Edgar Filing: CONSOL Energy Inc - Form 4

| CONSOL En Form 4 | nergy Inc | | | | | | | | | | |
|--|--|-------------|--|---|-----------------|-------|--------------------|--|---|---|--|
| April 29, 20 | 15 | | | | | | | | | | |
| FORM | 14 | | | | | | | | OMB AF | PROVAL | |
| UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | OMMISSION | OMB Number: | 3235-0287 | | |
| Check th | | | | , ingrom, i | D.C. 2 0 | | | | Expires: | January 31, | |
| Subject to Section 16. Form 4 or | | | CHANGES IN BENEFICIAL OWN SECURITIES ection 16(a) of the Securities Exchange | | | | | | Expires. Estimated a burden hour response | | |
| obligatio may con <i>See</i> Instr 1(b). | ns Section 17(a | a) of the l | Public U | | ing Con | ipany | Act of | 1935 or Section | 1 | | |
| (Print or Type] | Responses) | | | | | | | | | | |
| Brock James A Symbol | | | | er Name and Ticker or Trading | | | | 5. Relationship of Reporting Person(s) to Issuer | | | |
| | | | | DL Energy | _ | ΙĂJ | | (Check all applicable) | | | |
| (Month | | | (Month/E | Date of Earliest Transaction Ionth/Day/Year) | | | | Director 10% Owner X Officer (give title Other (specify | | | |
| 1000 CONSOL ENERGY DRIVE 04/2 | | | | 4/27/2015 | | | | below) Chief Operating Officer - Coal | | | |
| | (Street) | | 4. If Ame | nendment, Date Original | | | | 6. Individual or Joint/Group Filing(Check | | | |
| CANONSB | URG, PA 15317 | | Filed(Mor | nth/Day/Year) | | | | Applicable Line) _X_ Form filed by C Form filed by M Person | | | |
| (City) | (State) (| (Zip) | Tabl | e I - Non-De | erivative | Secur | ities Acq | uired, Disposed of | , or Beneficial | ly Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year) | | | 3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or | | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| ~ | | | | Code V | Amount | (D) | Price | (Instr. 3 and 4) | | | |
| Common shares, \$0.01 par value per share | 04/27/2015 | | | M <u>(1)</u> | 1,104 | A | \$ 22.75 | 46,337 | D | | |
| Common shares, \$0.01 par value per share | 04/27/2015 | | | S <u>(1)</u> | 1,104 | D | \$ 29.96 (2) | 45,233 <u>(3)</u> | D | | |
| Common shares, | | | | | | | | 19,730 | Ι | By 401 (k) Plan (4) | |

\$0.01 par value per share

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | Transaction Derivative Code Securities | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|--|---|---------------------|--|---|---|--|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Stock Option (right to buy) | \$ 22.75 | 04/27/2015 | | M <u>(1)</u> | 1,104 | (5) | 05/03/2015 | Common shares, \$0.01 par value per share | 1,104 | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|---|---------------|-----------|--------------------------------|-------|--|--|--|
| 1 | Director | 10% Owner | Officer | Other | | | |
| Brock James A 1000 CONSOL ENERGY DRIVE CANONSBURG, PA 15317 | | | Chief Operating Officer - Coal | | | | |
| Signatures | | | | | | | |
| /s/ James A. Brock by Gregory V. Guinto, his attorney-in-fact | | | 04/29/2015 | | | | |
| <u>**</u> Signature of Reporting P | erson | | Date | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transaction effected pursuant to Rule 10b5-1 trading plan adopted by the reporting person on March 6, 2015.

The sale relates to shares underlying stock options that are scheduled to expire next month (May 3, 2015). The price of \$29.96 represents

- (2) the weighted average of ten open-market sale transactions ranging in price from \$29.67 to \$30.30. The reporting person agrees to provide full information regarding the number of shares sold at each separate price paid if requested by the SEC staff, the Company or a security holder of the Company.
- (3) Of the 45,233 shares owned directly, 28,820 are restricted stock units (including dividend equivalent rights).
- (4) This number includes 582 shares acquired by the reporting person's 401 (k) account since February 3, 2015.
- (5) Stock option grant provides that options vest 25% per year beginning on May 3, 2006.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.