Keel Paul A Form 4 February 02, 2018

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to

Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

1. Name and Address of Reporting Person \* Keel Paul A

(First)

(Middle)

3M CENTER

(Street)

2. Issuer Name and Ticker or Trading Symbol

3M CO [MMM]

3. Date of Earliest Transaction (Month/Day/Year)

02/01/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

OMB

Number:

Expires:

response...

Estimated average

burden hours per

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

Director 10% Owner X\_ Officer (give title \_ Other (specify below)

Senior Vice President

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### ST. PAUL, MN 55144-1000

(City)	(State)	(Zip) Tab	ole I - Non-	Derivative	Secui	ities Acqui	ired, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securit onor Dispos (Instr. 3,	ed of		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	02/01/2018		M	13,480	A		19,009.4529	D	
Common Stock	02/01/2018		S	5,486	D	\$ 246.83	13,523.4529	D	
Common Stock	02/01/2018		S	197	D	\$ 246.84	13,326.4529	D	
Common Stock	02/01/2018		S	1,643	D	\$ 246.87	11,683.4529	D	
Common Stock	02/01/2018		S	200	D	\$ 246.88	11,483.4529	D	

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02/01/2018	S	300	D	\$ 246.89	11,183.4529	D
02/01/2018	S	500	D	\$ 246.91	10,683.4529	D
02/01/2018	S	1	D	\$ 246.92	10,682.4529	D
02/01/2018	S	200	D	\$ 246.93	10,482.4529	D
02/01/2018	S	902	D	\$ 246.94	9,580.4529	D
02/01/2018	S	432	D	\$ 246.95	9,148.4529	D
02/01/2018	S	100	D	\$ 246.97	9,048.4529	D
02/01/2018	S	1,688	D	\$ 246.98	7,360.4529	D
02/01/2018	S	1	D	\$ 246.99	7,359.4529	D
02/01/2018	S	927	D	\$ 247	6,432.4529	D
02/01/2018	S	488	D	\$ 247.02	5,944.4529	D
02/01/2018	S	415	D	\$ 247.03	5,529.4529	D
02/01/2018	M	200	A	\$ 54.11	5,729.4529	D
02/01/2018	G V	V 200	D	\$ 0	5,529.4529 (1)	D
	02/01/2018 02/01/2018 02/01/2018 02/01/2018 02/01/2018 02/01/2018 02/01/2018 02/01/2018 02/01/2018 02/01/2018 02/01/2018	02/01/2018       S         02/01/2018       M	02/01/2018       S       500         02/01/2018       S       1         02/01/2018       S       200         02/01/2018       S       902         02/01/2018       S       432         02/01/2018       S       100         02/01/2018       S       1,688         02/01/2018       S       927         02/01/2018       S       488         02/01/2018       S       415         02/01/2018       M       200	02/01/2018       S       500       D         02/01/2018       S       1       D         02/01/2018       S       200       D         02/01/2018       S       902       D         02/01/2018       S       432       D         02/01/2018       S       100       D         02/01/2018       S       1,688       D         02/01/2018       S       927       D         02/01/2018       S       927       D         02/01/2018       S       488       D         02/01/2018       S       415       D         02/01/2018       M       200       A	02/01/2018       S       300       D       246.89         02/01/2018       S       500       D       \$       246.91         02/01/2018       S       1       D       \$       246.92         02/01/2018       S       200       D       \$       246.93         02/01/2018       S       902       D       \$       246.94         02/01/2018       S       432       D       \$       246.94         02/01/2018       S       100       D       \$       246.95         02/01/2018       S       1,688       D       \$       246.97         02/01/2018       S       1,688       D       \$       246.99         02/01/2018       S       927       D       \$ 247         02/01/2018       S       488       D       \$       247.02         02/01/2018       S       415       D       \$       247.03         02/01/2018       M       200       A       \$ 54.11	02/01/2018       S       300       D       246.89       11,183.4529         02/01/2018       S       500       D       \$ 246.91       10,683.4529         02/01/2018       S       1       D       \$ 246.92       10,682.4529         02/01/2018       S       200       D       \$ 10,482.4529         02/01/2018       S       902       D       \$ 246.93       10,482.4529         02/01/2018       S       902       D       \$ 246.94       9,580.4529         02/01/2018       S       100       D       \$ 246.95       9,148.4529         02/01/2018       S       1,688       D       \$ 7,360.4529         02/01/2018       S       1,688       D       \$ 7,360.4529         02/01/2018       S       927       D       \$ 246.99       7,359.4529         02/01/2018       S       927       D       \$ 247.02       5,944.4529         02/01/2018       S       415       D       \$ 5,529.4529         02/01/2018       M       200       A       \$ 54.11       5,729.4529         02/01/2018       G       V       200       D       \$ 5,529.4529

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

 $\label{thm:convertible} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amou
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	onDerivative	Expiration Date	Underlying Securi
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired (A)		
	Derivative				or Disposed of		
	Security				(D)		
					(Instr. 3, 4,		

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and 5)

						1				
			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amo or Nun of S
Non-qualified Stock Option (Right to Buy)	\$ 54.11	02/01/2018	M			13,480	02/09/2010	02/08/2019	Common Stock	13,
Non-qualified Stock Option (Right to Buy)	\$ 54.11	02/01/2018	M			200	02/09/2010	02/08/2019	Common Stock	2

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Keel Paul A 3M CENTER

Senior Vice President

ST. PAUL, MN 55144-1000

## **Signatures**

/s/ Sheila B. Claugherty, attorney-in-fact for Paul A. Keel 02/02/2018

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares acquired under 3M's General Employee Stock Purchase Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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