

Duke Energy CORP
Form 4
September 02, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
JANSON JULIA S

(Last) (First) (Middle)

550 S. TRYON STREET

(Street)

CHARLOTTE, NC 28202

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
Duke Energy CORP [DUK]

3. Date of Earliest Transaction
(Month/Day/Year)
08/28/2014

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
X Officer (give title below) ____ Other (specify below)
EVP&Chf Lgl Off&CorpSecretary

6. Individual or Joint/Group Filing(Check
Applicable Line)
X Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price		
Common Stock	08/28/2014		M		1,224	D	\$ 46.5	23,126	D
Common Stock	08/28/2014		M		1,244	D	\$ 45.78	24,370	D
Common Stock	08/28/2014		S		1,044	D	\$ 73.27	23,326	D
Common Stock	08/28/2014		S		200	D	\$ 73.28	23,126	D
Common Stock	08/28/2014		M		387	D	\$ 46.5	23,513	D

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Common Stock	08/28/2014	S	87	D	\$ 73.26	23,426	D	
Common Stock	08/28/2014	S	300	D	\$ 73.265	23,126	D	
Common Stock	08/28/2014	M	316	D	\$ 45.78	23,442	D	
Common Stock	08/28/2014	S	316	D	\$ 73.275	23,126	D	
Common Stock						2,326	I	By 401(k)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 45.78	08/28/2014		M		1,244		04/01/2006 ⁽¹⁾	01/01/2015	Common Stock	1,244
Stock Option (Right to Buy)	\$ 46.5	08/28/2014		M		1,224		⁽²⁾	01/01/2016	Common Stock	1,224
Stock Option (Right to Buy)	\$ 45.78	08/28/2014		M		316		04/01/2006 ⁽¹⁾	01/01/2015	Common Stock	316
Stock Option	\$ 46.5	08/28/2014		M		387		⁽²⁾	01/01/2016	Common Stock	387

(Right to
Buy)

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
JANSON JULIA S 550 S. TRYON STREET CHARLOTTE, NC 28202	EVP&Chf Lgl Off&CorpSecretary

Signatures

/s/ David S. Maltz, attorney-in-fact for Julia S.
Janson

09/02/2014

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) All options became exercisable in connection with the April 3, 2006 merger between Duke Energy and Cinergy.
- (2) The reporting person was granted 4,836 options on January 1, 2006. The options vested in three equal annual installments beginning on the first anniversary date of the grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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