

HALCON RESOURCES CORP  
 Form 3  
 June 13, 2016

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0104  
 Expires: January 31, 2005  
 Estimated average burden hours per response... 0.5

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person * HICKS QUENTIN R (Last) (First) (Middle)  1000 LOUISIANA ST. SUITE 6700 (Street)  HOUSTON, TX 77002 (City) (State) (Zip)	2. Date of Event Requiring Statement (Month/Day/Year) 06/02/2016	3. Issuer Name and Ticker or Trading Symbol HALCON RESOURCES CORP [HK]	4. Relationship of Reporting Person(s) to Issuer  (Check all applicable)  <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below) SVP, Finance and Investor Rela	5. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person
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**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	60,671	D	H

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)  Title	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Employee Stock Option (right to buy)	Â (1)	08/01/2022	Common Stock	7,000	\$ 31.3	D	Â
Employee Stock Option (right to buy)	Â (2)	10/26/2022	Common Stock	4,000	\$ 33.55	D	Â
Employee Stock Option (right to buy)	Â (3)	02/28/2023	Common Stock	5,980	\$ 35.5	D	Â
Employee Stock Option (right to buy)	Â (4)	08/01/2023	Common Stock	1,580	\$ 29.25	D	Â
Employee Stock Option (right to buy)	Â (5)	02/27/2024	Common Stock	19,360	\$ 18.35	D	Â
Employee Stock Option (right to buy)	Â (6)	12/08/2024	Common Stock	30,520	\$ 7.85	D	Â
Employee Stock Option (right to buy)	Â (7)	04/24/2025	Common Stock	7,000	\$ 7.85	D	Â
Employee Stock Option (right to buy)	Â (8)	12/03/2025	Common Stock	60,015	\$ 2.7615	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
HICKS QUENTIN R 1000 LOUISIANA ST. SUITE 6700 HOUSTON, TX 77002	Â	Â	Â SVP, Finance and Investor Rela	Â

## Signatures

David S. Elkouri,  
Attorney-in-fact

06/13/2016

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option vests in three equal annual installments beginning August 1, 2013.
- (2) The option vests in three equal annual installments beginning October 26, 2013.
- (3) The option vests in three equal annual installments beginning February 28, 2014.
- (4) The option vests in three equal annual installments beginning August 1, 2014.
- (5) The option vests in three equal annual installments beginning February 27, 2015.
- (6) The option vests in three equal annual installments beginning December 8, 2015.
- (7) The option vests in three equal annual installments beginning April 24, 2016.

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(8) The option vests in three equal annual installments beginning December 3, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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