Edgar Filing: BERNHARDT GEORGE ALEXANDER SR - Form 4

BERNHARDT Form 4 May 11, 2009	GEORGE AI	LEXAND	ER SR							
FORM 4	1							-	PPROVAL	
	UNITED	STATES		RITIES A			COMMISSION	N OMB Number:	3235-0287	
Check this be if no longer subject to Section 16. Form 4 or		MENT OI	F CHAN	NGES IN SECUI		ICIAL O	Estimated burden hou	urs per		
Form 4 or Form 5 obligations may continue. See Instruction 1(b). Form 5 obligations may continue. See Instruction 1(b). Form 5 obligations may continue. See Instruction I(b). Form 5 obligations may continue. See Instruction I(b). Form 5 Section 17(a) of the Investment Company Act of 1935 Investment Company Act of 1940 Investment Company Act of 1940										
(Print or Type Resp	(011303)									
1. Name and Addr BERNHARDT ALEXANDER	2. Issuer Name and Ticker or Trading Symbol Duke Energy CORP [DUK]				5. Relationship of Reporting Person(s) to Issuer					
(Last)	(First) (Middle)	3. Date of Earliest Transaction (Ch					eck all applicable)		
526 S. CHURCH STREET			(Month/Day/Year) 05/07/2009				X_ Director 10% Owner Officer (give title Other (specify below) below)			
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person				
CHARLOTTE,	, NC 28202						Form filed by Person	More than One R	eporting	
(City)	(State)	(Zip)	Tab	le I - Non-l	Derivative	Securities A	Acquired, Disposed	of, or Beneficia	lly Owned	
	`ransaction Date onth/Day/Year)		Date, if	3. Transactio Code (Instr. 8) Code V	Disposed (Instr. 3,	(A) or of (D)	Securities Beneficially	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Reminder: Report of	on a separate line	e for each cl	ass of sec	urities bene	-	-	or indirectly. spond to the colle	ction of	SEC 1474	
					inforr requi	nation cont red to resp ays a curre	tained in this form ond unless the fo ntly valid OMB co	n are not rm	(9-02)	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number	6. Date Exercisable and	7. Title and Amount of	8. Pric
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onof Derivative	Expiration Date	Underlying Securities	Deriva
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)	Securi
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired			(Instr.

Edgar Filing: BERNHARDT GEORGE ALEXANDER SR - Form 4

	Derivative Security			D (I (I	A) or Disposed D) Instr. 3, nd 5)						
			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock Director Savings Plan	<u>(1)</u>	05/07/2009	А	7	7,047		(2)	(3)	Common Stock	7,047	\$ 14
Reporting Owners											

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
BERNHARDT GEORGE ALEXANDER SR 526 S. CHURCH STREET CHARLOTTE, NC 28202	Х						
Signatures							
/s/ David S. Maltz, Attorney-in-fact for G. Ale Bernhardt, Sr.	Х		05/11/20	09			
**Signature of Reporting Person			Date				

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Converts to Common Stock on a 1-for-1 basis.
- (2) Generally payable upon reporting person's termination of service.
- (3) Expiration date not applicable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.