundamental Investment Policy	Mgmt	For	For 53% Against 4% Abstain 47%

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Nuveen Insured Quality Municipal Fund	67062N103	NQI	11/16/2011	3	Concerning Loans Approval of New Fundamental Investment Objective	Mgmt	For	For 53% Against 47% Abstain 4%
Nuveen Insured Tax-Free Advantage Municipal Fund	670657105	NEA	11/16/2011	1.1	Elect John Amboian	Mgmt	For	For 98% Withhold 2%
Nuveen Insured Tax-Free Advantage Municipal Fund	670657105	NEA	11/16/2011	1.2	Elect David Kundert	Mgmt	For	For 98% Withhold 2%
Nuveen Insured Tax-Free Advantage Municipal Fund	670657105	NEA	11/16/2011	1.3	Elect Terence Toth	Mgmt	For	For 98% Withhold 2%
Nuveen Insured Tax-Free Advantage Municipal Fund	670657105	NEA	11/16/2011	2	Elimination of Fundamental Investment Policy Concerning Loans Approval of New Fundamental	Mgmt	For	For 46% Against 51% Abstain 3%
Nuveen Insured Tax-Free Advantage Municipal Fund	670657105	NEA	11/16/2011	3	Investment Objective Concerning Loans	Mgmt	For	For 46% Against 51% Abstain 3%
Nuveen Municipal Income Fund	67062J102	NMI	11/16/2011	1.1	Elect John Amboian	Mgmt	For	For 98% Withhold 2%
Nuveen Municipal Income Fund	67062J102	NMI	11/16/2011	1.2	Elect David Kundert	Mgmt	For	For 98% Withhold 2%
Nuveen Municipal Income Fund	67062J102	NMI	11/16/2011	1.3	Elect Terence Toth	Mgmt	For	For 98% Withhold 2%
Nuveen Municipal Income Fund	67062J102	NMI	11/16/2011	2	Elimination of Fundamental Investment Policy Concerning Loans Approval of New Fundamental	Mgmt	For	For 49% Against 48% Abstain 3%
Nuveen Municipal Income Fund	67062J102	NMI	11/16/2011	3	Investment Objective Concerning Loans	Mgmt	For	For 49% Against 48% Abstain 3%
Nuveen Select Maturities Municipal Fund	67061T101	NIM	11/16/2011	1.1	Elect John Amboian	Mgmt	For	For 98.5% Withhold 1.5%
Nuveen Select Maturities Municipal Fund	67061T101	NIM	11/16/2011	1.2	Elect David Kundert	Mgmt	For	For 98.4% Withhold 1.6%

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Nuveen Select Maturities Municipal Fund	67061T101	NIM	11/16/2011	1.3	Elect Terence Toth	Mgmt	For	For 98.4% Withhold 1.6%
Nuveen Select Maturities Municipal Fund	67061T101	NIM	11/16/2011	2	Elimination of Fundamental Investment Policy Concerning Loans Approval of New Fundamental Investment Objective	Mgmt	For	For 43% Against 3% Abstain 54%
Nuveen Select Maturities Municipal Fund	67061T101	NIM	11/16/2011	3	Concerning Loans	Mgmt	For	For 43% Against 3% Abstain 54%
Nuveen Select Tax Free Income Portfolio	67063X100	NXR	11/16/2011	1.1	Elect John Amboian	Mgmt	For	For 98% Withhold 2%
Nuveen Select Tax Free Income Portfolio	67063X100	NXR	11/16/2011	1.2	Elect David Kundert	Mgmt	For	For 98% Withhold 2%
Nuveen Select Tax Free Income Portfolio	67063X100	NXR	11/16/2011	1.3	Elect Terence Toth	Mgmt	For	For 98% Withhold 2%
Nuveen Select Tax Free Income Portfolio	67063X100	NXR	11/16/2011	2	Elimination of Fundamental Investment Policy Concerning Loans Approval of New Fundamental Investment Objective	Mgmt	For	For 50% Against 3% Abstain 47%
Nuveen Select Tax Free Income Portfolio	67063X100	NXR	11/16/2011	3	Concerning Loans	Mgmt	For	For 50% Against 3% Abstain 47%
HELIOS FUNDS WELLS FARGO ADVANTAGE FUNDS	42328A203	HAV	11/17/2011	1.1	Elect Louis Salvatore	Mgmt	For	For 97% Withhold 3%
HELIOS FUNDS WELLS FARGO ADVANTAGE FUNDS	94987E109	ERH	12/12/2011	1.1	Elect Isiah Harris	Mgmt	For	For 96% Withhold 4%
HELIOS FUNDS WELLS FARGO ADVANTAGE FUNDS	94987E109	ERH	12/12/2011	1.2	Elect David Larcker	Mgmt	For	For 96% Withhold 4%
HELIOS FUNDS WELLS FARGO ADVANTAGE FUNDS	94987E109	ERH	12/12/2011	1.3	Elect Olivia Mitchell	Mgmt	For	For 96% Withhold 4%
BlackRock Enhanced Dividend Achievers Trust	09251A104	BDJ	12/22/2011	1	Reorganization	Mgmt	For	For 86% Against 10% Abstain 4%
BlackRock Enhanced Dividend Achievers Trust	09251A104	BDJ	12/22/2011	2	Issuance of Common Stock	Mgmt	For	For 86% Against 10% Abstain 4%
	746909100	PIM	1/26/2012	1.1	Elect Ravi Akhoury	Mgmt	For	For 95%



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Putnam Master Intermediate Income Trust									Against 5%
Putnam Master Intermediate Income Trust	746909100	PIM	1/26/2012	1.2	Elect Barbara Baumann	Mgmt	For		For 96% Against 4%
Putnam Master Intermediate Income Trust	746909100	PIM	1/26/2012	1.3	Elect Jameson Baxter	Mgmt	For		For 96% Against 4%
Putnam Master Intermediate Income Trust	746909100	PIM	1/26/2012	1.4	Elect Charles Curtis	Mgmt	For		For 95% Against 5%
Putnam Master Intermediate Income Trust	746909100	PIM	1/26/2012	1.5	Elect Robert Darretta	Mgmt	For		For 96% Against 4%
Putnam Master Intermediate Income Trust	746909100	PIM	1/26/2012	1.6	Elect John Hill	Mgmt	For		For 96% Against 4%
Putnam Master Intermediate Income Trust	746909100	PIM	1/26/2012	1.7	Elect Paul Joskow	Mgmt	For		For 96% Against 4%
Putnam Master Intermediate Income Trust	746909100	PIM	1/26/2012	1.8	Elect Elizabeth Kennan	Mgmt	For		For 95% Against 5%
Putnam Master Intermediate Income Trust	746909100	PIM	1/26/2012	1.9	Elect Kenneth Leibler	Mgmt	For		For 96% Against 4%
Putnam Master Intermediate Income Trust	746909100	PIM	1/26/2012	1.10	Elect George Putnam, III	Mgmt	For		For 95% Against 5%
Putnam Master Intermediate Income Trust	746909100	PIM	1/26/2012	1.11	Elect Robert Patterson	Mgmt	For		For 96% Against 4%
Putnam Master Intermediate Income Trust	746909100	PIM	1/26/2012	1.12	Elect Robert Reynolds	Mgmt	For		For 96% Against 4%
Putnam Master Intermediate Income Trust	746909100	PIM	1/26/2012	1.13	Elect W. Thomas Stephens	Mgmt	For		For 96% Against 4%
Putnam Premier Income Trust	746853100	PPT	1/26/2012	1.1	Elect Ravi Akhoury	Mgmt	For		For 96% Against 4%
Putnam Premier Income Trust	746853100	PPT	1/26/2012	1.2	Elect Barbara Baumann	Mgmt	For		For 96% Against 4%
Putnam Premier Income Trust	746853100	PPT	1/26/2012	1.3	Elect Jameson Baxter	Mgmt	For		For 96% Against 4%
Putnam Premier Income Trust	746853100	PPT	1/26/2012	1.4	Elect Charles Curtis	Mgmt	For		For 96% Against 4%
Putnam Premier Income Trust	746853100	PPT	1/26/2012	1.5	Elect Robert Darretta	Mgmt	For		For 96% Against 4%
Putnam Premier Income Trust	746853100	PPT	1/26/2012	1.6	Elect John Hill	Mgmt	For		For 96% Against 4%

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Putnam Premier Income Trust	746853100	PPT	1/26/2012	1.7	Elect Paul Joskow	Mgmt	For	For 96% Against 4%
Putnam Premier Income Trust	746853100	PPT	1/26/2012	1.8	Elect Elizabeth Kennan	Mgmt	For	For 96% Against 4%
Putnam Premier Income Trust	746853100	PPT	1/26/2012	1.9	Elect Kenneth Leibler	Mgmt	For	For 96% Against 4%
Putnam Premier Income Trust	746853100	PPT	1/26/2012	1.10	Elect George Putnam, III	Mgmt	For	For 96% Against 4%
Putnam Premier Income Trust	746853100	PPT	1/26/2012	1.11	Elect Robert Patterson	Mgmt	For	For 96% Against 4%
Putnam Premier Income Trust	746853100	PPT	1/26/2012	1.12	Elect Robert Reynolds	Mgmt	For	For 96% Against 4%
Putnam Premier Income Trust	746853100	PPT	1/26/2012	1.13	Elect W. Thomas Stephens	Mgmt	For	For 96% Against 4%
LMP Corporate Loan Fund Inc	50208B100	TLI	1/27/2012	1.1	Elect William Hutchinson	Mgmt	For	For 98% Withhold 2%
LMP Corporate Loan Fund Inc	50208B100	TLI	1/27/2012	1.2	Elect Jeswald Salacuse	Mgmt	For	For 98% Withhold 2%
Western Asset Variable Rate Strategic Fund Inc	957667108	GFY	1/27/2012	1.1	Elect Carol Colman	Mgmt	For	For 92% Against 8%
Western Asset Variable Rate Strategic Fund Inc	957667108	GFY	1/27/2012	1.2	Elect Daniel Cronin	Mgmt	For	For 92% Against 8%
Becton, Dickinson And Co.	075887109	BDX	1/31/2012	1	Elect Basil Anderson	Mgmt	For	For
Becton, Dickinson And Co.	075887109	BDX	1/31/2012	2	Elect Henry Becton, Jr.	Mgmt	For	For
Becton, Dickinson And Co.	075887109	BDX	1/31/2012	3	Elect Edward DeGraan	Mgmt	For	For
Becton, Dickinson And Co.	075887109	BDX	1/31/2012	4	Elect Vincent Forlenza	Mgmt	For	For
Becton, Dickinson And Co.	075887109	BDX	1/31/2012	5	Elect Claire Fraser-Liggett	Mgmt	For	For
Becton, Dickinson And Co.	075887109	BDX	1/31/2012	6	Elect Christopher Jones	Mgmt	For	For
Becton, Dickinson And Co.	075887109	BDX	1/31/2012	7	Elect Marshall Larsen	Mgmt	For	For
Becton, Dickinson And Co.	075887109	BDX	1/31/2012	8	Elect Edward Ludwig	Mgmt	For	For
Becton, Dickinson And Co.	075887109	BDX	1/31/2012	9	Elect Adel Mahmoud	Mgmt	For	For
Becton, Dickinson And Co.	075887109	BDX	1/31/2012	10	Elect Gary Mecklenburg	Mgmt	For	For
Becton, Dickinson And Co.	075887109	BDX	1/31/2012	11	Elect James Orr Elect Willard	Mgmt	For	For
Becton, Dickinson And Co.	075887109	BDX	1/31/2012	12	Overlock, Jr.	Mgmt	For	For
	075887109	BDX	1/31/2012	13	Elect Bertram Scott	Mgmt	For	For

