#### ABM INDUSTRIES INC /DE/

Form 4 July 19, 2013

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

**OMB APPROVAL** 

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005
Estimated average

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response... 0.5

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * FARWELL DAVID L			2. Issuer Name and Ticker or Trading Symbol ABM INDUSTRIES INC /DE/ [ABM]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)		
(Last) (First) (Middle) 551 FIFTH AVENUE, SUITE 300		,	3. Date of Earliest Transaction (Month/Day/Year) 07/17/2013	Director 10% Owner _X Officer (give title Other (specify below) Senior Vice President		
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting		
NEW YORK,	NY 10176			Person		

(City)	(State)	(Zip) Table	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	f, or Beneficial	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	xecution Date, if Transaction(A) or Disposed ry Code (Instr. 3, 4 and 5			d of (D)	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		
Common Stock	07/17/2013		M	21	A	\$ 18.71	31,380	D	
Common Stock	07/17/2013		S <u>(1)</u>	21	D	\$ 25.75	31,359	D	
Common Stock	07/18/2013		M	2,479	A	\$ 18.71	33,838	D	
Common Stock	07/18/2013		S(1)	2,479	D	\$ 25.75	31,359 (2)	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

### Edgar Filing: ABM INDUSTRIES INC /DE/ - Form 4

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

> 8. I De Sec (In

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number conf Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day	ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options	\$ 18.71	07/17/2013		M	21	<u>(4)</u>	10/02/2013	Common Stock	21
Stock Options	\$ 18.71	07/18/2013		M	2,479	<u>(4)</u>	10/02/2013	Common Stock	2,479

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

FARWELL DAVID L 551 FIFTH AVENUE SUITE 300

Senior Vice President

NEW YORK, NY 10176

### **Signatures**

By: Barbara L. Smithers, by power of attorney 07/19/2013

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) All sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan entered into by the reporting person.
- (2) Includes 6,619 RSUs and DERs relating to the RSUs, adjusted to reflect the cumulative effect of fractional shares, and 893 performance shares earned but not vested with respect to performance shares granted on 1/11/2011, and DERs related thereto.

Reporting Owners 2

### Edgar Filing: ABM INDUSTRIES INC /DE/ - Form 4

- (3) Stock options granted under the 2006 Equity Incentive Plan.
- (4) 25% exercisable on 10/2/1007 and 25% on the anniversary date of each of the following three years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.