Henry Alyssa Form 4 April 27, 2018

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

1(b).

600

(City)

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* Henry Alyssa

(Zip)

2. Issuer Name and Ticker or Trading

Square, Inc. [SQ]

(Last) (First) (Middle)

1455 MARKET STREET, SUITE

(Street)

(State)

Symbol

3. Date of Earliest Transaction

(Month/Day/Year) 04/25/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner X\_ Officer (give title Other (specify

below) Seller Lead

6. Individual or Joint/Group Filing(Check

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Dominative Committee Assumed Disposed of an Donoficially Or

#### SAN FRANCISCO, CA 94103

(5)	()	Tabl	e I - Non-L	Derivative S	Securi	ties Acqu	iirea, Disposea oi	, or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securit on(A) or Dis (Instr. 3, 4)	sposed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	04/25/2018		A	38,159 (1)	A	\$ 0	466,918	D	
Class A Common Stock	04/25/2018		F	7,093 (2)	D	\$ 44.75	459,825	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy)	\$ 44.75	04/25/2018		A	109,026	(3)	04/24/2028	Class A Common Stock	109,026

## **Reporting Owners**

SAN FRANCISCO, CA 94103

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Henry Alyssa							
1455 MARKET STREET SUITE 600			Seller Lead				

## **Signatures**

/s/ Jason Gao, Attorney-in-Fact 04/27/2018

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Each share represents a restricted stock award (RSA). 2.5% of the RSAs will vest on July 1, 2018 and each three month anniversary thereafter through April 1, 2019, and 7.5% of the RSAs will vest on July 1, 2019 and each three month anniversary thereafter through
- (1) thereafter through April 1, 2019, and 7.5% of the RSAs will vest on July 1, 2019 and each three month anniversary thereafter through April 1, 2022.
- (2) Represents shares that have been withheld by the Issuer to satisfy its income tax and withholding and remittance obligations in connection with the net settlement of restricted stock units and does not represent a sale by the Reporting Person.
- (3) 1/12 of 10% of the Shares subject to the Option will vest on May 1, 2018 and each month thereafter through April 1, 2019, and 1/36 of 90% of the shares subject to the Option will vest each month thereafter through April 1, 2022.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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