

KIM JAMES J
Form 5
February 12, 2013

FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if
no longer subject
to Section 16.
Form 4 or Form
5 obligations
may continue.
See Instruction
1(b).
Form 3 Holdings
Reported
Form 4
Transactions
Reported

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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1. Name and Address of Reporting Person *
KIM JAMES J

2. Issuer Name and Ticker or Trading
Symbol
AMKOR TECHNOLOGY INC
[AMKR]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Statement for Issuer's Fiscal Year Ended
(Month/Day/Year)
12/31/2012

☒ Director ☒ 10% Owner
☐ Officer (give title below) ☒ Other (specify
below)
Exhibit 99.1

1900 S. PRICE ROAD

(Street)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

CHANDLER, AZ 85286

☒ Form Filed by One Reporting Person
☐ Form Filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				Amount (A) or (D)	Price		
Common Stock	03/29/2012	Â	G ⁽¹⁾	863,898	D \$ 6.2	75,533,686 (6)	I By self as trustee
Common Stock	03/29/2012	Â	G ⁽¹⁾	863,898	A \$ 6.2	75,533,686 (6)	D Â
Common Stock	07/06/2012	Â	G ⁽²⁾	3,498,870	D \$ 5.06	75,533,686 (6)	I By self as trustee
Common Stock	07/06/2012	Â	G ⁽²⁾	3,498,870	A \$ 5.06	75,533,686 (6)	D Â

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Common Stock	12/26/2012	Â	G ⁽³⁾	1,957,350	A	\$ 4.19	75,533,686 ⁽⁶⁾	I	By self as trustee
Common Stock	12/27/2012	Â	G ⁽⁴⁾	15,932,119	A	\$ 4.1	75,533,686 ⁽⁶⁾	I	By self as trustee
Common Stock	12/28/2012	Â	G ⁽⁵⁾	1,280,339	A	\$ 4.1	75,533,686 ⁽⁶⁾	I	By self as trustee

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. of D S B O E I F (I
					(A) (D)	Date Exercisable	Expiration Date	Title or Number of Shares	

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
KIM JAMES J 1900 S. PRICE ROAD CHANDLER, AZ 85286	X	X		Exhibit 99.1

Signatures

/s/ Jerry Allison, as Attorney
in Fact

02/12/2013

Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) On March 29, 2012, the James J. Kim 2009 Qualified Annuity Trust U/A Dated 12/29/09 gifted 863,898 shares to James. J. Kim. Susan Y. Kim and James J. Kim are co-trustees.

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- (2) On July 6, 2012, James J. Kim 2011 Qualified Annuity Trust U/A Dated 3/24/11 gifted 3,498,870 shares to James J. Kim. Susan Y. Kim and James J. Kim are co-trustees.
- (3) On December 26, 2012, John T. Kim Trust Dated 12/31/87 gifted 1,957,350 shares to the John T. Kim 2012 Generation-Skipping Trust U/A Dated 12/11/12. John T. Kim and James J. Kim are co-trustees.
- (4) On December 27, 2012, John T. Kim Trust Dated 12/31/87 gifted 15,932,119 shares to the John T. Kim 2012 Irrevocable Trust U/A Dated 12/11/12. John T. Kim and James J. Kim are co-trustees.
- (5) On December 28, 2012, John T. Kim Trust Dated 12/31/87 gifted 1,280,339 shares to the John T. Kim 2012 Irrevocable Trust U/A Dated 12/11/12. John T. Kim and James J. Kim are co-trustees.

The reporting person may be deemed to beneficially own 75,533,686 shares. Of these shares, 4,362,768 are shares directly owned, 905,000 are options exercisable within 60 days of December 31, 2012, 49,594,980 shares are exercisable upon conversion of 6.0% convertible notes due 2014 held by the reporting person, as the general partner of 915 Investments, L.P., and the remainder of the shares

- (6) are indirectly owned through various family trusts in which the reporting person is a trustee. The reporting person disclaims beneficial ownership of these securities except to the extent of his pecuniary interest therein, and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities, except to the extent of his pecuniary interest therein, for purposes of the Securities Exchange Act of 1934, as amended, or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.