## Edgar Filing: PENNYMAC FINANCIAL SERVICES, INC. - Form 4

PENNYMA Form 4 April 03, 20	AC FINANCIAL	SERVICES	S, INC								
FOR	ЛД								OMB APF	PROVAL	
<b>UNITED STATES SECURITIES AND EXCHANGE COMMIS</b> Washington, D.C. 20549						IMISSION	OMB Number:	3235-0287			
if no lo subject Section Form 4	Check this box if no longer subject to Section 16. Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934							Expires:January 31Expires:2005Estimated averageburden hours perresponse0.5			
obligati may co <i>See</i> Ins 1(b).	ons Section 17	(a) of the F	Public V	Utility Ho		pany A	Act of 193	35 or Section			
(Print or Type	Responses)										
Fidelity Investments Charitable GiftSFundP								Relationship of Reporting Person(s) to suer (Check all applicable)			
			3. Date of Earliest Transaction       (Month/Day/Year)       04/01/2014					Director X 10% Owner Officer (give title Other (specify w) below)			
				nendment, l lonth/Day/Ye	Date Original ear)		ndividual or Joint/Group Filing(Check licable Line) Form filed by One Reporting Person				
BOSTON,	MA 02210							Form filed by Mo			
(City)	(State)	(Zip)	Та	ble I - Non	-Derivative Se	ecuriti	es Acquire	d, Disposed of,	or Beneficially	Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemec Execution D any (Month/Day	Date, if	3. Transactio Code (Instr. 8)	4. Securities 2 DiDisposed of ( (Instr. 3, 4 an	(D)	red (A) or	5. Amount of Securities Beneficially Owned Following Reported Transaction(s	Ownership Form: Direct (D) or Indirect (I) () (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Class A				Code V	Amount	(D)	Price \$	(Instr. 3 and 4	4)		
Common Stock	04/01/2014			S	6,110,000	D	16.0545 (1)	0	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	;	ate	Amou Under Secur	rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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## **Reporting Owners**

Person

Reporting Owner Name / Address		Relationships						
	Director	10% Owner	Officer	Other				
Fidelity Investments Charitable 200 SEAPORT BLVD., Z3B		х						
BOSTON, MA 02210								
Signatures								
/s/ Jill L. Weiner, 04/03/201 Secretary		4						
**Signature of Reporting	Date							

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This amount represents the \$16.50 public offering price per share of common stock of the issuer, less the underwriting discount of \$0.4455 per share of common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.