

AMKOR TECHNOLOGY, INC.

Form 4

November 22, 2016

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
OSBORNE JOHN

2. Issuer Name **and** Ticker or Trading
Symbol
AMKOR TECHNOLOGY, INC.
[AMKR]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

5729 FONTANOSO WAY

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
11/21/2016

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

SAN JOSE, CA 95138

(City) (State) (Zip)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4)
Common Stock	11/21/2016		A	20,000	A \$ 10.97	20,000	D
Common Stock	11/21/2016		D	20,000	D \$ 12.27 (1)	0	D
Common Stock	11/21/2016		A	20,000	A \$ 11.88	20,000	D
Common Stock	11/21/2016		D	20,000	D \$ 12.27 (1)	0	D
	11/21/2016		A	16,666	A \$ 7.71	16,666	D

Edgar Filing: AMKOR TECHNOLOGY, INC. - Form 4

Common
Stock

Common Stock	11/21/2016	D	16,666	D	\$ 12.27 (1)	0	D
Common Stock	11/21/2016	A	10,000	A	\$ 6.46	10,000	D
Common Stock	11/21/2016	D	10,000	D	\$ 12.27 (1)	0	D
Common Stock	11/21/2016	A	20,000	A	\$ 9.17	20,000	D
Common Stock	11/21/2016	D	20,000	D	\$ 12.27 (1)	0	D
Common Stock	11/21/2016	S	6,994	D	\$ 12.27 (2)	34,401	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and A Underlying Se (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title
Director Stock Option (Right to Buy)	\$ 10.97	11/21/2016		A	20,000	08/06/2008 ⁽³⁾ 08/06/2017	Amkor Technology Inc. Common Stock
Director Stock Option (Right-to-Buy)	\$ 11.88	11/21/2016		A	20,000	05/05/2009 ⁽⁴⁾ 05/05/2018	Amkor Technology Inc.

Director Stock Option (Right-to-Buy)	\$ 7.71	11/21/2016	A	16,666	05/03/2011 ⁽⁵⁾	05/03/2020	Common Stock Amkor Technology Inc., Common Stock
Director Stock Option (Right-to-Buy)	\$ 6.46	11/21/2016	A	10,000	05/03/2012 ⁽⁶⁾	05/03/2021	Common Stock Amkor Technology Inc., Common Stock
Director Stock Option (Right-to-Buy)	\$ 9.17	11/21/2016	A	20,000	05/13/2015 ⁽⁷⁾	05/13/2024	Common Stock Amkor Technology Inc., Common Stock

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
OSBORNE JOHN 5729 FONTANOSO WAY SAN JOSE, CA 95138	X			

Signatures

Jerry C. Allison, Attorney-in-Fact for John Osborne

11/22/2016

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The price reported in Column 4 is a weighted average price. The shares were sold in multiple transaction at prices ranging from 12.25 to 12.30.
- (2) The price reported in Column 4 is a weighted average price. The shares were sold in multiple transaction at prices ranging from 12.25 to 12.27.
- (3) The option was fully vested on 8/6/2010.
- (4) The option was fully vested on 5/5/2011.
- (5) The option was fully vested on 5/3/2013.
- (6) The option was fully vested on 5/3/14.
- (7) The option fully vested on 5/13/2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.