Rankin Thomas Parker Form 4 March 28, 2019

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

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obligations

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Rankin Thomas Parker

2. Issuer Name and Ticker or Trading

Symbol

**HYSTER-YALE MATERIALS** 

HANDLING, INC. [HY] (Last) (First) (Middle) 3. Date of Earliest Transaction

> (Month/Day/Year) 03/27/2019

5875 LANDERBROOK DRIVE

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

**OMB APPROVAL** 

Estimated average

burden hours per

Expires:

response...

3235-0287

January 31,

2005

0.5

Director 10% Owner Officer (give title \_\_X\_ Other (specify below) below)

Member of a Group

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### MAYFIELD HEIGHTS, OH 44124

(Street)

| (City)                               | (State)                                 | (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |                 |       |   |                    |  |  |   |  |
|--------------------------------------|---|--|-----------------|-------|---|--------------------|--|--|---|--|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year)                            | Code (Instr. 8) |       |   |                    | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4)   |  |
| Class A<br>Common<br>Stock           | 03/27/2019                              |  | P               | 3 (1) | A | \$ 62.49<br>(2)    | 598  | I  | Co-trustee of<br>trust fbo<br>nephew.<br>Reflects<br>proportionate<br>interests in<br>Rankin Assoc<br>VI shares |  |
| Class A<br>Common<br>Stock           | 03/27/2019                              |  | P               | 3 (1) | A | \$<br>62.49<br>(2) | 598  | I  | Co-trustee of trust fbo niece. Reflects   |  |

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|   |            |   |       |   |                    |        |   | proportionate<br>interests in<br>Rankin Assoc<br>VI shares  |
|---|------------|---|-------|---|--------------------|--------|---|---|
| Class A<br>Common<br>Stock  | 03/27/2019 | P | 2 (1) | A | \$<br>62.49<br>(2) | 503    | I | Proportionate<br>interest in<br>Rankin<br>Associates VI   |
| Class A<br>Common<br>Stock  |            |   |       |   |                    | 569    | I | Co-trustee of<br>trust fbo<br>nephew.<br>Reflects<br>proportionate<br>interests in<br>Rankin Assoc<br>II shares |
| Class A<br>Common<br>Stock  |            |   |       |   |                    | 761    | I | Co-trustee of<br>trust fbo<br>niece.<br>Reflects<br>proportionate<br>interests in<br>Rankin Assoc<br>II shares  |
| Class A<br>Common<br>Stock  |            |   |       |   |                    | 8,492  | I | By Trust  |
| Class A<br>Common<br>Stock  |            |   |       |   |                    | 99     | I | proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates V  |
| Class A<br>Common<br>Stock  |            |   |       |   |                    | 16,134 | I | Represents Reporting Person's proportionate interest in shares held by Rankin Associates II, L.P.               |
| Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. |            |   |       |   |                    |        |   |   |

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SEC 1474 (9-02)

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# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                                     | 8. Price of Derivative Security (Instr. 5) |
|---|---|--------------------------------------|---|--|---|-------------------------------------|--------------------|---|-------------------------------------|--|
|   |   |                                      |   | Code V                                 | (A) (D)   | Date<br>Exercisable                 | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |  |
| Class B<br>Common<br>Stock                          | (3)   |                                      |   |  |   | (3)                                 | (3)                | Class A<br>Common<br>Stock                                    | 569                                 |  |
| Class B<br>Common<br>Stock                          | (3)   |                                      |   |  |   | <u>(3)</u>                          | (3)                | Class A<br>Common<br>Stock                                    | 761                                 |  |
| Class B<br>Common<br>Stock                          | (3)   |                                      |   |  |   | (3)                                 | (3)                | Class A<br>Common<br>Stock                                    | 8,322                               |  |
| Class B<br>Common<br>Stock                          | (3)   |                                      |   |  |   | <u>(3)</u>                          | (3)                | Class A<br>Common<br>Stock                                    | 16,134                              |  |

# **Reporting Owners**

Reporting Owner Name / Address

Relationships

Reporting Owners 3

#### Edgar Filing: Rankin Thomas Parker - Form 4

Director 10% Owner Officer Other

Rankin Thomas Parker 5875 LANDERBROOK DRIVE MAYFIELD HEIGHTS, OH 44124

Member of a Group

## **Signatures**

/s/ Suzanne S. Taylor, attorney-in-fact

03/28/2019

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2019-Mar-27-Weighted Average Share Price represents average price between \$62.37 and \$62.59.
- (3) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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