

ANNALY CAPITAL MANAGEMENT INC

Form 8-K

October 11, 2017

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

CURRENT REPORT
PURSUANT TO SECTION 13 OR 15(d) OF THE
SECURITIES EXCHANGE ACT OF 1934

Date of Report (Date of earliest event reported)

October 11, 2017

Annaly Capital Management, Inc.

(Exact Name of Registrant as Specified in its Charter)

Maryland

1-13447 22-3479661

State or Other Jurisdiction (Commission (I.R.S. Employer

Of Incorporation) File Number) Identification No.)

1211 Avenue of the Americas

New York, New York

10036

(Address of Principal Executive Offices) (Zip Code)

Registrant's telephone number, including area code: (212) 696-0100

(Former Name or Former Address, if Changed Since Last Report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

Item 8.01. Other Events.

On October 11, 2017, Annaly Capital Management, Inc. (the "Company") issued a press release announcing that Kevin G. Keyes, the Company's Chief Executive Officer and President and a member of the Board of Directors of the Company (the "Board"), has been appointed as Chairman of the Board, effective January 1, 2018, and that Wellington J. Denahan, presently the Company's Executive Chairman and Chairman of the Board, will retire from those positions, effective December 31, 2017, but remain as a member of the Board. Jonathan D. Green will continue to serve as the Company's Lead Independent Director following this transition.

A copy of the press release is attached hereto as Exhibit 99.1.

Item 9.01 Financial Statements and Exhibits.

(d) Exhibits

99.1 Press Release, dated October 11, 2017, issued by Annaly Capital Management, Inc.

Exhibit Index

| Exhibit No. | Exhibit |
|-------------|---------|
|-------------|---------|

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|------|---|
| 99.1 | <u>Press Release, dated October 11, 2017, issued by Annaly Capital Management, Inc.</u> |
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SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

ANNALY CAPITAL MANAGEMENT, INC.

By: /s/ Glenn A. Votek

Name: Glenn A. Votek

Title: Chief Financial Officer

Dated: October 11, 2017