**CHASE CORP** Form 4 June 26, 2014

## FORM 4

#### **OMB APPROVAL**

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** 3235-0287 Number:

Check this box if no longer subject to Section 16. Form 4 or

Washington, D.C. 20549

January 31, Expires: 2005

0.5

Form 5 obligations Estimated average burden hours per response...

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* CHASE PETER R

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

CHASE CORP [CCF]

(Check all applicable)

(Last) (First) (Middle)

(Street)

3. Date of Earliest Transaction (Month/Day/Year)

\_X\_\_ 10% Owner \_X\_\_ Director \_X\_\_ Officer (give title \_\_ Other (specify

6. Individual or Joint/Group Filing(Check

**26 SUMMER STREET** 

06/25/2014

Chairman and CEO

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

below)

BRIDGEWATER, MA 02324

(City)	(State) (Zi	ip) Table	I - Non-De	erivative Se	curiti	es Acquii	ed, Disposed of,	or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securit on(A) or Dis (Instr. 3, 4)	sposed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Chase Corporation Common Stock	06/25/2014		M	50,000	. ,		732,877	D	
Chase Corporation Common Stock	06/25/2014		F	16,244	D	\$ 34.32	716,633	D	
Chase Corporation Common Stock	06/25/2014		F	15,950	D	\$ 34.32	700,683	D	

#### Edgar Filing: CHASE CORP - Form 4

Chase Corporation Common Stock	127,000 (2)	I	Peter R. Chase 2013 Annuity Trust
Chase Corporation Common Stock	183,220 (1)	I	Peter R. Chase 2012 Annuity Trust
Chase Corporation Common Stock	107,701 (3)	I	Peter R. Chase Insurance Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Derivative Expiration Date ecurities (Month/Day/Year) acquired (A) r Disposed of D) Instr. 3, 4,		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Options (Right to	\$ 11.15	06/25/2014		P		50,000	08/31/2012	08/31/2019	Common Stock	75,000

# **Reporting Owners**

Buy)

Reporting Owner Name / Address	Relationships							
• 0	Director	10% Owner	Officer	Other				
CHASE PETER R 26 SUMMER STREET BRIDGEWATER, MA 02324	X	X	Chairman and CEO					

Reporting Owners 2

Edgar Filing: CHASE CORP - Form 4

# **Signatures**

Paula Myers by power of attorney

06/26/2014

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares held by the Peter R. Chase 2012 qualified Annuity Trust, a grantor retained annuity trust.
- (2) Represents shares held by the Peter R. Chase 2013 qualified Annuity Trust, a grantor retained annuity trust.
- (3) Represents shares held by the Peter R. Chase Insurance Trust.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3