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Becton, Dickinson And Co.									
Becton, Dickinson And Co.	075887109	BDX	1/31/2012	14	Elect Alfred Sommer	Mgmt	For	For	
Becton, Dickinson And Co.	075887109	BDX	1/31/2012	15	Ratification of Auditor	Mgmt	For	For	
Becton, Dickinson And Co.	075887109	BDX	1/31/2012	16	Advisory Vote on Executive Compensation	Mgmt	For	For	
Becton, Dickinson And Co.	075887109	BDX	1/31/2012	17	Shareholder Proposal Regarding Adoption of Cumulative Voting	ShrHoldr	Against	Against	
Emerson Electric Co.	291011104	EMR	2/7/2012	1.1	Elect Carlos Fernandez Gonzalez	Mgmt	For	For	
Emerson Electric Co.	291011104	EMR	2/7/2012	1.2	Elect Arthur Golden	Mgmt	For	Withhold	
Emerson Electric Co.	291011104	EMR	2/7/2012	1.3	Elect William Johnson	Mgmt	For	For	
Emerson Electric Co.	291011104	EMR	2/7/2012	1.4	Elect John Menzer	Mgmt	For	For	
Emerson Electric Co.	291011104	EMR	2/7/2012	1.5	Elect August Busch III	Mgmt	For	For	
Emerson Electric Co.	291011104	EMR	2/7/2012	1.6	Elect Rozanne Ridgway	Mgmt	For	For	
Emerson Electric Co.	291011104	EMR	2/7/2012	2	Advisory Vote on Executive Compensation	Mgmt	For	For	
Emerson Electric Co.	291011104	EMR	2/7/2012	3	Ratification of Auditor	Mgmt	For	For	
Emerson Electric Co.	291011104	EMR	2/7/2012	4	Shareholder Proposal Regarding Sustainability Report	ShrHoldr	Against	Against	
Emerson Electric Co.	291011104	EMR	2/7/2012	5	Shareholder Proposal Regarding Declassification of the Board	ShrHoldr	Against	For	
WELLS FARGO ADVANTAGE FUNDS	94987E109	ERC	2/13/2012	1.1	Elect Judith Johnson	Mgmt	For	For 98% Withhold 2%	
WELLS FARGO ADVANTAGE FUNDS	94987E109	ERC	2/13/2012	1.2	Elect Leroy Keith, Jr.	Mgmt	For	For 98% Withhold 2%	
WELLS FARGO ADVANTAGE FUNDS	94987E109	ERC	2/13/2012	1.3	Elect Donald Willeke	Mgmt	For	For 98% Withhold 2%	
WELLS FARGO ADVANTAGE FUNDS	94987E109	EOD	2/13/2012	1.1	Elect Judith Johnson	Mgmt	For	For 98% Withhold 2%	
	94987E109	EOD	2/13/2012	1.2		Mgmt	For	For 98%	

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WELLS FARGO ADVANTAGE FUNDS					Elect Leroy Keith, Jr.			Withhold 29%
WELLS FARGO ADVANTAGE FUNDS	94987E109	EOD	2/13/2012	1.3	Elect Donald Willeke	Mgmt	For	For 98% Withhold 29%
Eaton Vance Tax Advantage	27828U106	ETO	2/24/2012	1.1	Elect Scott Eston	Mgmt	For	For 98% Withhold 29%
Eaton Vance Tax Advantage	27828U106	ETO	2/24/2012	1.2	Elect Thomas Faust, Jr.	Mgmt	For	For 98% Withhold 29%
Eaton Vance Tax Advantage	27828U106	ETO	2/24/2012	1.3	Elect William Park	Mgmt	For	For 98% Withhold 29%
Eaton Vance Tax Advantage	27828U106	ETO	2/24/2012	1.4	Elect Harriett Taggart	Mgmt	For	For 98% Withhold 29%
Nuveen Floating Rate Income Opportunity Fund	6706EN100	JRO	3/14/2012	1.1	Elect John Amboian	Mgmt	For	For 97%Withhold 3%
Nuveen Floating Rate Income Opportunity Fund	6706EN100	JRO	3/14/2012	1.2	Elect David Kundert	Mgmt	For	For 97%Withhold 3%
Nuveen Floating Rate Income Opportunity Fund	6706EN100	JRO	3/14/2012	1.3	Elect Terence Toth	Mgmt	For	For 97%Withhold 3%
Nuveen Tax-Advantaged Floating Rate Fund	6706EV102	JFP	3/14/2012	1.1	Elect John Amboian	Mgmt	For	For 97% Withhold 3%
Nuveen Tax-Advantaged Floating Rate Fund	6706EV102	JFP	3/14/2012	1.2	Elect David Kundert	Mgmt	For	For 97% Withhold 3%
Nuveen Tax-Advantaged Floating Rate Fund	6706EV102	JFP	3/14/2012	1.3	Elect Terence Toth	Mgmt	For	For 97% Withhold 3%
Petroleum & Resources Corp	716549100	PEO	3/20/2012	1.1	Elect Enrique Arzac	Mgmt	For	For 93%Withhold 7%
Petroleum & Resources Corp	716549100	PEO	3/20/2012	1.2	Elect Phyllis Bonanno	Mgmt	For	For 94%Withhold 6%
Petroleum & Resources Corp	716549100	PEO	3/20/2012	1.3	Elect Kenneth Dale	Mgmt	For	For 94%Withhold 6%
Petroleum & Resources Corp	716549100	PEO	3/20/2012	1.4	Elect Daniel Emerson	Mgmt	For	For 93%Withhold 7%
Petroleum & Resources Corp	716549100	PEO	3/20/2012	1.5	Elect Frederic Escherich	Mgmt	For	For 94%Withhold 6%
	716549100	PEO	3/20/2012	1.6	Elect Roger Gale	Mgmt	For	

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Petroleum & Resources Corp									For 94% Withhold 6%
Petroleum & Resources Corp	716549100	PEO	3/20/2012	1.7	Elect Kathleen McGahran	Mgmt	For		For 94% Withhold 6%
Petroleum & Resources Corp	716549100	PEO	3/20/2012	1.8	Elect Douglas Ober	Mgmt	For		For 94% Withhold 6%
Petroleum & Resources Corp	716549100	PEO	3/20/2012	1.9	Elect Craig Smith	Mgmt	For		For 94% Withhold 6%
Petroleum & Resources Corp	716549100	PEO	3/20/2012	2	Ratification of Auditor	Mgmt	For		For 98% Against 1% Abstain 1% For 84%
Aberdeen Funds	00301L109	ISL	3/22/2012	1.1	Elect Enrique Arzac	Mgmt	For		Against 16% For 98% Withhold 2%
Eaton Vance	278279104	EFT	3/23/2012	1.1	Elect Scott Eston	Mgmt	For		For 98% Withhold 2%
Eaton Vance	278279104	EFT	3/23/2012	1.2	Elect William Park	Mgmt	For		For 98% Withhold 2%
Eaton Vance	278279104	EFT	3/23/2012	1.3	Elect Ronald Pearlman	Mgmt	For		For 97% Withhold 3%
Eaton Vance Nuveen Equity Premium	278279104	EFT	3/23/2012	1.4	Elect Harriett Tee Taggart	Mgmt	For		For 98% Withhold 2%
Advantage Fund Nuveen Equity Premium	6706ET107	JLA	3/30/2012	1.1	Elect Robert Bremner	Mgmt	For		For 97% Withhold 3%
Advantage Fund Nuveen Equity Premium	6706ET107	JLA	3/30/2012	1.2	Elect Jack Evans	Mgmt	For		For 97% Withhold 3%
Advantage Fund Nuveen Equity Premium	6706ET107	JLA	3/30/2012	1.3	Elect William Schneider	Mgmt	For		For 97% Withhold 3%
Advantage Fund Nuveen Equity Premium and Growth Fund	6706EW100	JPG	3/30/2012	1.1	Elect Robert Bremner	Mgmt	For		For 98% Withhold 2%
Advantage Fund Nuveen Equity Premium and Growth Fund	6706EW100	JPG	3/30/2012	1.2	Elect Jack Evans	Mgmt	For		For 98% Withhold 2%
Advantage Fund Nuveen Equity Premium and Growth Fund	6706EW100	JPG	3/30/2012	1.3	Elect William Schneider	Mgmt	For		For 98% Withhold 2%
Advantage Fund Nuveen Equity Premium Income Fund	6706ER101	JPZ	3/30/2012	1.1	Elect Robert Bremner	Mgmt	For		For 98% Withhold 2%
	6706ER101	JPZ	3/30/2012	1.2	Elect Jack Evans	Mgmt	For		

