CoreSite CRP IV Holdings, LLC Form 4

May 05, 2015

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

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**OMB APPROVAL** 

response...

subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* Carlyle Realty III, GP, L.L.C.

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

below)

CoreSite Realty Corp [COR]

(Month/Day/Year)

05/01/2015

(Check all applicable)

(Last)

(First)

(Middle)

(Zip)

3. Date of Earliest Transaction

Director Officer (give title X\_\_ 10% Owner Other (specify

C/O THE CARLYLE GROUP, 1001 PENNSYLVANIA AVE. NW,

(Street)

(State)

SUITE 220S

(City)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

#### WASHINGTON, DC 20004

	- I al	)IC 1 - INUII-	Derivative Se	curin	es Acquii	cu, Disposeu oi,	or benefician	y Owned
		3.		•	red (A)	5. Amount of	6. Ownership	7. Nature of Indirect
(Wolldin Day/ Tear)	any	Code	*	` ′		Beneficially	Form:	Beneficial
	(Month/Day/Year)	(Instr. 8)				Owned Following	or Indirect	Ownership (Instr. 4)
				(A)		Reported Transaction(s)	(I) (Instr. 4)	
		Code V	Amount	(D)	Price	(Instr. 3 and 4)		
05/01/2015		M	4,500,000	A	<u>(1)</u>	4,500,000	I	See footnotes (2) (3) (4)
05/01/2015		S	4,500,000	D	\$ 48.67	0	I	See footnotes (2) (3) (4)
	(Month/Day/Year) 05/01/2015	2. Transaction Date (Month/Day/Year)  2A. Deemed Execution Date, if any (Month/Day/Year)  05/01/2015	2. Transaction Date (Month/Day/Year) Execution Date, if any (Month/Day/Year) (Month/Day/Year) Code (Instr. 8)  Code V  05/01/2015 M	2. Transaction Date (Month/Day/Year) Execution Date, if any (Month/Day/Year) (Month/Day/Year) Code (Instr. 3, 4 and (Instr. 8))  Code V Amount  M 4,500,000	2. Transaction Date (Month/Day/Year) Execution Date, if any (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) Execution Date, if any (Month/Day/Year) (Instr. 3, 4 and 5) (Instr. 8)  (A) or Code V Amount (D)  05/01/2015 M 4,500,000 A	2. Transaction Date (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  2. Transaction Date (Execution Date, if any (Month/Day/Year)  2. Transaction Date (Instr. 3, 4 and 5)  Code (Instr. 3, 4 and 5)  Code V Amount (D) Price  05/01/2015  M 4,500,000 A (1)	2. Transaction Date (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  2. Transaction Date (A)  2. Transaction Date (A)  2. Transaction Date (A)  2. Transaction Date (A)  3.	(Month/Day/Year) Execution Date, if any (Month/Day/Year) Code (Instr. 3, 4 and 5) (Instr. 8) Securities Beneficially Form: Owned Direct (D) Following or Indirect Reported (I) Transaction(s) (Instr. 4) (Instr. 3 and 4)  Code V Amount (D) Price (Instr. 3 and 4)  M 4,500,000 A (1) 4,500,000 I

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control

### Edgar Filing: CoreSite CRP IV Holdings, LLC - Form 4

#### number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration I (Month/Day	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount Number Shares	
Operating Partnership Units	<u>(1)</u>	05/01/2015		M	4,500,000	<u>(1)</u>	<u>(1)</u>	Common Stock	4,500,0	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
r	Director	10% Owner	Officer	Other		
Carlyle Realty III, GP, L.L.C. C/O THE CARLYLE GROUP 1001 PENNSYLVANIA AVE. NW, SUITE 220S WASHINGTON, DC 20004		X				
Carlyle Realty III, L.P. C/O THE CARLYLE GROUP 1001 PENNSYLVANIA AVE. NW, SUITE 220S WASHINGTON, DC 20004		X				
CoreSite CRP III Holdings, LLC C/O THE CARLYLE GROUP 1001 PENNSYLVANIA AVE. NW, SUITE 220S WASHINGTON, DC 20004		X				
CRP III AIV GP, L.L.C. C/O THE CARLYLE GROUP 1001 PENNSYLVANIA AVE. NW, SUITE 220S WASHINGTON, DC 20004		X				
CRP III AIV GP, L.P. C/O THE CARLYLE GROUP 1001 PENNSYLVANIA AVE. NW, SUITE 220S WASHINGTON, DC 20004		X				
CRQP III AIV, L.P. C/O THE CARLYLE GROUP 1001 PENNSYLVANIA AVE. NW, SUITE 220S WASHINGTON, DC 20004		X				
		X				

