Edgar Filing: PTC THERAPEUTICS, INC. - Form 4

PTC THERAPEUTICS, INC. Form 4 September 26, 2016 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading SCHMERTZLER MICHAEL Issuer Symbol PTC THERAPEUTICS, INC. (Check all applicable) [PTCT] (Last) (First) (Middle) 3. Date of Earliest Transaction X_ Director 10% Owner Other (specify Officer (give title (Month/Day/Year) below) below) 1300 VALLEY ROAD 09/23/2016 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Dav/Year) Applicable Line) _X_ Form filed by One Reporting Person _ Form filed by More than One Reporting NEW CANAAN, CT 06840 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 5. Amount of 7. Nature of 3. 4. Securities Acquired 6. Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Direct Beneficial any (Month/Day/Year) (Instr. 8) Owned (D) or Ownership Following Indirect (I) (Instr. 4) Reported (Instr. 4) (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price Common \$ 09/23/2016 Μ 60,000 86,766 (1) D A 10.85 Stock See Common 1,320,369 Ι footnote Stock (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Edgar Filing: PTC THERAPEUTICS, INC. - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 10.85	09/23/2016		М		60,000	<u>(3)</u>	05/15/2023	Common Stock	60,000
Stock Option (Right to Buy)	\$ 30.86						<u>(4)</u>	01/03/2026	Common Stock	24,000
Stock Option (Right to Buy)	\$ 51						01/02/2016	01/01/2025	Common Stock	24,000
Stock Option (Right to Buy)	\$ 27.05						01/28/2015	01/27/2024	Common Stock	20,000
Stock Option (Right to Buy)	\$ 218.4						<u>(3)</u>	01/10/2022	Common Stock	276
Stock Option (Right to Buy)	\$ 490.8						<u>(3)</u>	04/27/2021	Common Stock	276
Stock Option (Right to Buy)	\$ 1,149.6						<u>(3)</u>	02/02/2020	Common Stock	216
Stock Option (Right to Buy)	\$ 508.8						<u>(3)</u>	10/07/2019	Common Stock	266
	\$ 735.6						(3)	01/25/2018		166

Stock Option (Right to Buy)				Common Stock	
Stock Option (Right to Buy)	\$ 735.6	(3)	07/14/2018	Common Stock	83
Stock Option (Right to Buy)	\$ 626.4	(3)	04/18/2017	Common Stock	125

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
SCHMERTZLER MICHAEL 1300 VALLEY ROAD NEW CANAAN, CT 06840	Х					
Signatures						
/s/ Colleen Diver Johnson, attorney-in-fact	09/26/2016					
**Signature of Reporting Person		D	ate			

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents 86,766 shares of common stock of the Issuer ("common stock") directly held by the reporting person.

Represents shares of common stock held by Section Six Partners, L.P. The reporting person is a general and limited partner of, and trustee(2) of certain family trusts holding interests in, Section Six Partners, L.P. The reporting person disclaims beneficial ownership of the shares of common stock held by Section Six Partners, L.P. except to the extent of his pecuniary interest therein.

- (3) Currently exercisable.
- (4) This option was awarded on January 4, 2016 and vests over one year in twelve equal monthly installments, commencing February 4, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.