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Nuveen Equity Premium Income Fund									For 98% Withhold 2%
Nuveen Equity Premium Income Fund	6706ER101	JPZ	3/30/2012	1.3	Elect William Schneider	Mgmt	For		For 98% Withhold 2%
Nuveen Equity Premium Opportunity Fund	6706EM102	JSN	3/30/2012	1.1	Elect Robert Bremner	Mgmt	For		For 98% Withhold 2%
Nuveen Equity Premium Opportunity Fund	6706EM102	JSN	3/30/2012	1.2	Elect Jack Evans	Mgmt	For		For 98% Withhold 2%
Nuveen Equity Premium Opportunity Fund	6706EM102	JSN	3/30/2012	1.3	Elect William Schneider	Mgmt	For		For 98% Withhold 2%
Nuveen Global Government Enhanced Income Fund	67073C104	JGG	3/30/2012	1.1	Elect Robert Bremner	Mgmt	For		For 98% Withhold 2%
Nuveen Global Government Enhanced Income Fund	67073C104	JGG	3/30/2012	1.2	Elect Jack Evans	Mgmt	For		For 98% Withhold 2%
Nuveen Global Government Enhanced Income Fund	67073C104	JGG	3/30/2012	1.3	Elect William Schneider	Mgmt	For		For 98% Withhold 2%
General American Investors Co., Inc.	368802104	GAM	4/11/2012	1.1	Elect Rodney Berens	Mgmt	For		For 95.5% Withhold 4.5%
General American Investors Co., Inc.	368802104	GAM	4/11/2012	1.2	Elect Lewis Cullman	Mgmt	For		For 95.5% Withhold 4.5%
General American Investors Co., Inc.	368802104	GAM	4/11/2012	1.3	Elect Spencer Davidson	Mgmt	For		For 95.5% Withhold 4.5%
General American Investors Co., Inc.	368802104	GAM	4/11/2012	1.4	Elect Gerald Edelman	Mgmt	For		For 95.5% Withhold 4.5%
General American Investors Co., Inc.	368802104	GAM	4/11/2012	1.5	Elect John Gordan, III	Mgmt	For		For 95.5% Withhold 4.5%
General American Investors Co., Inc.	368802104	GAM	4/11/2012	1.6	Elect Betsy Gotbaum	Mgmt	For		For 95.5% Withhold 4.5%
General American Investors Co., Inc.	368802104	GAM	4/11/2012	1.7	Elect Sidney Knafel	Mgmt	For		For 95.5% Withhold 4.5%
General American Investors Co., Inc.	368802104	GAM	4/11/2012	1.8	Elect Daniel Neidich	Mgmt	For		For 95.5% Withhold 4.5%

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General American Investors Co., Inc.	368802104	GAM	4/11/2012	1.9	Elect D. Ellen Shuman	Mgmt	For	For 95.5% Withhold 4.5%
General American Investors Co., Inc.	368802104	GAM	4/11/2012	2	Ratification of Auditor	Mgmt	For	For 98.9% Against 0.6% Abstain 0.5%
United Technologies Corp.	913017109	UTX	4/11/2012	1	Elect Louis Chenevert	Mgmt	For	For
United Technologies Corp.	913017109	UTX	4/11/2012	2	Elect John Faraci	Mgmt	For	For
United Technologies Corp.	913017109	UTX	4/11/2012	3	Elect Jean-Pierre Garnier	Mgmt	For	For
United Technologies Corp.	913017109	UTX	4/11/2012	4	Elect Jamie Gorelick	Mgmt	For	For
United Technologies Corp.	913017109	UTX	4/11/2012	5	Elect Edward Kangas	Mgmt	For	For
United Technologies Corp.	913017109	UTX	4/11/2012	6	Elect Ellen Kullman	Mgmt	For	For
United Technologies Corp.	913017109	UTX	4/11/2012	7	Elect Richard McCormick	Mgmt	For	For
United Technologies Corp.	913017109	UTX	4/11/2012	8	Elect Harold McGraw III	Mgmt	For	For
United Technologies Corp.	913017109	UTX	4/11/2012	9	Elect Richard Myers	Mgmt	For	For
United Technologies Corp.	913017109	UTX	4/11/2012	10	Elect H. Patrick Swygert	Mgmt	For	For
United Technologies Corp.	913017109	UTX	4/11/2012	11	Elect Andre Villeneuve	Mgmt	For	For
United Technologies Corp.	913017109	UTX	4/11/2012	12	Elect Christine Whitman	Mgmt	For	For
United Technologies Corp.	913017109	UTX	4/11/2012	13	Ratification of Auditor	Mgmt	For	For
United Technologies Corp.	913017109	UTX	4/11/2012	14	Advisory Vote on Executive Compensation	Mgmt	For	For
Columbia Management	19842X109	STK	4/12/2012	1.1	Elect Kathleen Blatz	Mgmt	For	For 100%

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Columbia Management	19842X109	STK	4/12/2012	1.2	Elect Pamela Carlton	Mgmt	For	For 99% Withhold 1%
Columbia Management	19842X109	STK	4/12/2012	1.3	Elect Alison Taunton-Rigby	Mgmt	For	For 99% Withhold 1%
Columbia Management	19842X109	STK	4/12/2012	2	Ratification of Auditor	Mgmt	For	For 100%
First Trust Active Dividend Income Fund.	33731L100	FAV	4/18/2012	1.1	Elect Richard Erickson	Mgmt	For	For 95.2% Withhold 4.8%
First Trust Active Dividend Income Fund.	33731L100	FAV	4/18/2012	1.2	Elect Thomas Kadlec	Mgmt	For	For 95.2% Withhold 4.8%
First Trust Enhanced Equity Income Fund	337318109	FFA	4/18/2012	1.1	Elect Richard Erickson	Mgmt	For	For 97.4% Withhold 2.6%
First Trust Enhanced Equity Income Fund	337318109	FFA	4/18/2012	1.2	Elect Thomas Kadlec	Mgmt	For	For 97.4% Withhold 2.6%
FIRST TRUST FUND	33731K102	FEO	4/18/2012	1.1	Elect Richard Erickson	Mgmt	For	For 98.4% Withhold 1.6%
FIRST TRUST FUND	33731K102	FEO	4/18/2012	1.2	Elect Thomas Kadlec	Mgmt	For	For 98.4% Withhold 1.6%
First Trust Specialty Finance & Financial Opportunities Fund	33733G109	FGB	4/18/2012	1.1	Elect Richard Erickson	Mgmt	For	For 99% Withhold 1%
First Trust Specialty Finance & Financial Opportunities Fund	33733G109	FGB	4/18/2012	1.2	Elect Thomas Kadlec	Mgmt	For	For 99% Withhold 1%
Eaton Vance Enhanced Equity Income Fund II	278277108	EOS	4/20/2012	1.1	Elect William Park	Mgmt	For	For 95% Withhold 5%
Eaton Vance Enhanced Equity Income Fund II	278277108	EOS	4/20/2012	1.2	Elect Ronald Pearlman	Mgmt	For	For 95% Withhold 5%
Eaton Vance Enhanced Equity Income Fund II	278277108	EOS	4/20/2012	1.3	Elect Helen Peters	Mgmt	For	For 95% Withhold 5%
Eaton Vance Enhanced Equity Income Fund II	278277108	EOS	4/20/2012	1.4	Elect Scott Eston	Mgmt	For	For 95% Withhold 5%
Eaton Vance Enhanced Equity Income Fund II	278277108	EOS	4/20/2012	1.5	Elect Harriet Taggart	Mgmt	For	For 95% Withhold 5%



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EATON VANCE FUNDS	27829M103	EXD	4/20/2012	1.1	Elect Scott Eston	Mgmt	For	For 96.7% 3.3% Withho
EATON VANCE FUNDS	27829M103	EXD	4/20/2012	1.2	Elect William Park	Mgmt	For	For 96.7% 3.3% Withho
EATON VANCE FUNDS	27829M103	EXD	4/20/2012	1.3	Elect Ronald Pearlman	Mgmt	For	For 96.7% 3.3% Withho
EATON VANCE FUNDS	27829M103	EXD	4/20/2012	1.4	Elect Harriet Taggart	Mgmt	For	For 96.7% 3.3% Withho
Eaton Vance Risk-Managed Diversified Equity Income Fund	27829G106	ETJ	4/20/2012	1.1	Elect William Park	Mgmt	For	For 85.8% 14.2% Withho
Eaton Vance Risk-Managed Diversified Equity Income Fund	27829G106	ETJ	4/20/2012	1.2	Elect Ronald Pearlman	Mgmt	For	For 85.8% 14.2% Withho
Eaton Vance Risk-Managed Diversified Equity Income Fund	27829G106	ETJ	4/20/2012	1.3	Elect Helen Peters	Mgmt	For	For 85.8% 14.2% Withho
Eaton Vance Risk-Managed Diversified Equity Income Fund	27829G106	ETJ	4/20/2012	1.4	Elect Scott Eston	Mgmt	For	For 85.8% 14.2% Withho
Eaton Vance Risk-Managed Diversified Equity Income Fund	27829G106	ETJ	4/20/2012	1.5	Elect Harriet Taggart	Mgmt	For	For 85.8% 14.2% Withho
Eaton Vance Tax-Managed Buy-Write Income Fund	27828X100	ETB	4/20/2012	1.1	Elect Scott Eston	Mgmt	For	For 98% 2% Withhol
Eaton Vance Tax-Managed Buy-Write Income Fund	27828X100	ETB	4/20/2012	1.2	Elect Benjamin Esty	Mgmt	For	For 98% 2% Withhol
Eaton Vance Tax-Managed Buy-Write Income Fund	27828X100	ETB	4/20/2012	1.3	Elect Thomas Faust, Jr.	Mgmt	For	For 98% 2% Withhol
Eaton Vance Tax-Managed Buy-Write Income Fund	27828X100	ETB	4/20/2012	1.4	Elect Allen Freedman	Mgmt	For	For 97% 3% Withhol
Eaton Vance Tax-Managed Buy-Write Income Fund	27828X100	ETB	4/20/2012	1.5	Elect Harriett Tee Taggart	Mgmt	For	For 98% 2% Withhol