Reporting Owners 2

X

X

X

CoreSite CRP III Holdings (VCOC), LLC C/O THE CARLYLE GROUP 1001 PENNSYLVANIA AVE. NW, SUITE 220S WASHINGTON, DC 20004

Carlyle Realty IV GP, L.L.C. C/O THE CARLYLE GROUP

1001 PENNSYLVANIA AVE. NW, SUITE 220S

WASHINGTON, DC 20004

Carlyle Realty IV, L.P.

C/O THE CARLYLE GROUP

1001 PENNSYLVANIA AVE. NW, SUITE 220S

WASHINGTON, DC 20004

CoreSite CRP IV Holdings, LLC C/O THE CARLYLE GROUP

1001 PENNSYLVANIA AVE. NW, SUITE 220S

WASHINGTON, DC 20004

# **Signatures**

/s/ R. Rainey Hoffman, attorney-in-fact for Daniel A. D'Aniello, Chairman

05/05/2015

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations, See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The operating partnership units are redeemable at any time for cash or, at the election of the Issuer, exchangeable for shares of common stock on a one-for-one basis, and have no expiration date.
- Following the transactions reported herein, consists of (i) 5,114,769 common units held by CoreSite CRP III Holdings, LLC; (ii)

  1,036,123 common units held by CoreSite CRP III Holdings (VCOC), LLC; (iii) 3,584,431 common units held by CoreSite CRP IV

  Holdings, LLC; (iv) 610,419 common units held by CoreSite CRP IV Holdings (VCOC I), LLC; (v) 1,568,923 common units held by

  CoreSite CRP IV Holdings (VCOC II), LLC; and (vi) 8,860,725 common units held by CoreSite CRP V Holdings, LLC.
  - Carlyle Group Management L.L.C. is the general partner of The Carlyle Group L.P., which is a publicly traded entity listed on NASDAQ. The Carlyle Group L.P. is the sole shareholder of Carlyle Holdings I GP Inc., which is the managing member of Carlyle
- (3) Holdings I GP Sub L.L.C., which is the general partner of Carlyle Holdings I L.P., which is the managing member of TC Group, L.L.C., which is the general partner of TC Group Sub L.P., which is the managing member of each of Carlyle Realty III GP, L.L.C., CRP III AIV GP, L.L.C., Carlyle Realty IV GP, L.L.C., CRP IV AIV GP, L.L.C. and Carlyle Realty V GP, L.L.C.
  - Carlyle Realty III GP, L.L.C. is the general partner of Carlyle Realty III, L.P. which is the manager of CoreSite CRP III Holdings, LLC. CRP III AIV GP, L.L.C. is the general partner of CRP III AIV GP, L.P., which is the general partner of CRQP III AIV, L.P., which is the managing member of CoreSite CRP III Holdings (VCOC), LLC. Carlyle Realty IV GP, L.L.C. is the general partner of
- (4) Carlyle Realty IV, L.P., which is the manager of CoreSite CRP IV Holdings, LLC. CRP IV AIV GP, L.L.C. is the general partner of CRP IV AIV GP, L.P., which is the general partner of each of CRP IV-A AIV, L.P. and CRQP IV AIV, L.P., which are the managing members of CoreSite CRP IV Holdings (VCOC I), LLC and CoreSite CRP IV Holdings (VCOC II), LLC, respectively. Carlyle Realty V GP, L.L.C. is the general partner of Carlyle Realty V, L.P., which is the manager of CoreSite CRP V Holdings, LLC.

#### **Remarks:**

Due to the limitations of the electronic filing system Carlyle Group Management L.L.C., The Carlyle Group L.P., Carlyle Hole

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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