Edgar Filing: Sosnoff Tom - Form 4

Sosnoff Tom Form 4 January 16, 2										
FORM	14							OMB AF	PROVAL	
	UNITEDS	Washington, D.C. 20549							3235-0287	
Check th if no long subject to Section 1 Form 4 o	ger 5 STATEM 6. r		SECU	GES IN BENEFICIAL OWNERSHIP OF SECURITIES					Expires:January 31, 2005Estimated averageburden hours per response0.5	
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940										
(Print or Type I	Responses)									
Sosnoff Tom Symbol THIN							5. Relationship of Reporting Person(s) to Issuer			
			THINKORSWIM GROUP INC. [SWIM]				(Check all applicable)			
			e of Earliest Transaction h/Day/Year) 4/2009				Officer (give titleOther (specify below)Other (specify below)			
			Amendment, Day/Yea	nendment, Date Original onth/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line)			
CHICAGO,	`` `	-				_X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(State) (Zip)	Table I - Non-I	Derivative S	ecuri	ties Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)		Transaction Date 2A. Deemed Ionth/Day/Year) Execution Date, if any (Month/Day/Year)		3.4. Securities AcquiredTransaction(A) or Disposed of (D)Code(Instr. 3, 4 and 5)(Instr. 8)			Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
~			Code V		(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)			
Common Stock	01/14/2009		А	50,000 (1)	А	\$ 7.95	4,465,760	D		
		c		ci · 11	1 1.					

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: Sosnoff Tom - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,		ate	7. Titl Amou Under Secur (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Own Follo Repo Trans (Instr
				Code V	4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address		Relationships						
	Director	10% Owner	Officer	Other				
Sosnoff Tom 600 WEST CHICAGO AV SUITE 100 CHICAGO, IL 60610	ENUE	Х						
Signatures								
/s/ Tom Sosnoff	01/15/	2009						
<u>**</u> Signature of Reporting Person	Date	e						

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Granted fom the Issuer's 2004 Restricted Stock Plan. Half the shares vest 01-14-2010, the other half vest on 01-14-2011

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.