Rankin A Farnham Form 4 April 05, 2019

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

1. Name and Address of Reporting Person \*

Rankin A Farnham

2. Issuer Name and Ticker or Trading

Symbol

**HYSTER-YALE MATERIALS** HANDLING, INC. [HY]

(First) 5875 LANDERBROOK DRIVE 3. Date of Earliest Transaction

(Month/Day/Year) 04/03/2019

(Street)

(Middle)

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

(Check all applicable)

Director 10% Owner Officer (give title \_\_X\_ Other (specify below)

below) Member of a Group

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### MAYFIELD HEIGHTS, OH 44124

| (City)                               | (State)                                 | (Zip) Tal   | ble I - Non                            | -Derivativ                            | e Sec                        | urities Ac         | equired, Dispose   | d of, or Benef   | icially Owned   |
|--------------------------------------|---|---|--|---------------------------------------|------------------------------|--------------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securi<br>on(A) or D<br>(Instr. 3, | ispose<br>4 and<br>(A)<br>or | d of (D)           | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Class A<br>Common<br>Stock           | 04/03/2019                              |   | P                                      | 1 (1)                                 | A                            | \$<br>64.99<br>(2) | 102  | I  | Proportionate interest in shares held by Rankin Associates V      |
| Class A<br>Common<br>Stock           | 04/03/2019                              |   | Р                                      | 2 (1)                                 | A                            | \$<br>64.99<br>(2) | 512  | I  | Proportionate interest in shares held by Rankin Associates VI     |

#### Edgar Filing: Rankin A Farnham - Form 4

| Class A Common Stock | 17,987 | I | proportionate LP interest in shares held by RA II LP which is held in a trust |
|----------------------|--------|---|---|
| Class A Common Stock | 3,738  | I | Held by Trust<br>fbo Reporting<br>Person                                      |
| Class A Common Stock | 1,516  | I | Held by Trust   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

> 8. Price o Derivativ Security (Instr. 5)

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                                     |
|---|---|--------------------------------------|---|---|---|--|--------------------|---|-------------------------------------|
|   |   |                                      |   | Code V                                  | (A) (D)   | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |
| Class B<br>Common<br>Stock                          | <u>(4)</u>  |                                      |   |   |   | <u>(4)</u>   | <u>(4)</u>         | Class A<br>Common<br>Stock  | 17,987                              |
| Class B<br>Common<br>Stock                          | <u>(4)</u>  |                                      |   |   |   | <u>(4)</u>   | <u>(4)</u>         | Class A<br>Common<br>Stock  | 3,738                               |
| Class B<br>Common<br>Stock                          | <u>(4)</u>  |                                      |   |   |   | <u>(4)</u>   | <u>(4)</u>         | Class A<br>Common<br>Stock  | 751                                 |

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Rankin A Farnham 5875 LANDERBROOK DRIVE MAYFIELD HEIGHTS, OH 44124

Member of a Group

## **Signatures**

/s/ Suzanne S. Taylor, attorney-in-fact

04/05/2019

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2019-Apr-3-Weighted Average Share Price represents average price between \$64.92 and \$64.99.
- (3) held in trust u/a/d 8/12/2012
- (4) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3