

BRISTOW PETER M
Form 4
December 28, 2018

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
BRISTOW PETER M

2. Issuer Name and Ticker or Trading Symbol
FIRST CITIZENS BANCSHARES INC /DE/ [FCNCA]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
4300 SIX FORKS ROAD
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
12/17/2018

Director 10% Owner
 Officer (give title below) