#### EASTMAN CHEMICAL CO

Form 4 June 23, 2015

## FORM 4

#### OMB APPROVAL

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Check this box if no longer subject to Section 16.

Expires: January 31,

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# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

response...

5. Relationship of Reporting Person(s) to

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

| Lindsay Ronald Carter   |  |                       | Symbol EASTMAN CHEMICAL CO [EMN] |   |   |   |              | Issuer   |  |   |  |  |
|---|--|-----------------------|----------------------------------|---|---|---|--------------|--|--|---|--|--|
|   |  |                       |                                  |   |   | CO  |              | (Check all applicable)   |  |   |  |  |
| (Last)  | (First) (Middle) 3. Date of Earliest Transaction   |                       |                                  |   |   |   |              |  |  |   |  |  |
| 200 SOUTH WILCOX  |  |                       |                                  | (Month/Day/Year)<br>06/22/2015          |   |   |              | DirectorX Officer (give below) Chief   | Owner<br>er (specify<br>eer                              |   |  |  |
| (Street)  |  |                       | 4. If Ame                        | 4. If Amendment, Date Original          |   |   |              | 6. Individual or Joint/Group Filing(Check  |  |   |  |  |
| KINGSPO   |  | Filed(Month/Day/Year) |                                  |   |   | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting |              |  |  |   |  |  |
| IIII (OSI O   | 111, 111 57000   |                       |                                  |   |   |   |              | Person   |  |   |  |  |
| (City)  | (State) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |                       |                                  |   |   |   |              |  |  |   |  |  |
| 1.Title of<br>Security<br>(Instr. 3)  | 2. Transaction<br>(Month/Day/Y   | ear) Execution        | med<br>on Date, if<br>Day/Year)  | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securit<br>on(A) or Dis<br>(Instr. 3, 4) | sposed  | d of (D)     | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |  |
| Common<br>Stock   | 06/22/2015   |                       |                                  | S(1)                                    | 11,000                                      | D   | 82.17<br>(2) | 95,534   | D  |   |  |  |
| Common<br>Stock   |  |                       |                                  |   |   |   |              | 961  | I  | By ESOP   |  |  |
| Common<br>Stock   |  |                       |                                  |   |   |   |              | 488  | I  | By 401(k)<br>Plan   |  |  |
| Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. |  |                       |                                  |   |   |   |              |  |  |   |  |  |

SEC 1474

(9-02)

#### Edgar Filing: EASTMAN CHEMICAL CO - Form 4

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## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative | 2.  | 3. Transaction Date (Month/Day/Year) |                      | 4.              | 5.<br>onNumber | 6. Date Exerc       |                    | 7. Titl        |  | 8. Price of Derivative | 9. Nu<br>Deriv  |
|------------------------|---|--------------------------------------|----------------------|-----------------|----------------|---------------------|--------------------|----------------|--|------------------------|---|
| Security<br>(Instr. 3) | Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | (Month/Day/Tear)                     | any (Month/Day/Year) | Code (Instr. 8) | of             |                     |                    | Under<br>Secur | rlying                                 | Security (Instr. 5)    | Secur<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|                        |   |                                      |                      | Code V          | (A) (D)        | Date<br>Exercisable | Expiration<br>Date | Title          | Amount<br>or<br>Number<br>of<br>Shares |                        |   |

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Lindsay Ronald Carter 200 SOUTH WILCOX KINGSPORT, TN 37660

**Chief Operating Officer** 

### **Signatures**

Brian L. Henry, by Power of Attorney

06/23/2015

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sale reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan entered into by the reporting person and his broker on February 24, 2015.
- (2) Average weighted sale price for shares disposed of in the reported transaction. The shares were sold in multiple broker's transactions at various prices per share ranging from \$82.09 to \$82.18.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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