RANKIN ALISON A Form 4

October 19, 2018

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

subject to Section 16. Form 4 or Form 5 obligations may continue.

See Instruction

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

RANKIN ALISON A

2. Issuer Name and Ticker or Trading

Symbol

**HYSTER-YALE MATERIALS** HANDLING, INC. [HY]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

below)

(Last)

(First)

(Middle)

3. Date of Earliest Transaction

(Month/Day/Year)

Director

10% Owner Officer (give title \_\_X\_ Other (specify

5875 LANDERBROOK DRIVE

10/18/2018

Member of a Group

6. Individual or Joint/Group Filing(Check Applicable Line)

4. If Amendment, Date Original Filed(Month/Day/Year)

> \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

below)

MAYFIELD HEIGHTS, OH 44124

(Street)

(City)	(State)	(Zip) Ta	ble I - Non	-Derivativ	ve Sec	curities Acqu	ired, Disposed o	of, or Benefic	ially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securi oner Dispos (Instr. 3,	sed of	` ′	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	10/18/2018		P	56 (1)	A	\$ 61.6882	5,123	I	Reporting Person serves as Trustee of a Trust for the benefit of Alison Rankin
Class A Common Stock	10/18/2018		P	1 (1)	A	\$ 61.69 (2)	207	I	Spouse's proportionate interests in shares held by Rankin Associates V

Class A Common Stock	10/18/2018	P	1 (1)	A	\$ 61.69 ( <u>2</u> )	56	Ι	spouse's interest in shares of Rankin Associates VI held by Rankin Management, Inc. ("RMI")
Class A Common Stock	10/18/2018	P	78 <u>(1)</u>	A	\$ 61.69 (2)	16,438	I	Spouse serves as Trustee of GSTs for the benefit of A. Farnham Rankin
Class A Common Stock	10/18/2018	P	78 <u>(1)</u>	A	\$ 61.69 ( <u>2)</u>	16,438	I	Spouse serves as Trustee of GSTs for the benefit of Elisabeth M. Rankin
Class A Common Stock	10/18/2018	P	1 (1)	A	\$ 61.69 (2)	165	I	Spouse's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	10/18/2018	P	1 (1)	A	\$ 61.69 ( <u>2)</u>	165	I	Proportionate interest in shares held in Rankin Associates VI
Class A Common Stock	10/18/2018	P	1 (1)	A	\$ 61.69 ( <u>2)</u>	165	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	10/18/2018	P	1 (1)	A	\$ 61.69 (2)	165	I	Child's proportionate interest in shares held by Rankin Associates VI
						54,147	I	

Class A Common Stock			proportionate limited partnership interest in shares held by Rankin Associates I, L.P. (5)
Class A Common Stock	29,986	I	Reporting Person?s proportionate interests in shares held by Rankin Associates II.
Class A Common Stock	200	I	Reporting person's spouse serves as Trustee for the benefit of Elisabeth Rankin
Class A Common Stock	765	I	Reporting person serves as co-trustee of trust fbo Elisabeth M. Rankin
Class A Common Stock	20,119	I	Minor child?s trust?s proportionate interests in shares held by Rankin Associates II.
Class A Common Stock	1,851	I	Co-Trustee for the benefit of Reporting Person's daughter. (5)
Class A Common Stock	1,209	I	Spouse serves as Trustee for the benefit of A. Farnham Rankin
	17,987	I	

Class A Common Stock			Minor child?s trust?s proportionate interests in shares held by Rankin Associates II.
Class A Common Stock	3,738	I	Co-Trustee for the benefit of Reporting Person's daughter. (5)
Class A Common Stock	19	I	Spouse's proportionate general partnership interest shares held by RAIV. (5)
Class A Common Stock	3,448	I	Spouse's proportionate interests in shares held by Rankin Associates I.
Class A Common Stock	4,693	I	spouse's proportionate limited partnership interest in shares held by RA II, L.P (5)
Class A Common Stock	65,824	I	Spouse's proportionate interests in shares held by Rankin Associates IV. (5)
Class A Common Stock	1,975	I	spouse's proportionate interest in shares held by Rankin Management,

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Class A Common Stock						52	I	spot inte shar Ran Ass held Ran Mar	ociates V l by	
Class A Common Stock						192,662	2 I	as T a Tı ben Rog	use serves rustee of rust for the efit of ger F. kin (5)	
Reminder: R	eport on a sepa	arate line for each clas		Person informa require display number	s who res ation conta d to respo s a currer r.	pond to the ained in this and unless t atly valid OM	s form are i he form //B control		1474 9-02)	
			tive Securities Acqu uts, calls, warrants,				Jwnea			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Dr.Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exerc Expiration D (Month/Day/	ate	7. Title and A Underlying S (Instr. 3 and	Securities	8. Price Derivati Security (Instr. 5]
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Class B Common Stock	<u>(6)</u>					<u>(6)</u>	<u>(6)</u>	Class A Common Stock	96,861	
	<u>(6)</u>					<u>(6)</u>	<u>(6)</u>		29,986	

Class B Common Stock				Class A Common Stock	
Class B Common Stock	<u>(6)</u>	<u>(6)</u>	<u>(6)</u>	Class A Common Stock	3,123
Class B Common Stock	<u>(6)</u>	<u>(6)</u>	<u>(6)</u>	Class A Common Stock	200
Class B Common Stock	<u>(6)</u>	<u>(6)</u>	<u>(6)</u>	Class A Common Stock	20,119
Class B Common Stock	( <u>6)</u>	<u>(6)</u>	<u>(6)</u>	Class A Common Stock	1,851
Class B Common Stock	<u>(6)</u>	<u>(6)</u>	<u>(6)</u>	Class A Common Stock	444
Class B Common Stock	<u>(6)</u>	<u>(6)</u>	<u>(6)</u>	Class A Common Stock	17,987
	<u>(6)</u>	<u>(6)</u>	<u>(6)</u>		3,738

Class B Common Stock				Class A Common Stock	
Class B Common Stock	<u>(6)</u>	<u>(6)</u>	<u>(6)</u>	Class A Common Stock	6,889
Class B Common Stock	<u>(6)</u>	<u>(6)</u>	<u>(6)</u>	Class A Common Stock	6,889
Class B Common Stock	<u>(6)</u>	<u>(6)</u>	<u>(6)</u>	Class A Common Stock	31
Class B Common Stock	<u>(6)</u>	<u>(6)</u>	<u>(6)</u>	Class A Common Stock	6,168
Class B Common Stock	<u>(6)</u>	<u>(6)</u>	<u>(6)</u>	Class A Common Stock	4,693
Class B Common Stock	<u>(6)</u>	<u>(6)</u>	<u>(6)</u>	Class A Common Stock	104,286
Class B Common	<u>(6)</u>	<u>(6)</u>	<u>(6)</u>	Class A Common	1,975

Stock Stock

 Class B
 Class A

 Common 60
 60
 Common 193,760

 Stock
 Stock

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

RANKIN ALISON A 5875 LANDERBROOK DRIVE MAYFIELD HEIGHTS, OH 44124

Member of a Group

### **Signatures**

/s/ Suzanne S. Taylor, attorney-in-fact

10/19/2018

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2018-Oct-18 -Weighted Average- Share Price represents average price between \$61.23 and \$62.03.
- (3) held in trust u/a/d 8/15/2012
- (4) held in trust u/a/d 12/30/2015
- (5) Reporting Person disclaims beneficial ownership of all such shares.
- (6) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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