Square, Inc. Form 4 August 09, 2016

### FORM 4

Form 4 or

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b).

(Print or Type Responses)

(Last)

1. Name and Address of Reporting Person \* Wagner Dana

(First) (Middle)

1455 MARKET STREET, SUITE

600

SAN FRANCISCO, CA 94103

(Street)

2. Issuer Name and Ticker or Trading Symbol

Square, Inc. [SQ]

3. Date of Earliest Transaction

(Month/Day/Year) 08/05/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

**OMB APPROVAL** 

Estimated average

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3235-0287

January 31,

2005

0.5

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Number:

Expires:

response...

Director 10% Owner X\_ Officer (give title Other (specify below)

Gen. Counsel & Corp. Secretary

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V		A) or D) Price	Reported Transaction(s) (Instr. 3 and 4)	(Instr. 4)	
Class A Common Stock	08/05/2016		C(1)	50,000 A	\$ 0	321,315	D	
Class A Common Stock	08/05/2016		S(2)	50,000 D	\$ 11.29	271,315	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	ransactionDerivative Code Securities Acquired		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securiti (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amou Numb Share
Class B Common Stock (4)	<u>(4)</u>						<u>(4)</u>	<u>(4)</u>	Class A Common Stock	309,
Stock Option (right to buy)	\$ 1.311	08/05/2016		M		50,000	<u>(6)</u>	08/10/2021	Class B Common Stock (4)	50,0
Class B Common Stock (4)	<u>(4)</u>	08/05/2016		M	50,000		<u>(4)</u>	<u>(4)</u>	Class A Common Stock	50,0
Class B Common Stock (4)	<u>(4)</u>	08/05/2016		C <u>(1)</u>		50,000	<u>(4)</u>	<u>(4)</u>	Class A Common Stock	50,0

### **Reporting Owners**

Reporting Owner Name / Address	Keiationsnips
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Director 10% Owner Officer Other

Gen. Counsel & Corp. Secretary

Wagner Dana

1455 MARKET STREET SUITE 600

3011E 000

SAN FRANCISCO, CA 94103

### **Signatures**

/s/ Jason Gao, Attorney-in-Fact 08/09/2016

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the conversion of Class B Common Stock into Class A Common Stock held of record by the Reporting Person.
- (2) The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person.
- (3) The reported price in Column 4 is a weighted average sale price. These shares were sold in multiple transactions at prices ranging from \$11.20 to \$11.40 per share. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the

Reporting Owners 2

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Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

- (4) Each share of Class B Common Stock is convertible into one share of Class A Common Stock at the option of the holder and has no expiration date.
- (5) The shares are held of record by the Dana R. Wagner Living Trust, for which the Reporting Person serves as trustee.
- (6) The shares subject to the option are fully vested and exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.