Empire State Realty Trust, Inc.

Form 5

February 13, 2017

<b>FORM</b>	15							OMB AF	PROVAL		
UNITED STATES SECURITIES AND EXCHANGE COMMISSION									3235-0362		
	Check this box if Washington, D.C. 20549 no longer subject						Expires:	January 31,			
to Section Form 4 or 5 obligation	to Section 16. Form 4 or Form 5 obligations may continue.  ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES							Estimated average burden hours per response 1.0			
See Instru 1(b). Form 3 Ho Reported Form 4 Transactic Reported	Filed pure oldings Section 17(a	a) of the Publi	on 16(a) of the ic Utility Holdi ne Investment C	ng Compar	ny Ac	t of 19		1			
	Address of Reporting I	Sym	2. Issuer Name and Ticker or Trading Symbol Empire State Realty Trust, Inc. [ESRT]				5. Relationship of Reporting Person(s) to Issuer				
							(Check all applicable)				
(Last)	(First) (M	(Mo	(Month/Day/Year)				_X_ Director 10% Owner Street (give title Other (specify below)				
TRUST, IN	RE STATE REAL C., 111 WEST : 2TH FLOOR						Chan	man and CEO			
(Street) 4. If Amendm Filed(Month/D				/Day/Year)				int/Group Reporting applicable line)			
							One Reporting Person  More than One Reporting				
(City)	(State)	(Zip)	Table I - Non-De	rivative Secu	rities	Acquir	ed, Disposed of	, or Beneficial	y Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Code	` ` ` ` ` ` ` ` ` ` ` ` ` ` ` ` ` ` `		of (D)	Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Class A				Amount	(D)	Price	4)				
Common Stock	12/15/2016	Â	G	200,883 (1)	D	\$0	0	D	Â		
Class A Common Stock	Â	Â	Â	Â	Â	Â	9,406	I	By: Spouse (2)		

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 2270 (9-02)

D So

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Titl	e and	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	Number	Expiration D	ate	Amou	int of	Derivative
Security	or Exercise		any	Code	of	(Month/Day	Year)	Under	lying	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e		Securi	ities	(Instr. 5)
	Derivative				Securities	3		(Instr.	3 and 4)	
	Security				Acquired					
					(A) or					
					Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)					
									Amount	
									or	
						Date	Expiration	Title	Number	
						Exercisable I	Date		of	
					(A) (D)				Shares	

### **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
MALKIN ANTHONY E C/O EMPIRE STATE REALTY TRUST, INC. 111 WEST 33RD STREET, 12TH FLOOR NEW YORK, NY 10120	ÂX	Â	Chairman and CEO	Â		

#### **Signatures**

/s/ Debra E. Levin, Attorney-in-Fact 02/13/2017

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Year-end gift of shares of Class A common stock to Mr. Malkin and his spouse's non-profit foundation.
- (2) The reporting person disclaims beneficial ownership of the reported securities, except to the extent of his pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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