Edgar Filing: EDINGER CHARLES R III - Form 4

EDINGER CHARLES R III

Form 4 April 03, 2003

See Instruction 1(b).

FORM 4

_ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response. . .0.5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Filed By Romeo and Dye's Section 16 Filer www.section16.net

Name and Address of Reporting Person* Edinger Charles R., III					me and Tic		P	6. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) 1012 Story Ave.	of Reporting Person,					atement for th/Day/Year 2003	✓ Director				
Louisville, KY 4						of Original ((nth/Day/Year) P	7. Individual or Joint/Group Filing Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City) (State) (Zip)			T	able	I Non-D	erivati	rities Acquired, Dispos	oosed of, or Beneficially Owned			
1. Title of Security (Instr. 3)	rity action Execution		3. Transaction Code	Code	4. Securities (A) or Disp (Instr. 3, 4) Amount	osed o		5. Amount of Securities Beneficially Owned Follow-	ship Form:	7. Nature of Indirect Beneficial Ownership	
	Year)	(Month/Day/ Year)	Code	ľ	Amount	or (D)	THE	ing Reported Transactions(s) (Instr. 3 & 4)	(Instr. 4)	(Instr. 4)	
Common Stock								24,693.506	8 D		
Common Stock								22,374.787	4 I	Wife	
Common Stock								5950.855	4 I	Partner (1)(2)	
Common Stock	4/3/2003		P		55.341	A	36.73	241.90	3 I	Trust ⁽²⁾	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially **Owned**

(e.g., puts, calls, warrants, options, convertible securities)

^{*} If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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1. Title of	2. Conver-	3.	3A.	4.	5.		6. Date Exerc	isable	7. Title an	ıd	8. Price of	9. Number of	10.	11. Nature
Derivative	sion or	Trans-	Deemed	Trans-	Numl	ber	and Expiratio	n	Amount o	f	Derivative	Derivative	Owner-	of Indirect
Security	Exercise	action	Execution	action	of		Date		Underlyin	ıg	Security	Securities	ship	Beneficial
	Price of	Date	Date,	Code	Deriv	⁄ati	(MeIonth/Day/		Securities		(Instr. 5)	Beneficially	Form	Ownership
(Instr. 3)	Derivative		if any		Secur	ritic	¥ ear)		(Instr. 3 &	z 4)		Owned	of Deriv-	(Instr. 4)
	Security	(Month/	(Month/	(Instr.	Acqu	ire	d					Following	ative	
		-	Day/	8)	(A) o	r						Reported	Security:	
		Year)	Year)		Dispo	ose	d					Transaction(s)	Direct	
					of (D)						(Instr. 4)	(D)	
													or	
					(Instr								Indirect	
					3, 4 &	ķ							(I)	
					5)								(Instr. 4)	
				Code V	(A) (D)	Date	Expira-	Title	Amount				
						-		tion		or				
								Date		Number				
										of				
										Shares				
Option (Pight to	8.375						Immed.		Common Stock	4,000		4,000	D	
(Right to Buy)									Stock					

Explanation of Responses:

(1) Charles Edinger has a beneficial interest as a 1% general partner and a 7.7512% limited partner in the stock in the name of Edinger Securities Partnership, LP

(2) Shares held in the deferred comp plan

By: /s/ //Charlles R. Edinger, III 4/3/2003
Date

**Signature of Reporting Person

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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^{**}Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).