## Edgar Filing: BALL CORP - Form 4

BALL CORP Form 4									
November 09,	2016								
FORM	4 UNITED	статес	SECU	DITIES	A NID EV		E COMMISSIO	NT	APPROVAL
Check this	UNITED	STATES		shington				N OMB Number:	3235-0287
if no longer	-		Expires:	January 31, 2005					
subject to Section 16. Form 4 or Form 5	SIAIEN	IENT OF		Estimated burden ho response.	average ours per				
obligations may continu <i>See</i> Instruct 1(b).	ue. Section 17(	a) of the F	Public U	Itility Hol	lding Co		nge Act of 1934, t of 1935 or Secti 1940		
(Print or Type Rea	sponses)								
1. Name and Address of Reporting Person <u>*</u> HAYES JOHN A			2. Issuer Name <b>and</b> Ticker or Trading Symbol BALL CORP [BLL]			5. Relationship of Reporting Person(s) to Issuer			
(Lest)	(First)			-	-		(Ch	eck all applicat	ole)
(Last) (First) (Middle) BALL CORPORATION, 10 LONGS PEAK DR.			3. Date of Earliest Transaction (Month/Day/Year) 07/27/2016			X Director 10% Owner X Officer (give title Other (specify below) below) CHAIRMAN, PRESIDENT & C.E.O			
BROOMFIEL		4. If Amendment, Date Original Filed(Month/Day/Year)			<ol> <li>6. Individual or Joint/Group Filing(Check Applicable Line)</li> <li>_X_Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting Person</li> </ol>				
(City)	(State)	(Zip)	Tab	ole I - Non-I	Derivative	e Securities A	Acquired, Disposed	of, or Benefici	ally Owned
	Transaction Date Aonth/Day/Year)		Date, if	3. Transactic Code (Instr. 8) Code V	4. Securi onAcquirec Disposec (Instr. 3, Amount	(A) or l of (D) 4 and 5) (A) or	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Reminder: Repor	t on a separate line	for each cla	ass of sec	urities bene	Perso infor requi	ons who re nation con red to resp ays a curre	or indirectly. spond to the colle tained in this forr ond unless the fo ntly valid OMB co	n are not orm	SEC 1474 (9-02)

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of	8.1
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orDerivative	Expiration Date	Underlying Securities	De
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)	Sec

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(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8	or (E (Ii	cquired Dispose D) nstr. 3, 4 nd 5)	ed of					(In
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	<u>(1)</u>	07/27/2016		A <u>(2)</u>	2	5,702		(3)	(3)	Common Stock	25,702	\$

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships						
	Director	10% Owner	Officer	Other			
HAYES JOHN A BALL CORPORATION 10 LONGS PEAK DR. BROOMFIELD, CO 80021-2510	Х		CHAIRMAN PRESIDENT C.E.O	·			
Signatures							
/s/ Janice L. Rodriguez, attorney-in-f	fact for Mr.						

Hayes		11/09/2016
	**Signature of Reporting Person	Date

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Each restricted stock unit represents a contingent right to receive one share of Ball Corporation Common Stock.

Special Acquisition Restricted Stock Unit (RSU) awards were granted to certain employees on July 27, 2016, subsequent to the

(2) completion of the acquisition of Rexam PLC and the sale of the divestment business to Ardagh Group. Due to the complexity associated with the consolidation of the businesses and related financial information, the calculations for such awards were finalized on November 8, 2016.

(3) Special Acquisition Restricted Stock Units awarded under the Ball Corporation Stock and Cash Incentive Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.