

WisdomTree Investments, Inc.
 Form 4
 May 05, 2016

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
 Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
 Expires: January 31, 2015
 Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
 Begleiter Steven L

2. Issuer Name and Ticker or Trading Symbol
 WisdomTree Investments, Inc.
 [WETF]

5. Relationship of Reporting Person(s) to Issuer
 (Check all applicable)

(Last) (First) (Middle)
 650 MADISON AVENUE, 19TH FLOOR
 (Street)

3. Date of Earliest Transaction (Month/Day/Year)
 05/03/2016

Director 10% Owner
 Officer (give title below) Other (specify below)

NEW YORK, NY 10022

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)		
			Code	V	Amount or Price				
Common Stock	05/03/2016		J ⁽¹⁾		996,000 ⁽²⁾	D	\$ 0 0	I	By Flexpoint Fund, L.P.
Common Stock	05/03/2016		J ⁽³⁾		203,536	A	\$ 0 203,536 ⁽⁴⁾	I	By Flexpoint Management, L.P.
Common Stock	05/03/2016		J ⁽³⁾		203,536	D	\$ 0 0	I	By Flexpoint Management, L.P.
Common Stock	05/03/2016		J ⁽³⁾		20,054	A	\$ 0 120,970 ⁽⁵⁾	D	

Edgar Filing: WisdomTree Investments, Inc. - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number. SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Owned Beneficially (Instr. 3 and 4)
--	--	--------------------------------------	--	--------------------------------	---	--	---	--	--

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Begleiter Steven L 650 MADISON AVENUE 19TH FLOOR NEW YORK, NY 10022	X			

Signatures

/s/ Marci Frankenthaler, 05/05/2016
Attorney-in-Fact Date

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Reflects a pro rata distribution of shares of common stock of the Issuer for no consideration by Flexpoint Fund, L.P. (the "Fund"), the
- (1) direct owner of the shares, to its general and limited partners on May 3, 2016, including Flexpoint Management, L.P. (the "GP"), the general partner of the Fund (the "Fund Distribution").
 - (2) These shares were owned directly by the Fund. The GP is the general partner of the Fund. Although the reporting person has no voting or dispositive power over these shares, by virtue of his interest in the GP, he may be deemed to have indirect beneficial ownership of these shares. The reporting person expressly disclaims beneficial ownership of these shares except to the extent of his pecuniary interest therein, and the inclusion of these shares in this report shall not be deemed an admission of beneficial ownership of these shares for purposes of Section 16 or for any other purpose. These shares were inadvertently omitted from Form 4s filed by the reporting person on

Edgar Filing: WisdomTree Investments, Inc. - Form 4

July 1, 2014, May 29, 2015 and June 25, 2015.

Reflects the distribution of shares by the Fund to its general and limited partners in the Fund Distribution. As a result of the Fund Distribution, the GP became the direct beneficial owner of 203,536 shares of common stock of the Issuer. Following the Fund

- (3) Distribution and on the same day, the GP further distributed, on a pro rata basis for no consideration, all 203,536 shares of common stock of the Issuer to its general and limited partners (the "GP Distribution"), including 20,054 shares of common stock distributed to the Reporting Person.

- (4) These shares are owned directly by the GP. Although the Reporting Person has no voting or dispositive power over these shares, by virtue of his interest in the GP, he may be deemed to have indirect beneficial ownership of these shares. The Reporting Person expressly disclaims beneficial ownership of these shares except to the extent of his pecuniary interest therein, and the inclusion of these shares on this Form shall not be deemed an admission of beneficial ownership of these shares for purposes of Section 16 or for any other purpose.

- (5) Includes restricted stock award which vests as to 3,485 shares on June 24, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.