#### Edgar Filing: SM Energy Co - Form 4

SM Energy Co Form 4 July 03, 2013 FORM 4 Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). INTED STATES SECURITIES AND EXCHANGE COMMISSIONWashington, D.C. 20549 TATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OFSECURITIES SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,ection 17(a) of the Public Utility Holding Company Act of 1935 or Section30(h) of the Investment Company Act of 1940 1000								
<ul> <li>(Print or Type Responses)</li> <li>1. Name and Address of Reporting Person <u>*</u></li> <li>PURSELL A WADE</li> </ul>	2. Issuer Name and Tr Symbol	-	5. Relationship of l Issuer	Reporting Pers	son(s) to			
(Last) (First) (Middle) 1775 SHERMAN STREET, SUITE 1200	SM Energy Co [SM 3. Date of Earliest Trans (Month/Day/Year) 07/01/2013	(Check all applicable) <u>X</u> Director <u>X</u> Officer (give title 10% Owner below) EVP & CFO						
Filed(Month/Day/Year) Applic				int/Group Filin ne Reporting Pe ore than One Re	rson			
(City) (State) (Zip)	Table I - Non-Der	ivative Securities Acc	uired, Disposed of,	or Beneficial	ly Owned			
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Dec Executiv any (Month/	on Date, if Transaction	. Securities Acquired A) or Disposed of (D) Instr. 3, 4 and 5) (A) or	Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)				
Common Stock; \$.01 06/28/2013 Par Value	Code V A $J_{(1)}^{(1)} \qquad 4$		(Instr. 3 and 4) 52,434	D				
Common Stock; \$.01 07/01/2013 Par Value	M 3	,018 A (2)	55,452	D				
Common Stock; \$.01 07/01/2013 Par Value	F 9	66 D <sup>\$</sup> 59.98	54,486	D				
Common 07/01/2013 Stock; \$.01	M 9	43 A ( <u>3)</u>	55,429	D				

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Common Stock; \$.01 07/01/2013 Par Value	F	303	D	\$ 59.98	55,126	D
Common Stock; \$.01 07/01/2013 Par Value	М	2,205	А	<u>(4)</u>	57,331	D
Common Stock; \$.01 07/01/2013 Par Value	F	706	D	\$ 59.98	56,625	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	Transaction of Derivative Code Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	<u>(2)</u>	07/01/2013		М		3,018	07/01/2013	07/01/2013	Common Stock	3,018
Restricted Stock Units	<u>(3)</u>	07/01/2013		М		943	07/01/2013	07/01/2013	Common Stock	943
Restricted Stock Units	<u>(4)</u>	07/01/2013		М		2,205	07/01/2013	07/01/2013	Common Stock	2,205

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			

PURSELL A WADE 1775 SHERMAN STREET SUITE 1200 DENVER, CO 80203

### Signatures

Karin M. Writer (Attorney-In-Fact)

07/03/2013

Date

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person purchased 426 shares of the issuer's common stock on June 28, 2013, through the issuer's Employee Stock Purchase Plan.

Each restricted stock unit represents a contingent right to receive one share of stock. The restricted stock unit grant vested over a

- (2) three-year period, with 1/7th vesting on July 1, 2011, 2/7th vesting on July 2, 2012, and 4/7th vesting on July 1, 2013. The vested shares were issued to the reporting person on the vesting dates, at which time all restrictions on the vested shares lapsed.
- Each restricted stock unit represents a contingent right to receive one share of stock. The restricted stock unit grant vests over a three-year(3) period, with 1/7th vesting on July 2, 2012, 2/7th vesting on July 1, 2013, and 4/7th vesting on July 1, 2014. The vested shares will be issued to the reporting person on the vesting dates, at which time all restrictions on the vested shares will lapse.

Each restricted stock unit represents a contingent right to receive one share of stock. The restricted stock unit grant vests in three equal(4) annual installments beginning on July 1, 2013. The vested shares will be issued to the reporting person on the vesting dates, at which time all restrictions on the vested shares will lapse.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.