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Eaton Vance Tax-Managed Buy-Write Opportunities Fund	27828Y108	ETV	4/20/2012	1.1	Elect Scott Eston	Mgmt	For	For 98% Withhold 2%
Eaton Vance Tax-Managed Buy-Write Opportunities Fund	27828Y108	ETV	4/20/2012	1.2	Elect Benjamin Esty	Mgmt	For	For 98% Withhold 2%
Eaton Vance Tax-Managed Buy-Write Opportunities Fund	27828Y108	ETV	4/20/2012	1.3	Elect Thomas Faust, Jr.	Mgmt	For	For 98% Withhold 2%
Eaton Vance Tax-Managed Buy-Write Opportunities Fund	27828Y108	ETV	4/20/2012	1.4	Elect Allen Freedman	Mgmt	For	For 97% Withhold 3%
Eaton Vance Tax-Managed Buy-Write Opportunities Fund	27828Y108	ETV	4/20/2012	1.5	Elect Harriett Tee Taggart	Mgmt	For	For 97% Withhold 3%
Eaton Vance Tax-Managed Global Buy-Write Opportunities Fund	27829C105	ETW	4/20/2012	1.1	Elect Scott Eston	Mgmt	For	For 97% Withhold 3%
Eaton Vance Tax-Managed Global Buy-Write Opportunities Fund	27829C105	ETW	4/20/2012	1.2	Elect Benjamin Esty	Mgmt	For	For 97% Withhold 3%
Eaton Vance Tax-Managed Global Buy-Write Opportunities Fund	27829C105	ETW	4/20/2012	1.3	Elect Thomas Faust, Jr.	Mgmt	For	For 97% Withhold 3%
Eaton Vance Tax-Managed Global Buy-Write Opportunities Fund	27829C105	ETW	4/20/2012	1.4	Elect Allen Freedman	Mgmt	For	For 97% Withhold 3%
Eaton Vance Tax-Managed Global Buy-Write Opportunities Fund	27829C105	ETW	4/20/2012	1.5	Elect Harriet Taggart	Mgmt	For	For 97% Withhold 3%

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Dividend and Income Fund, Inc.	25538A105	DNI	4/24/2012	1	Reorganization	Mgmt	For	For 89% Against 9% Abstain 2%
Dividend and Income Fund, Inc.	25538A105	DNI	4/24/2012	2.1	Elect Bruce Huber	Mgmt	For	97% Withhold 3%
International Business Machines Corp.	459200101	IBM	4/24/2012	1	Elect Alain Belda	Mgmt	For	Against
International Business Machines Corp.	459200101	IBM	4/24/2012	2	Elect William Brody	Mgmt	For	For
International Business Machines Corp.	459200101	IBM	4/24/2012	3	Elect Kenneth Chenault	Mgmt	For	For
International Business Machines Corp.	459200101	IBM	4/24/2012	4	Elect Michael Eskew	Mgmt	For	Against
International Business Machines Corp.	459200101	IBM	4/24/2012	5	Elect David Farr	Mgmt	For	For
International Business Machines Corp.	459200101	IBM	4/24/2012	6	Elect Shirley Jackson	Mgmt	For	For
International Business Machines Corp.	459200101	IBM	4/24/2012	7	Elect Andrew Liveris	Mgmt	For	Against
International Business Machines Corp.	459200101	IBM	4/24/2012	8	Elect W. James McNerney, Jr.	Mgmt	For	For
International Business Machines Corp.	459200101	IBM	4/24/2012	9	Elect James Owens	Mgmt	For	For
International Business Machines Corp.	459200101	IBM	4/24/2012	10	Elect Samuel Palmisano	Mgmt	For	For
International Business Machines Corp.	459200101	IBM	4/24/2012	11	Elect Virginia Rometty	Mgmt	For	For
International Business Machines Corp.	459200101	IBM	4/24/2012	12	Elect Joan Spero	Mgmt	For	For
International Business Machines Corp.	459200101	IBM	4/24/2012	13	Elect Sidney Taurel	Mgmt	For	For
International Business Machines Corp.	459200101	IBM	4/24/2012	14	Elect Lorenzo Hector Zambrano Trevino	Mgmt	For	Against
International Business Machines Corp.	459200101	IBM	4/24/2012	15	Ratification of Auditor	Mgmt	For	For

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International Business Machines Corp.	459200101	IBM	4/24/2012	16	Advisory Vote on Executive Compensation	Mgmt	For	For
International Business Machines Corp.	459200101	IBM	4/24/2012	17	Shareholder Proposal Regarding Cumulative Voting	ShrHoldr	Against	Against
International Business Machines Corp.	459200101	IBM	4/24/2012	18	Shareholder Proposal Regarding Political Contributions and Expenditures Report	ShrHoldr	Against	Against
International Business Machines Corp.	459200101	IBM	4/24/2012	19	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against	Against
Cohen & Steers Global Income Builder Inc.	19248M103	INB	4/26/2012	1.1	Elect George Grossman	Mgmt	For	For 95% Withhold 5%
Cohen & Steers Global Income Builder Inc.	19248M103	INB	4/26/2012	1.2	Elect Robert Steers	Mgmt	For	For 95% Withhold 5%
Cohen & Steers Global Income Builder Inc.	19248M103	INB	4/26/2012	1.3	Elect C. Edward Ward, Jr.	Mgmt	For	For 95% Withhold 5%
Guggenheim Enhanced Equity Strategy Fund	40167K100	GGE	4/26/2012	1	Elect Roman Friedrich III	Mgmt	For	For 94% Against 5% Abstain 1%
Guggenheim Enhanced Equity Strategy Fund	40167K100	GGE	4/26/2012	2	Elect Ronald Nyberg	Mgmt	For	For 94% Against 5% Abstain 1%
Abbott Laboratories	002824100	ABT	4/27/2012	1.1	Elect Robert Alpern	Mgmt	For	For
Abbott Laboratories	002824100	ABT	4/27/2012	1.2	Elect Roxanne Austin	Mgmt	For	For
Abbott Laboratories	002824100	ABT	4/27/2012	1.3	Elect Sally Blount	Mgmt	For	For
Abbott Laboratories	002824100	ABT	4/27/2012	1.4	Elect W. James Farrell	Mgmt	For	For
Abbott Laboratories	002824100	ABT	4/27/2012	1.5	Elect Edward Liddy	Mgmt	For	For
Abbott Laboratories	002824100	ABT	4/27/2012	1.6	Elect Nancy McKinstry	Mgmt	For	Withhold
Abbott Laboratories	002824100	ABT	4/27/2012	1.7	Elect Phebe Novakovic	Mgmt	For	For
Abbott Laboratories	002824100	ABT	4/27/2012	1.8	Elect William Osborn	Mgmt	For	For
Abbott Laboratories	002824100	ABT	4/27/2012	1.9	Elect Samuel Scott III	Mgmt	For	For
Abbott Laboratories	002824100	ABT	4/27/2012	1.10	Elect Glenn Tilton	Mgmt	For	For
Abbott Laboratories	002824100	ABT	4/27/2012	1.11	Elect Miles White	Mgmt	For	For

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Abbott Laboratories	002824100	ABT	4/27/2012	2	Ratification of Auditor	Mgmt	For	For
Abbott Laboratories	002824100	ABT	4/27/2012	3	Advisory Vote on Executive Compensation	Mgmt	For	For
Abbott Laboratories	002824100	ABT	4/27/2012	4	Shareholder Proposal Regarding Animal Welfare	ShrHoldr	Against	Against
Abbott Laboratories	002824100	ABT	4/27/2012	5	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against	For
Abbott Laboratories	002824100	ABT	4/27/2012	6	Shareholder Proposal Regarding Independent Board Chair	ShrHoldr	Against	For
Abbott Laboratories	002824100	ABT	4/27/2012	7	Shareholder Proposal Regarding Tax Gross-Up Payments	ShrHoldr	Against	For
Abbott Laboratories	002824100	ABT	4/27/2012	8	Shareholder Proposal Regarding Retention of Shares Until Retirement	ShrHoldr	Against	Against
Abbott Laboratories	002824100	ABT	4/27/2012	9	Shareholder Proposal Regarding Excluding Excluding Compliance Costs for the Purposes of Executive Compensation	ShrHoldr	Against	For
Abbott Laboratories	002824100	ABT	4/27/2012	10	Shareholder Proposal Regarding Compensation in the Event of a Change of Control	ShrHoldr	Against	For
India Fund Inc	454089103	IFN	4/27/2012	1.1	Elect Martin Gilbert	Mgmt	For	84% Withhold 16% For
India Fund Inc	454089103	IFN	4/27/2012	1.2	Elect Lawrence Becker	Mgmt	For	85% Withhold 15% For
India Fund Inc	454089103	IFN	4/27/2012	1.3	Elect Jeswald Salacuse	Mgmt	For	85% Withhold 15% For
India Fund Inc	454089103	IFN	4/27/2012	1.4	Elect Hugh Young	Mgmt	For	85% Withhold 15% For
Lazard Global Total Return and Income Fund Inc	52106W103	LGI	4/27/2012	1.1	Elect Charles Carroll	Mgmt	For	99% Withhold 1%

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Lazard Global Total Return and Income Fund Inc	52106W103	LGI	4/27/2012	1.2	Elect Leon Pollack	Mgmt	For	For 99% Withhold 1%
Lazard Global Total Return and Income Fund Inc	52106W103	LGI	4/27/2012	1.3	Elect Robert Solmson	Mgmt	For	For 99% Withhold 1%
Lazard World Dividend & Income Fund Inc	521076109	LOR	4/27/2012	1.1	Elect Ashish Bhutani	Mgmt	For	For 97.5% Withhold 2.5%
Lazard World Dividend & Income Fund Inc	521076109	LOR	4/27/2012	1.2	Elect Richard Reiss, Jr.	Mgmt	For	For 97.5% Withhold 2.5%
LMP Real Estate Income Fund Inc	50208C108	RIT	4/27/2012	1.1	Elect William Hutchinson	Mgmt	For	For 97% Withhold 3%
LMP Real Estate Income Fund Inc	50208C108	RIT	4/27/2012	1.2	Elect Jeswald Salacuse	Mgmt	For	For 97% Withhold 3%
Western Asset Inflation Management Fund Inc	95766U107	IMF	4/27/2012	1.1	Elect Carol Colman	Mgmt	For	For 68% Withhold 32%
Western Asset Inflation Management Fund Inc	95766U107	IMF	4/27/2012	1.2	Elect Daniel Cronin	Mgmt	For	For 68% Withhold 32%
Western Asset Inflation Management Fund Inc	95766U107	IMF	4/27/2012	1.3	Elect Paolo Cucchi Shareholder Proposal Regarding Termination of Management Agreements	Mgmt	For	For 68% Withhold 32%
Western Asset Inflation Management Fund Inc	95766U107	IMF	4/27/2012	2		ShrHoldr	Against	For 47% Against 53%
American Express Co.	025816109	AXP	4/30/2012	1.1	Elect Charlene Barshefsky	Mgmt	For	Withhold
American Express Co.	025816109	AXP	4/30/2012	1.2	Elect Ursula Burns	Mgmt	For	For
American Express Co.	025816109	AXP	4/30/2012	1.3	Elect Kenneth Chenault	Mgmt	For	For
American Express Co.	025816109	AXP	4/30/2012	1.4	Elect Peter Chernin	Mgmt	For	For
American Express Co.	025816109	AXP	4/30/2012	1.5	Elect Theodore Leonsis	Mgmt	For	Withhold
American Express Co.	025816109	AXP	4/30/2012	1.6	Elect Jan Leschly	Mgmt	For	For
American Express Co.	025816109	AXP	4/30/2012	1.7	Elect Richard Levin	Mgmt	For	For
American Express Co.	025816109	AXP	4/30/2012	1.8	Elect Richard McGinn	Mgmt	For	For

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American Express Co.	025816109	AXP	4/30/2012	1.9	Elect Edward Miller	Mgmt	For	For
American Express Co.	025816109	AXP	4/30/2012	1.10	Elect Steven Reinemund	Mgmt	For	For
American Express Co.	025816109	AXP	4/30/2012	1.11	Elect Robert Walter	Mgmt	For	For
American Express Co.	025816109	AXP	4/30/2012	1.12	Elect Ronald Williams	Mgmt	For	For
American Express Co.	025816109	AXP	4/30/2012	2	Ratification of Auditor	Mgmt	For	For
American Express Co.	025816109	AXP	4/30/2012	3	Advisory Vote on Executive Compensation	Mgmt	For	For
American Express Co.	025816109	AXP	4/30/2012	4	Amendment to the 2007 Incentive Compensation Plan	Mgmt	For	For
American Express Co.	025816109	AXP	4/30/2012	5	Shareholder Proposal Regarding Cumulative Voting	ShrHoldr	Against	Against
American Express Co.	025816109	AXP	4/30/2012	6	Shareholder Proposal Regarding Independent Board Chair	ShrHoldr	Against	For
Western Asset-Claymore Inflation-Linked Opportunities & Income	95766R104	WIW	5/1/2012	1.1	Elect Ronald Nyberg	Mgmt	For	For 93% Withhold 7%
Western Asset-Claymore Inflation-Linked Securities & Income Fu	95766Q106	WIA	5/1/2012	1.1	Elect Ronald Toupin, Jr.	Mgmt	For	For 93% Withhold 7%
Western Asset-Claymore Inflation-Linked Securities & Income Fu	95766Q106	WIA	5/1/2012	1.2	Elect R. Jay Gerken	Mgmt	For	For 93% Withhold 7%
DIRECTV	25490A101	DTV	5/3/2012	1	Elect Ralph Boyd, Jr.	Mgmt	For	For
DIRECTV	25490A101	DTV	5/3/2012	2	Elect David Dillon	Mgmt	For	For
DIRECTV	25490A101	DTV	5/3/2012	3	Elect Samuel DiPiazza, Jr.	Mgmt	For	For
DIRECTV	25490A101	DTV	5/3/2012	4	Elect Dixon Doll	Mgmt	For	For
DIRECTV	25490A101	DTV	5/3/2012	5	Elect Peter Lund	Mgmt	For	For
DIRECTV	25490A101	DTV	5/3/2012	6	Elect Nancy Newcomb	Mgmt	For	For
DIRECTV	25490A101	DTV	5/3/2012	7	Elect Lorrie Norrington	Mgmt	For	For
DIRECTV	25490A101	DTV	5/3/2012	8	Ratification of Auditor	Mgmt	For	For

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DIRECTV	25490A101	DTV	5/3/2012	9	Elimination of Dual Class Stock	Mgmt	For	For
DIRECTV	25490A101	DTV	5/3/2012	10	Advisory Vote on Executive Compensation	Mgmt	For	For
DIRECTV	25490A101	DTV	5/3/2012	11	Shareholder Proposal Regarding Compensation in the Event of a Change in Control	ShrHoldr	Against	For
Duke Energy Corp.	26441C105	DUK	5/3/2012	1.1	Elect William Barnett III	Mgmt	For	For
Duke Energy Corp.	26441C105	DUK	5/3/2012	1.2	Elect G. Alex Bernhardt, Sr.	Mgmt	For	For
Duke Energy Corp.	26441C105	DUK	5/3/2012	1.3	Elect Michael Browning	Mgmt	For	For
Duke Energy Corp.	26441C105	DUK	5/3/2012	1.4	Elect Daniel DiMicco	Mgmt	For	For
Duke Energy Corp.	26441C105	DUK	5/3/2012	1.5	Elect John Forsgren	Mgmt	For	For
Duke Energy Corp.	26441C105	DUK	5/3/2012	1.6	Elect Ann Maynard Gray	Mgmt	For	For
Duke Energy Corp.	26441C105	DUK	5/3/2012	1.7	Elect James Hance, Jr.	Mgmt	For	For
Duke Energy Corp.	26441C105	DUK	5/3/2012	1.8	Elect E. James Reinsch	Mgmt	For	For
Duke Energy Corp.	26441C105	DUK	5/3/2012	1.9	Elect James Rhodes	Mgmt	For	For
Duke Energy Corp.	26441C105	DUK	5/3/2012	1.10	Elect James Rogers	Mgmt	For	For
Duke Energy Corp.	26441C105	DUK	5/3/2012	1.11	Elect Philip Sharp	Mgmt	For	For
Duke Energy Corp.	26441C105	DUK	5/3/2012	2	Ratification of Auditor	Mgmt	For	For
Duke Energy Corp.	26441C105	DUK	5/3/2012	3	Advisory Vote on Executive Compensation	Mgmt	For	For
Duke Energy Corp.	26441C105	DUK	5/3/2012	4	Amendment to the Certificate of Incorporation to Reduce the Supermajority Requirement	Mgmt	For	For
Duke Energy Corp.	26441C105	DUK	5/3/2012	5	Shareholder Proposal Regarding Coal Risk	ShrHoldr	Against	Against
Duke Energy Corp.	26441C105	DUK	5/3/2012	6	Shareholder Proposal Regarding Majority Vote for Election of Directors	ShrHoldr	Against	For
Aflac Inc.	001055102	AFL	5/7/2012	1	Elect Daniel Amos	Mgmt	For	For



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Aflac Inc.	001055102	AFL	5/7/2012	2	Elect John Shelby Amos II	Mgmt	For	For
Aflac Inc.	001055102	AFL	5/7/2012	3	Elect Paul Amos II	Mgmt	For	For
Aflac Inc.	001055102	AFL	5/7/2012	4	Elect Kriss Cloninger III	Mgmt	For	Against
Aflac Inc.	001055102	AFL	5/7/2012	5	Elect Elizabeth Hudson	Mgmt	For	For
Aflac Inc.	001055102	AFL	5/7/2012	6	Elect Douglas Johnson	Mgmt	For	For
Aflac Inc.	001055102	AFL	5/7/2012	7	Elect Robert Johnson	Mgmt	For	For
Aflac Inc.	001055102	AFL	5/7/2012	8	Elect Charles Knapp	Mgmt	For	For
Aflac Inc.	001055102	AFL	5/7/2012	9	Elect E. Stephen Purdom	Mgmt	For	For
Aflac Inc.	001055102	AFL	5/7/2012	10	Elect Barbara Rimer Elect Marvin	Mgmt	For	For
Aflac Inc.	001055102	AFL	5/7/2012	11	Elect Schuster	Mgmt	For	For
Aflac Inc.	001055102	AFL	5/7/2012	12	Elect Melvin Stith	Mgmt	For	For
Aflac Inc.	001055102	AFL	5/7/2012	13	Elect David Thompson	Mgmt	For	For
Aflac Inc.	001055102	AFL	5/7/2012	14	Elect Takuro Yoshida	Mgmt	For	Against
Aflac Inc.	001055102	AFL	5/7/2012	15	Advisory Vote on Executive Compensation	Mgmt	For	For
Aflac Inc.	001055102	AFL	5/7/2012	16	Amendment to the 2004 Long-Term Incentive Plan	Mgmt	For	For
Aflac Inc.	001055102	AFL	5/7/2012	17	Amendment to the 2013 Management Incentive Plan.	Mgmt	For	For
Aflac Inc.	001055102	AFL	5/7/2012	18	Ratification of Auditor	Mgmt	For	For
Source Capital, Inc.	836144105	SOR	5/7/2012	1.1	Elect Eric Ende	Mgmt	For	98% Withhold 2% For
Source Capital, Inc.	836144105	SOR	5/7/2012	1.2	Elect Thomas Merrick	Mgmt	For	98% Withhold 2% For
Source Capital, Inc.	836144105	SOR	5/7/2012	1.3	Elect Patrick Purcell	Mgmt	For	98% Withhold 2% For
Source Capital, Inc.	836144105	SOR	5/7/2012	1.4	Elect David Rees Elect Richard	Mgmt	For	98% Withhold 2%
Conoco Phillips	20825C104	COP	5/9/2012	1	Elect Richard Armitage	Mgmt	For	For
Conoco Phillips	20825C104	COP	5/9/2012	2	Elect Richard Auchinleck	Mgmt	For	For
Conoco Phillips	20825C104	COP	5/9/2012	3	Elect James Copeland, Jr.	Mgmt	For	For

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Conoco Phillips	20825C104	COP	5/9/2012	4	Elect Kenneth Duberstein	Mgmt	For	For
Conoco Phillips	20825C104	COP	5/9/2012	5	Elect Ruth Harkin	Mgmt	For	For
Conoco Phillips	20825C104	COP	5/9/2012	6	Elect Ryan Lance	Mgmt	For	For
Conoco Phillips	20825C104	COP	5/9/2012	7	Elect Mohd Hassan Marican	Mgmt	For	For
Conoco Phillips	20825C104	COP	5/9/2012	8	Elect Harold McGraw III	Mgmt	For	Against
Conoco Phillips	20825C104	COP	5/9/2012	9	Elect James Mulva	Mgmt	For	For
Conoco Phillips	20825C104	COP	5/9/2012	10	Elect Robert Niblock	Mgmt	For	For
Conoco Phillips	20825C104	COP	5/9/2012	11	Elect Harald Norvik	Mgmt	For	For
Conoco Phillips	20825C104	COP	5/9/2012	12	Elect William Reilly	Mgmt	For	For
Conoco Phillips	20825C104	COP	5/9/2012	13	Elect Victoria Tschinkel	Mgmt	For	For
Conoco Phillips	20825C104	COP	5/9/2012	14	Elect Kathryn Turner	Mgmt	For	Against
Conoco Phillips	20825C104	COP	5/9/2012	15	Elect William Wade, Jr.	Mgmt	For	Against
Conoco Phillips	20825C104	COP	5/9/2012	16	Ratification of Auditor Advisory Vote on Executive Compensation	Mgmt	For	Against
Conoco Phillips	20825C104	COP	5/9/2012	17	Shareholder Proposal Regarding Louisiana Wetlands	ShrHoldr	Against	Against
Conoco Phillips	20825C104	COP	5/9/2012	18	Shareholder Proposal Regarding Safety Report	ShrHoldr	Against	Against
Conoco Phillips	20825C104	COP	5/9/2012	19	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against	Against
Conoco Phillips	20825C104	COP	5/9/2012	20	Shareholder Proposal Regarding Reporting and Reducing Greenhouse Gas Emissions	ShrHoldr	Against	Against
Conoco Phillips	20825C104	COP	5/9/2012	21	Shareholder Proposal Regarding Adopting Gender Identity and Expression Anti-Bias Policy	ShrHoldr	Against	Against
Conoco Phillips	20825C104	COP	5/9/2012	22	Shareholder Proposal Regarding Adopting Gender Identity and Expression Anti-Bias Policy	ShrHoldr	Against	Against
JF China Region Fund Inc	46614T107	JFC	5/10/2012	1.1	Elect The Earl of Cromer	Mgmt	For	90% Withhold 10% For
JF China Region Fund Inc	46614T107	JFC	5/10/2012	1.2	Elect Simon Crinage	Mgmt	For	90% Withhold 10% For

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JF China Region Fund Inc	46614T107	JFC	5/10/2012	2	Amendment to the Investment Advisory and Management Agreement	Mgmt	For	For 91% Against 8% Abstain 1%
Union Pacific Corp.	907818108	UNP	5/10/2012	1	Elect Andrew Card, Jr.	Mgmt	For	For
Union Pacific Corp.	907818108	UNP	5/10/2012	2	Elect Erroll Davis, Jr.	Mgmt	For	For
Union Pacific Corp.	907818108	UNP	5/10/2012	3	Elect Thomas Donohue	Mgmt	For	For
Union Pacific Corp.	907818108	UNP	5/10/2012	4	Elect Archie Dunham	Mgmt	For	For
Union Pacific Corp.	907818108	UNP	5/10/2012	5	Elect Judith Richards Hope	Mgmt	For	For
Union Pacific Corp.	907818108	UNP	5/10/2012	6	Elect Charles Krulak	Mgmt	For	For
Union Pacific Corp.	907818108	UNP	5/10/2012	7	Elect Michael McCarthy	Mgmt	For	For
Union Pacific Corp.	907818108	UNP	5/10/2012	8	Elect Michael McConnell	Mgmt	For	For
Union Pacific Corp.	907818108	UNP	5/10/2012	9	Elect Thomas McLarty III	Mgmt	For	For
Union Pacific Corp.	907818108	UNP	5/10/2012	10	Elect Steven Rogel	Mgmt	For	For
Union Pacific Corp.	907818108	UNP	5/10/2012	11	Elect Jose Villarreal	Mgmt	For	For
Union Pacific Corp.	907818108	UNP	5/10/2012	12	Elect James Young	Mgmt	For	For
Union Pacific Corp.	907818108	UNP	5/10/2012	13	Ratification of Auditor Advisory Vote on Executive Compensation	Mgmt	For	For
Union Pacific Corp.	907818108	UNP	5/10/2012	14	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against	For
Union Pacific Corp.	907818108	UNP	5/10/2012	15	Shareholder Proposal Regarding Retention of Shares After Retirement	ShrHoldr	Against	Against
Gabelli Global Multimedia Trust Inc.	36239Q109	GGT	5/14/2012	1.1	Elect Anthony Pustorino	Mgmt	For	For 93% Withhold 7%
Gabelli Healthcare & WellnessRx Trust	36246K103	GRX	5/14/2012	1.1	Elect Robert Kolodny	Mgmt	For	For 92% Withhold 8%
Gabelli Healthcare & WellnessRx Trust	36246K103	GRX	5/14/2012	1.2	Elect Salvatore Zizza	Mgmt	For	For 92% Withhold 8%
GDL FD	361570104	GDL	5/14/2012	1.1	Elect Mario Gabelli	Mgmt	For	For 85% Withhold

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GDL FD	361570104	GDL	5/14/2012	1.2	Elect Mario d'Urso	Mgmt	For	15% For 95% Withhold 5%
GDL FD	361570104	GDL	5/14/2012	1.3	Elect Michael Melarkey	Mgmt	For	For 95% Withhold 5%
Liberty All-Star Equity Fund	530158104	USA	5/14/2012	1.1	Elect John Benning	Mgmt	For	For 85.2% Withhold 14.8%
Liberty All-Star Equity Fund	530158104	USA	5/14/2012	1.2	Elect Edmund Burke	Mgmt	For	For 85.2% Withhold 14.8%
JP Morgan Chase & Company	46625H100	JPM	5/15/2012	1	Elect James Bell	Mgmt	For	For
JP Morgan Chase & Company	46625H100	JPM	5/15/2012	2	Elect Crandall Bowles	Mgmt	For	For
JP Morgan Chase & Company	46625H100	JPM	5/15/2012	3	Elect Stephen Burke	Mgmt	For	For
JP Morgan Chase & Company	46625H100	JPM	5/15/2012	4	Elect David Cote	Mgmt	For	For
JP Morgan Chase & Company	46625H100	JPM	5/15/2012	5	Elect James Crown	Mgmt	For	For
JP Morgan Chase & Company	46625H100	JPM	5/15/2012	6	Elect James Dimon	Mgmt	For	For
JP Morgan Chase & Company	46625H100	JPM	5/15/2012	7	Elect Timothy Flynn	Mgmt	For	For
JP Morgan Chase & Company	46625H100	JPM	5/15/2012	8	Elect Ellen Futter	Mgmt	For	Against
JP Morgan Chase & Company	46625H100	JPM	5/15/2012	9	Elect Laban Jackson, Jr.	Mgmt	For	For
JP Morgan Chase & Company	46625H100	JPM	5/15/2012	10	Elect Lee Raymond	Mgmt	For	For
JP Morgan Chase & Company	46625H100	JPM	5/15/2012	11	Elect William Weldon	Mgmt	For	For
JP Morgan Chase & Company	46625H100	JPM	5/15/2012	12	Ratification of Auditor	Mgmt	For	For
JP Morgan Chase & Company	46625H100	JPM	5/15/2012	13	Advisory Vote on Executive Compensation	Mgmt	For	For
JP Morgan Chase & Company	46625H100	JPM	5/15/2012	14	Shareholder Proposal Regarding Affirmation of Political Nonpartisanship	ShrHoldr	Against	Against
JP Morgan Chase & Company	46625H100	JPM	5/15/2012	15	Shareholder Proposal Regarding Independent Board	ShrHoldr	Against	For
JP Morgan Chase & Company	46625H100	JPM	5/15/2012	16	Chairman Shareholder Proposal Regarding	ShrHoldr	Against	Against

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JP Morgan Chase & Company	46625H100	JPM	5/15/2012	17	Mortgage Loan Servicing Shareholder Proposal Regarding Political Contributions and Expenditures Report	ShrHoldr	Against	Against
JP Morgan Chase & Company	46625H100	JPM	5/15/2012	18	Proposal Regarding Genocide-Free Investing	ShrHoldr	Against	Against
JP Morgan Chase & Company	46625H100	JPM	5/15/2012	19	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHoldr	Against	For
JP Morgan Chase & Company	46625H100	JPM	5/15/2012	20	Shareholder Proposal Regarding Retention of Shares After Retirement	ShrHoldr	Against	Against
Time Warner Inc	887317303	TWX	5/15/2012	1	Elect James Barksdale	Mgmt	For	For
Time Warner Inc	887317303	TWX	5/15/2012	2	Elect William Barr	Mgmt	For	Against
Time Warner Inc	887317303	TWX	5/15/2012	3	Elect Jeffrey Bewkes	Mgmt	For	For
Time Warner Inc	887317303	TWX	5/15/2012	4	Elect Stephen Bollenbach	Mgmt	For	Against
Time Warner Inc	887317303	TWX	5/15/2012	5	Elect Robert Clark	Mgmt	For	For
Time Warner Inc	887317303	TWX	5/15/2012	6	Elect Mathias Dopfner	Mgmt	For	Against
Time Warner Inc	887317303	TWX	5/15/2012	7	Elect Jessica Einhorn	Mgmt	For	For
Time Warner Inc	887317303	TWX	5/15/2012	8	Elect Fred Hassan	Mgmt	For	For
Time Warner Inc	887317303	TWX	5/15/2012	9	Elect Kenneth Novack	Mgmt	For	For
Time Warner Inc	887317303	TWX	5/15/2012	10	Elect Paul Wachter	Mgmt	For	For
Time Warner Inc	887317303	TWX	5/15/2012	11	Elect Deborah Wright	Mgmt	For	For
Time Warner Inc	887317303	TWX	5/15/2012	12	Ratification of Auditor	Mgmt	For	For
Time Warner Inc	887317303	TWX	5/15/2012	13	Advisory Vote on Executive Compensation	Mgmt	For	Against
Time Warner Inc	887317303	TWX	5/15/2012	14	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHoldr	Against	For
Zweig Total								78% Withhold
Return Fund Inc	989837109	ZTR	5/15/2012	1.1	Elect Charles Brunie	Mgmt	For	22%
Zweig Total	989837109	ZTR	5/15/2012	1.2	Elect James Rogers, Jr.	Mgmt	For	For
Return Fund Inc								78% Withhold

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Zweig Total Return Fund Inc	989837109	ZTR	5/15/2012	2	To Approve a Revision to the Fund's Investment Objective	Mgmt	For	22%  For 77% Against 21% Abstain 2%
Zweig Total Return Fund Inc	989837109	ZTR	5/15/2012	3	To Approve a Reclassification of the Fund's Investment Objective as "Non-Fundamental"	Mgmt	For	For 71.5% Against 27% Abstain 1.5%
Zweig Total Return Fund Inc	989837109	ZTR	5/15/2012	4	To Approve an Amendment to the Fundamental Investment Restrictions Regarding Diversification	Mgmt	For	For 77% Against 21% Abstain 2%
Zweig Total Return Fund Inc	989837109	ZTR	5/15/2012	5	To Approve an Amendment to the Fundamental Investment Restrictions Regarding the Issuance of Senior Securities	Mgmt	For	For 76.5% Agains 21.5% Abstain 2%
Zweig Total Return Fund Inc	989837109	ZTR	5/15/2012	6	To Approve an Amendment to the Fundamental Investment Restrictions Regarding Investing in Commodities	Mgmt	For	For 76% Against 22% Abstain 2%
Zweig Total Return Fund Inc	989837109	ZTR	5/15/2012	7	To Approve an Amendment to the Fundamental Investment Restrictions Regarding Purchasing Securities on Margin	Mgmt	For	For 76.5% Agains 21.5% Abstain 2%
Zweig Total Return Fund Inc	989837109	ZTR	5/15/2012	8	To Approve an Amendment to the Fundamental Investment Restrictions Regarding Short Sales	Mgmt	For	For 76% Against 22% Abstain 2%
Zweig Total Return Fund Inc	989837109	ZTR	5/15/2012	9	To Approve an Amendment to the Fundamental	Mgmt	For	For 76% Against 22% Abstain 2%

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					Investment Restrictions Regarding Purchasing Restricted Securities To Approve an Amendment to the Fund's Investment Advisory Agreement				
Zweig Total									For 69% Against 29% Abstain 2%
Return Fund Inc	989837109	ZTR	5/15/2012	10		Mgmt	For		
Apollo Senior									For 99% Abstain 1%
Floating Rate Inc	037636107	AFT	5/21/2012	1.1	Elect Elliot Stein, Jr.	Mgmt	For		
Merck & Co., Inc	58933Y105	MRK	5/22/2012	1	Elect Leslie Brun	Mgmt	For		For
Merck & Co., Inc	58933Y105	MRK	5/22/2012	2	Elect Thomas Cech	Mgmt	For		For
					Elect Kenneth				
Merck & Co., Inc	58933Y105	MRK	5/22/2012	3	Frazier	Mgmt	For		For
					Elect Thomas				
Merck & Co., Inc	58933Y105	MRK	5/22/2012	4	Glocer	Mgmt	For		For
					Elect William				
Merck & Co., Inc	58933Y105	MRK	5/22/2012	5	Harrison, Jr.	Mgmt	For		For
					Elect C. Robert				
Merck & Co., Inc	58933Y105	MRK	5/22/2012	6	Kidder	Mgmt	For		For
					Elect Rochelle				
Merck & Co., Inc	58933Y105	MRK	5/22/2012	7	Lazarus	Mgmt	For		For
					Elect Carlos				
Merck & Co., Inc	58933Y105	MRK	5/22/2012	8	Represas	Mgmt	For		For
Merck & Co., Inc	58933Y105	MRK	5/22/2012	9	Elect Patricia Russo	Mgmt	For		For
					Elect Craig				
Merck & Co., Inc	58933Y105	MRK	5/22/2012	10	Thompson	Mgmt	For		For
					Elect Wendell				
Merck & Co., Inc	58933Y105	MRK	5/22/2012	11	Weeks	Mgmt	For		For
Merck & Co., Inc	58933Y105	MRK	5/22/2012	12	Elect Peter Wendell	Mgmt	For		For
					Ratification of				
Merck & Co., Inc	58933Y105	MRK	5/22/2012	13	Auditor	Mgmt	For		For
					Advisory Vote on				
					Executive				
Merck & Co., Inc	58933Y105	MRK	5/22/2012	14	Compensation	Mgmt	For		For
					Shareholder				
					Proposal Regarding				
					Right to Act by				
Merck & Co., Inc	58933Y105	MRK	5/22/2012	15	Written Consent	ShrHoldr	Against		For
					Shareholder				
					Proposal Regarding				
					Right to Call a				
Merck & Co., Inc	58933Y105	MRK	5/22/2012	16	Special Meeting	ShrHoldr	Against		Against
					Shareholder				
					Proposal Regarding				
					Reviewing Political				
					Spending or				
Merck & Co., Inc	58933Y105	MRK	5/22/2012	17	Lobbying	ShrHoldr	Against		Against
Chevron Corp.	166764100	CVX	5/30/2012	1	Elect Linnet Deily	Mgmt	For		For
Chevron Corp.	166764100	CVX	5/30/2012	2		Mgmt	For		For

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					Elect Robert Denham				
Chevron Corp.	166764100	CVX	5/30/2012	3	Elect Chuck Hagel	Mgmt	For	For	
					Elect Enrique Hernandez, Jr.				
Chevron Corp.	166764100	CVX	5/30/2012	4	Elect George Kirkland	Mgmt	For	For	
					Elect Charles Moorman, IV				
Chevron Corp.	166764100	CVX	5/30/2012	6	Elect Kevin Sharer	Mgmt	For	For	
Chevron Corp.	166764100	CVX	5/30/2012	7	Elect John Stumpf	Mgmt	For	For	
Chevron Corp.	166764100	CVX	5/30/2012	8	Elect Ronald Sugar	Mgmt	For	For	
Chevron Corp.	166764100	CVX	5/30/2012	9	Elect Carl Ware	Mgmt	For	For	
Chevron Corp.	166764100	CVX	5/30/2012	10	Elect John Watson	Mgmt	For	For	
					Ratification of Auditor				
Chevron Corp.	166764100	CVX	5/30/2012	12	Advisory Vote on Executive Compensation	Mgmt	For	For	
					Shareholder Proposal Regarding Exclusive Forum Provisions				
Chevron Corp.	166764100	CVX	5/30/2012	14	Shareholder Proposal Regarding Independent Board Chairman	ShrHoldr	Against	For	
					Shareholder Proposal Regarding Lobbying Report				
Chevron Corp.	166764100	CVX	5/30/2012	15	Shareholder Proposal Regarding Country Selection Guidelines	ShrHoldr	Against	For	
					Shareholder Proposal Regarding Hydraulic Fracturing				
Chevron Corp.	166764100	CVX	5/30/2012	18	Shareholder Proposal Regarding Safety Report	ShrHoldr	Against	Against	
					Shareholder Proposal Regarding Right to Call a Special Meeting				
Chevron Corp.	166764100	CVX	5/30/2012	20	Shareholder Proposal Regarding Environmental Expertise on Board	ShrHoldr	Against	Against	
					Elect Kenneth Bacon				
Comcast Corp.	20030N101	CMCSA	5/31/2012	1.1		Mgmt	For	Withhold	
Comcast Corp.	20030N101	CMCSA	5/31/2012	1.2		Mgmt	For	For	



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						Elect Sheldon Bonovitz			
Comcast Corp.	20030N101	CMCSA	5/31/2012	1.3	Elect Joseph Collins	Mgmt	For	For	
					Elect J. Michael				
Comcast Corp.	20030N101	CMCSA	5/31/2012	1.4	Cook	Mgmt	For	For	
Comcast Corp.	20030N101	CMCSA	5/31/2012	1.5	Elect Gerald Hassell	Mgmt	For	For	
					Elect Jeffrey				
Comcast Corp.	20030N101	CMCSA	5/31/2012	1.6	Honickman	Mgmt	For	For	
					Elect				
Comcast Corp.	20030N101	CMCSA	5/31/2012	1.7	Eduardo Mestre	Mgmt	For	For	
Comcast Corp.	20030N101	CMCSA	5/31/2012	1.8	Elect Brian Roberts	Mgmt	For	For	
Comcast Corp.	20030N101	CMCSA	5/31/2012	1.9	Elect Ralph Roberts	Mgmt	For	For	
					Elect Johnathan				
Comcast Corp.	20030N101	CMCSA	5/31/2012	1.10	Rodgers	Mgmt	For	For	
Comcast Corp.	20030N101	CMCSA	5/31/2012	1.11	Elect Judith Rodin	Mgmt	For	Withhold	
					Ratification of				
Comcast Corp.	20030N101	CMCSA	5/31/2012	2	Auditor	Mgmt	For	For	
					Amendment to the				
					2002 Employee				
Comcast Corp.	20030N101	CMCSA	5/31/2012	3	Stock Purchase Plan	Mgmt	For	For	
					Amendment to the				
					2011 Employee				
Comcast Corp.	20030N101	CMCSA	5/31/2012	4	Stock Purchase Plan	Mgmt	For	For	
					Shareholder				
					Proposal Regarding				
Comcast Corp.	20030N101	CMCSA	5/31/2012	5	Cumulative Voting	ShrHoldr	Against	For	
					Shareholder				
					Proposal Regarding				
					Independent Board				
Comcast Corp.	20030N101	CMCSA	5/31/2012	6	Chairman	ShrHoldr	Against	For	
					Shareholder				
					Proposal Regarding				
					Retention of Shares				
Comcast Corp.	20030N101	CMCSA	5/31/2012	7	Until Retirement	ShrHoldr	Against	Against	
					Shareholder				
					Proposal Regarding				
Comcast Corp.	20030N101	CMCSA	5/31/2012	8	Poison Pills	ShrHoldr	Against	For	
Wal-Mart Stores, Inc.	931142103	WMT	6/1/2012	1	Elect Aida Alvarez	Mgmt	For	Against	
Wal-Mart Stores, Inc.	931142103	WMT	6/1/2012	2	Elect James Breyer	Mgmt	For	For	
Wal-Mart Stores, Inc.	931142103	WMT	6/1/2012	3	Elect M. Michele	Mgmt	For	Against	
Wal-Mart Stores, Inc.	931142103	WMT	6/1/2012	4	Burns	Mgmt	For	Against	
Wal-Mart Stores, Inc.	931142103	WMT	6/1/2012	4	Elect James Cash, Jr.	Mgmt	For	Against	
Wal-Mart Stores, Inc.	931142103	WMT	6/1/2012	5	Elect Roger Corbett	Mgmt	For	For	
Wal-Mart Stores, Inc.	931142103	WMT	6/1/2012	6	Elect Douglas Daft	Mgmt	For	For	
Wal-Mart Stores, Inc.	931142103	WMT	6/1/2012	7	Elect Michael Duke	Mgmt	For	Against	

