Prestige Brands Holdings, Inc.

Form 4 June 01, 2016

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

OMB Washington, D.C. 20549

**OMB APPROVAL** 

Number:

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3235-0287

January 31,

2005

0.5

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obligations

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading **MIGAKI PAUL** Issuer Symbol Prestige Brands Holdings, Inc. (Check all applicable) [PBH] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner \_ Other (specify X\_ Officer (give title (Month/Day/Year) below) 660 WHITE PLAINS RD. 05/27/2016 See Remarks (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting TARRYTOWN, NY 10591 Person

(City)	(State)	<sup>(Zip)</sup> Tabl	e I - Non-E	<b>Derivative</b>	Securi	ities Acqu	ired, Disposed of	, or Beneficial	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired tion(A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(D)	Price	(Instr. 3 and 4)		
Common Stock, par value \$0.01 per share	05/27/2016		M	11,973	A	\$ 29.94	67,900	D	
Common Stock, par value \$0.01 per share	05/27/2016		S	11,973	D	\$ 54.51	55,927	D	
Common Stock, par value \$0.01 per share	05/27/2016		M	9,974	A	\$ 33.5	65,901	D	

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Common Stock, par value \$0.01 per share	05/27/2016	S	9,974	D	\$ 54.51	55,927	D
Common Stock, par value \$0.01 per share	05/27/2016	M	4,930	A	\$ 41.44	60,857	D
Common Stock, par value \$0.01 per share	05/27/2016	S	4,930	D	\$ 54.51	55,927	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of ionDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 29.94	05/27/2016		M	1	11,973	<u>(1)</u>	05/14/2023	Common Stock	11,973
Employee Stock Option (right to buy)	\$ 33.5	05/27/2016		M		9,974	(2)	05/12/2024	Common Stock	9,974
Employee Stock Option (right to buy)	\$ 41.44	05/27/2016		M		4,930	(3)	05/11/2025	Common Stock	4,930

#### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

MIGAKI PAUL

660 WHITE PLAINS RD. TARRYTOWN, NY 10591 See Remarks

#### **Signatures**

/s/ Paul Migaki by Samuel C. Cowley, as attorney-in-fact pursuant to power of attorney dated July 30, 2013 on file with the Commission

06/01/2016

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The options vested in three approximately equal annual installments beginning May 14, 2014.
- (2) The options vested in three approximately equal installments beginning May 12, 2015.
- (3) The options vested in three approximately equal installments beginning May 11, 2016.

#### **Remarks:**

VP of Strategic Planning/Canada

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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