ARC DOCUMENT SOLUTIONS, INC.

Form 4 May 05, 2014

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB APPROVAL** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

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if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Check this box

**SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Formolo Thomas J

2. Issuer Name and Ticker or Trading

Symbol

ARC DOCUMENT SOLUTIONS.

INC. [ARC]

(Check all applicable)

5. Relationship of Reporting Person(s) to

(Last) (First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year) 05/01/2014

\_X\_\_ Director Officer (give title

Issuer

10% Owner Other (specify

C/O ARC DOCUMENT SOLUTIONS, INC., 1981 N. BROADWAY, STE. 385

(Street)

(State)

(Zip)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I. Non Derivative Securities Acquired Disposed of or Peneficially Owned

#### WALNUT CREEK, CA 94596

(City)

(City)	(State)	Table 1 - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code	FransactionAcquired (A) or Code Disposed of (D)		5. Amount of Securities Beneficially Owned	6. Ownership Form: Direct (D)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
		(Monas Day, 1 car)	Code V	Amount	(A) or (D)	Price	Following Reported Transaction(s) (Instr. 3 and 4)	or Indirect (I) (Instr. 4)	
Common Stock							120,068	D	
Common Stock	05/01/2014		A	9,479 (1)	A	\$0	129,547	D	
Common Stock							10,030	I	By Andersen-Formolo Family Foundation - Q52455005 (2)
Common							12,740	I	By Danish-Italian

Stock Investors, L.P., Series A (3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if	4. Transacti	5. orNumber	6. Date Exer Expiration D		7. Titl		8. Price of Derivative	9. Nu Deriv
Security	or Exercise	(Month Day/ 1 car)		Code	of	(Month/Day/Year)		Under		Security	Secui
2	Price of		(Month/Day/Voor)	(Instr. 8)	Derivativ	`	( i cai)	Secur	, ,		
(Instr. 3)			(Month/Day/Year)	(111811. 8)						(Instr. 5)	Bene
	Derivative				Securities			(Instr.	. 3 and 4)		Own
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						·
					4, and 5)						
					, ,						
									Amount		
						Date	Expiration		or		
							*	Title	Number		
						Exercisable	Date		of		
				Code V	(A) (D)				Shares		

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Formolo Thomas J C/O ARC DOCUMENT SOLUTIONS, INC. 1981 N. BROADWAY, STE. 385 WALNUT CREEK, CA 94596

X

## **Signatures**

/s/ Thomas J. Formolo 05/05/2014

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Grant of restricted stock with a reacquisition right in favor of ARC Document Solutions, Inc. that lapses 12 months from date of grant (May 01 2014).

**(2)** 

Reporting Owners 2

#### Edgar Filing: ARC DOCUMENT SOLUTIONS, INC. - Form 4

Thomas J. Formolo is the President of Andersen-Formolo Foundation - Q52455005. Mr. Formolo could be deemed to have a beneficial ownership of these shares but disclaims beneficial ownership.

(3) Thomas J. Formolo is a partner of Danish-Italian Investors, L.P., Series A. Mr. Formolo could be deemed to have beneficial ownership of all of these shares but disclaims beneficial ownership except to his pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.