

DVONCH JEROME J

Form 3

May 02, 2011

FORM 3UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB
Number: 3235-0104Expires: January 31,
2005Estimated average
burden hours per
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â DVONCH JEROME J

(Last)

(First)

(Middle)

2. Date of Event Requiring
Statement

(Month/Day/Year)

05/02/2011

3. Issuer Name **and** Ticker or Trading Symbol
NEOGENOMICS INC [NGNM.OB]4. Relationship of Reporting
Person(s) to Issuer5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

Principal Accounting Officer

C/O NEOGENOMICS,
INC.,Â 12701
COMMONWEALTH DRIVE
SUITE 9

(Street)

FORT MYERS,Â FLÂ US
33913

(City)

(State)

(Zip)

6. Individual or Joint/Group
Filing(Check Applicable Line)
☒ Form filed by One Reporting
Person
☐ Form filed by More than One
Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative
Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)4. Conversion
or Exercise
Price of
Derivative5. Ownership
Form of
Derivative
Security:6. Nature of Indirect
Beneficial
Ownership
(Instr. 5)

Edgar Filing: DVONCH JEROME J - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (right to buy)	07/28/2005	07/27/2015	Common Stock	17,500	\$ 0.37	D	Â
Stock Option (right to buy)	09/15/2007	09/14/2016	Common Stock	35,000	\$ 1	D	Â
Stock Option (right to buy)	02/13/2007	02/12/2017	Common Stock	19,167	\$ 1.47	D	Â
Stock Option (right to buy)	03/15/2007	03/14/2017	Common Stock	50,000	\$ 1.49	D	Â
Stock Option (right to buy)	07/01/2008 ⁽¹⁾	07/01/2015	Common Stock	74,000	\$ 1.04	D	Â
Stock Option (right to buy)	12/31/2009 ⁽²⁾	02/02/2016	Common Stock	19,500	\$ 0.62	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
DVONCH JEROME J C/O NEOGENOMICS, INC. 12701 COMMONWEALTH DRIVE SUITE 9 FORT MYERS, FL US 33913	Â	Â	Â Principal Accounting Officer	Â

Signatures

/s/ Jerome J
Dvonch

05/02/2011

 Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) On July 1, 2008 Mr. Dvonch was granted options to purchase 100,000 shares. These were a combination of monthly time based vesting over forty eight months and performance based options. The first exercisable date was July 1, 2008. To date 26,000 shares have been cancelled, 53,500 shares are vested and 20,500 shares are unvested.

(2) On February 2, 2009 Mr. Dvonch was granted options to purchase 26,000 shares with performance based vesting. The first date options became exercisable was December 31, 2009. To date 13,000 shares are exercisable and 6,500 shares have been cancelled. There are 6,500 unvested and non-exercisable options.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.