

3M CO
Form 4
February 10, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
THULIN INGE G

(Last) (First) (Middle)

3M CENTER

(Street)

ST. PAUL, MN 55144-1000

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
3M CO [MMM]

3. Date of Earliest Transaction
(Month/Day/Year)
02/09/2017

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
Chairman, President & CEO

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (A) or (D)	Price	
Common Stock	02/09/2017		S		295	D \$ 177.39	234,564 D
Common Stock	02/09/2017		S		99	D \$ 177.391	234,465 D
Common Stock	02/09/2017		S		1,179	D \$ 177.4	233,286 D
Common Stock	02/09/2017		S		406	D \$ 177.41	232,880 D
Common Stock	02/09/2017		S		100	D \$ 177.411	232,780 D

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Common Stock	02/09/2017	S	2,001	D	\$ 177.42	230,779	D
Common Stock	02/09/2017	S	827	D	\$ 177.43	229,952	D
Common Stock	02/09/2017	S	200	D	\$ 177.431	229,752	D
Common Stock	02/09/2017	S	604	D	\$ 177.44	229,148	D
Common Stock	02/09/2017	S	1,192	D	\$ 177.45	227,956	D
Common Stock	02/09/2017	S	5,506	D	\$ 177.46	222,450	D
Common Stock	02/09/2017	S	300	D	\$ 177.475	222,150	D
Common Stock	02/09/2017	S	108	D	\$ 177.48	222,042	D
Common Stock	02/09/2017	S	5,000	D	\$ 177.485	217,042	D
Common Stock	02/09/2017	S	100	D	\$ 177.49	216,942	D
Common Stock	02/09/2017	S	5,000	D	\$ 177.495	211,942	D
Common Stock	02/09/2017	S	1,400	D	\$ 177.5	210,542	D
Common Stock	02/09/2017	S	262	D	\$ 177.51	210,280	D
Common Stock	02/09/2017	S	700	D	\$ 177.52	209,580	D
Common Stock	02/09/2017	S	100	D	\$ 177.54	209,480	D
Common Stock	02/09/2017	S	200	D	\$ 177.55	209,280	D
Common Stock	02/09/2017	S	700	D	\$ 177.555	208,580	D
Common Stock	02/09/2017	S	300	D	\$ 177.56	208,280	D
Common Stock	02/09/2017	S	290	D	\$ 177.57	207,990	D
Common Stock	02/09/2017	S	100	D	\$ 177.575	207,890	D
	02/09/2017	S	100	D	\$ 177.58	207,790	D

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Common
Stock

Common Stock	02/09/2017	S	339	D	\$ 177.59	207,451	D
Common Stock	02/09/2017	S	675	D	\$ 177.595	206,776	D
Common Stock	02/09/2017	S	100	D	\$ 177.605	206,676	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
THULIN INGE G 3M CENTER ST. PAUL, MN 55144-1000	X Chairman, President & CEO

Signatures

/s/ Sheila B. Clagherty, attorney-in-fact for Inge G.
Thulin

02/10/2017

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Remarks:

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