

SUMMIT FINANCIAL GROUP INC

Form 4

June 03, 2015

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287

Expires: January 31, 2005

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
George George R.2. Issuer Name and Ticker or Trading Symbol  
SUMMIT FINANCIAL GROUP  
INC [SMMF]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction  
(Month/Day/Year)  
06/01/2015☒ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify below)

PO BOX 8523

(Street)

4. If Amendment, Date Original  
Filed(Month/Day/Year)6. Individual or Joint/Group Filing(Check Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting Person

CHARLESTON, WV 25303

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|----------------------------------------------------------|--------------------------------------------|
| Common Stock                    | 06/01/2015                           |                                                    | X                              | 2,107 A                                                           | \$ 9.75 38,721 <sup>(1)</sup>                                                                 | D                                                        |                                            |
| Common Stock                    | 06/01/2015                           |                                                    | X                              | 57 A                                                              | \$ 9.75 1,057                                                                                 | I                                                        | By Father                                  |
| Common Stock                    |                                      |                                                    |                                |                                                                   | 62,500                                                                                        | I                                                        | by 401(k) Plan FBO Father                  |
| Common Stock                    | 06/01/2015                           |                                                    | X                              | 2,692 A                                                           | \$ 9.75 42,621                                                                                | I                                                        | by 401(k) Plan FBO Spouse                  |
|                                 | 06/01/2015                           |                                                    | X                              | 4,181 A                                                           | 76,785                                                                                        | I                                                        |                                            |

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|              |            |  |   |       |   |         |        |   |                                           |
|--------------|------------|--|---|-------|---|---------|--------|---|-------------------------------------------|
| Common Stock |            |  |   |       |   | \$ 9.75 |        |   | By George Brothers Investment Partnership |
| Common Stock | 06/01/2015 |  | X | 1,047 | A | \$ 9.95 | 19,228 | I | By In-Laws                                |
| Common Stock | 06/01/2015 |  | X | 4,189 | A | \$ 9.95 | 76,916 | I | By Mother                                 |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |     | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                 |              |                            |
|--------------------------------------------|--------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------|-----|---------------------------------------------------------------|-----------------|--------------|----------------------------|
|                                            |                                                        |                                      |                                                    | Code                           | V                                                                                       | (A)                                                      | (D) | Date Exercisable                                              | Expiration Date | Title        | Amount or Number of Shares |
| Subscription Rights (right to buy)         | \$ 9.95                                                | 06/01/2015                           |                                                    | X                              |                                                                                         | 2,107                                                    |     | 04/10/2015                                                    | 05/29/2015      | Common Stock | 2,107                      |
| Subscription Rights (right to buy)         | \$ 9.95                                                | 06/01/2015                           |                                                    | X                              |                                                                                         | 57                                                       |     | 04/10/2015                                                    | 05/29/2015      | Common Stock | 57                         |
| Subscription Rights (right to buy)         | \$ 9.95                                                | 06/01/2015                           |                                                    | X                              |                                                                                         | 2,692                                                    |     | 04/10/2015                                                    | 05/29/2015      | Common Stock | 2,692                      |
| Subscription Rights (right to buy)         | \$ 9.95                                                | 06/01/2015                           |                                                    | X                              |                                                                                         | 4,181                                                    |     | 04/10/2015                                                    | 05/29/2015      | Common Stock | 4,181                      |
| Subscription Rights (right to buy)         | \$ 9.95                                                | 06/01/2015                           |                                                    | X                              |                                                                                         | 1,047                                                    |     | 04/10/2015                                                    | 05/29/2015      | Common Stock | 1,047                      |

|                                    |         |            |   |       |            |            |              |       |
|------------------------------------|---------|------------|---|-------|------------|------------|--------------|-------|
| Subscription Rights (right to buy) | \$ 9.95 | 06/01/2015 | X | 4,189 | 04/10/2015 | 05/29/2015 | Common Stock | 4,189 |
|------------------------------------|---------|------------|---|-------|------------|------------|--------------|-------|

## Reporting Owners

| Reporting Owner Name / Address                             | Relationships |           |         |       |
|------------------------------------------------------------|---------------|-----------|---------|-------|
|                                                            | Director      | 10% Owner | Officer | Other |
| George Georgette R.<br>PO BOX 8523<br>CHARLESTON, WV 25303 |               | X         |         |       |

## Signatures

|                                                           |            |
|-----------------------------------------------------------|------------|
| Teresa D. Ely, Lmted POA<br>Attorney-in-Fact              | 06/03/2015 |
| <u>                    </u> Signature of Reporting Person | Date       |

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 38 shares acquired on March 31, 2015 under the Company's dividend reinvestment plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.