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Wal-Mart Stores, Inc.	931142103	WMT	6/1/2012	8	Elect Marissa Mayer	Mgmt	For	For
Wal-Mart Stores, Inc.	931142103	WMT	6/1/2012	9	Elect Gregory Penner	Mgmt	For	For
Wal-Mart Stores, Inc.	931142103	WMT	6/1/2012	10	Elect Steven Reinemund	Mgmt	For	For
Wal-Mart Stores, Inc.	931142103	WMT	6/1/2012	11	Elect H. Lee Scott, Jr.	Mgmt	For	Against
Wal-Mart Stores, Inc.	931142103	WMT	6/1/2012	12	Elect Arne Sorenson	Mgmt	For	Against
Wal-Mart Stores, Inc.	931142103	WMT	6/1/2012	13	Elect Jim Walton	Mgmt	For	For
Wal-Mart Stores, Inc.	931142103	WMT	6/1/2012	14	Elect S. Robson Walton	Mgmt	For	For
Wal-Mart Stores, Inc.	931142103	WMT	6/1/2012	15	Elect Christopher Williams	Mgmt	For	Against
Wal-Mart Stores, Inc.	931142103	WMT	6/1/2012	16	Elect Linda Wolf	Mgmt	For	For
Wal-Mart Stores, Inc.	931142103	WMT	6/1/2012	17	Ratification of Auditor Advisory Vote on Executive Compensation	Mgmt	For	For
Wal-Mart Stores, Inc.	931142103	WMT	6/1/2012	18	Shareholder Proposal Regarding Political Contributions and Expenditures Report	ShrHoldr	Against	For
Wal-Mart Stores, Inc.	931142103	WMT	6/1/2012	20	Director Qualifications Shareholder Proposal Regarding an Incentive Compensation Report	ShrHoldr	Against	Against
Wal-Mart Stores, Inc.	931142103	WMT	6/1/2012	21		ShrHoldr	Against	Against For 92% Against 7% Abstain 1%
Japan Equity Fund, Inc.	471057109	JEQ	6/4/2012	1	Elect Martin Gruber	Mgmt	For	For 92% Against 7% Abstain 1%
Japan Equity Fund, Inc.	471057109	JEQ	6/4/2012	2	Elect Yoshihiro Fujisawa	Mgmt	For	For 92% Against 7% Abstain 1%
New Ireland Fund Inc	645673104	IRL	6/5/2012	1.1	Elect Peter Hooper	Mgmt	For	For 91% Withhold 9%
New Ireland Fund Inc	645673104	IRL	6/5/2012	1.2	Elect George Moore	Mgmt	For	For 92% Withhold 8%
Alpine Global Premier Properties	02083A103	AWP	6/8/2012	1.1	Elect H. Guy Leibler	Mgmt	For	For 98% Withhold

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Fund									
Alpine Global Premier Properties Fund	02083A103	AWP	6/8/2012	2	Transaction of Other Business	Mgmt	For	2% For 80% Against 19% Abstain 1	
Freeport-McMoran Copper & Gold Inc.	35671D857	FCXPRA	6/14/2012	1.1	Elect Richard Adkerson	Mgmt	For	For	
Freeport-McMoran Copper & Gold Inc.	35671D857	FCXPRA	6/14/2012	1.2	Elect Robert Allison, Jr.	Mgmt	For	Withhold	
Freeport-McMoran Copper & Gold Inc.	35671D857	FCXPRA	6/14/2012	1.3	Elect Robert Day	Mgmt	For	For	
Freeport-McMoran Copper & Gold Inc.	35671D857	FCXPRA	6/14/2012	1.4	Elect Gerald Ford	Mgmt	For	For	
Freeport-McMoran Copper & Gold Inc.	35671D857	FCXPRA	6/14/2012	1.5	Elect H. Devon Graham, Jr.	Mgmt	For	For	
Freeport-McMoran Copper & Gold Inc.	35671D857	FCXPRA	6/14/2012	1.6	Elect Charles Krulak	Mgmt	For	For	
Freeport-McMoran Copper & Gold Inc.	35671D857	FCXPRA	6/14/2012	1.7	Elect Bobby Lackey	Mgmt	For	For	
Freeport-McMoran Copper & Gold Inc.	35671D857	FCXPRA	6/14/2012	1.8	Elect Jon Madonna	Mgmt	For	For	
Freeport-McMoran Copper & Gold Inc.	35671D857	FCXPRA	6/14/2012	1.9	Elect Dustan McCoy	Mgmt	For	For	
Freeport-McMoran Copper & Gold Inc.	35671D857	FCXPRA	6/14/2012	1.10	Elect James Moffett	Mgmt	For	For	
Freeport-McMoran Copper & Gold Inc.	35671D857	FCXPRA	6/14/2012	1.11	Elect B. M. Rankin, Jr.	Mgmt	For	Withhold	
Freeport-McMoran Copper & Gold Inc.	35671D857	FCXPRA	6/14/2012	1.12	Elect Stephen Siegele	Mgmt	For	For	
Freeport-McMoran Copper & Gold Inc.	35671D857	FCXPRA	6/14/2012	2	Advisory Vote on Executive Compensation	Mgmt	For	For	
Freeport-McMoran Copper & Gold Inc.	35671D857	FCXPRA	6/14/2012	3	Ratification of Auditor Shareholder	Mgmt	For	For	
Freeport-McMoran Copper & Gold Inc.	35671D857	FCXPRA	6/14/2012	4	Proposal Regarding Environmental Expertise on Board	ShrHoldr	Against	Against	
	870875101	SWZ	6/20/2012	1.1	Elect Brian Berris	Mgmt	For		

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Swiss Helvetia Fund Inc									For 93% Withhold 7%
Swiss Helvetia Fund Inc	870875101	SWZ	6/20/2012	1.2	Elect David Bock	Mgmt	For		For 93% Withhold 7%
Swiss Helvetia Fund Inc	870875101	SWZ	6/20/2012	1.3	Elect Paul Hottinguer	Mgmt	For		For 93% Withhold 7%
Swiss Helvetia Fund Inc	870875101	SWZ	6/20/2012	2	Ratification of Auditor	Mgmt	For		For 98% Against 1% Abstain 1%
European Equity Fund Inc	298768102	EEA	6/27/2012	1.1	Elect Detlef Bierbaum	Mgmt	For		For 82% Withhold 18%
European Equity Fund Inc	298768102	EEA	6/27/2012	1.2	Elect Robert Wadsworth	Mgmt	For		For 82% Withhold 18%
European Equity Fund Inc	298768102	EEA	6/27/2012	1.3	Elect Richard Goeltz	Mgmt	For		For 82% Withhold 18%
European Equity Fund Inc	298768102	EEA	6/27/2012	2	Ratification of Auditor	Mgmt	For		For 98% Against 1.5% Abstain 0.5%
New Germany Fund Inc	644465106	GF	6/27/2012	1.1	Elect Richard Burt	Mgmt	For		For 89.5% Withhold 10.5%
New Germany Fund Inc	644465106	GF	6/27/2012	1.2	Elect Franz Hopp	Mgmt	For		For 89.5% Withhold 10.5%
New Germany Fund Inc	644465106	GF	6/27/2012	1.3	Elect Friedbert Malt	Mgmt	For		For 89.5% Withhold 10.5%
New Germany Fund Inc	644465106	GF	6/27/2012	1.4	Elect Richard Goeltz	Mgmt	For		For 89.5% Withhold 10.5%
New Germany Fund Inc	644465106	GF	6/27/2012	2	Ratification of Auditor	Mgmt	For		For 97.8% Against 1.7% Abstain 0.5%
Cutwater Select Income Fund	232229104	CSI	6/28/2012	1.1	Elect W. Thacher Brown	Mgmt	For		For 98% Withhold 2%
Cutwater Select Income Fund	232229104	CSI	6/28/2012	1.2	Elect Suzanne Welsh	Mgmt	For		For 98% Withhold 2%
Cutwater Select Income Fund	232229104	CSI	6/28/2012	1.3	Elect Morris Lloyd, Jr.	Mgmt	For		For 98% Withhold 2%
	232229104	CSI	6/28/2012	1.4	Elect Ellen Harvey	Mgmt	For		

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Cutwater Select  
Income Fund

For  
98% Withhold  
2%  
For 80%  
Against 17.7%  
Abstain 2.3%

Cutwater Select  
Income Fund

232229104

CSI

6/28/2012

2

Transaction of Other  
Business

Mgmt

For