Edgar Filing: SCOTT ANDREW B - Form 4

SCOTT ANI Form 4	DREW B										
January 16, 2	2009										
FORM	$ 4\rangle$		CECUD	TTIES A		TT A 1	NCE	COMMERION	r	PPROVAL	
	UNITED	SIAIES	ES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549						OMB Number:	3235-0287	
if no long subject to Section 1 Form 4 of Form 5	6. SECURITIES								Expires: January 3 200 Estimated average burden hours per response 0		
obligation may conti <i>See</i> Instru 1(b).	$\frac{18}{1000}$ Section 17(a	Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, ection 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940									
(Print or Type R	Responses)										
SCOTT ANDREW B Sy TI			Symbol	THINKORSWIM GROUP INC.				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last)	(First) (N						Director	10% Owner			
(Mor			(Month/D	Month/Day/Year) 1/14/2009				XOfficer (give titleOther (specify below) below) Senior Vice President			
				endment, Date Original onth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
DRAPER, U	JT 84020							Form filed by N Person			
(City)	(State)	(Zip)	Table	e I - Non-D	erivative	Securi	ities Acc	quired, Disposed of	f, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	any		med on Date, if Day/Year)	3.4. Securities Acquired Transaction(A) or Disposed of CodeCode(D) (Instr. 8)(Instr. 3, 4 and 5)			d of	Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
~				Code V		or (D)	Price	(Instr. 3 and 4)			
Common Stock	01/14/2009			А	6,750 (1)	А	\$ 7.95	42,750	D		
Common Stock	01/14/2009			А	1,000 (2)	А	\$ 8.11	43,750	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Edgar Filing: SCOTT ANDREW B - Form 4

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
r e	Director	10% Owner	Officer	Other				
SCOTT ANDREW B 13947 S. MINUTEMAN DRIVE DRAPER, UT 84020			Senior Vice President					
Signatures								
/s/ ANDRFW B								

SCOTT 01/15/2009

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Granted fom the Issuer's 2004 Restricted Stock Plan. Half the shares vest 01-14-2010, the other half vest on 01-14-2011
- (2) Granted by the Board of Directors from the issuer's 2004 Restricted Stock Plan/EBITDA Bonus Program. Half the shares vest on 01/14/2010, the other half vest on 01/14/2011

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.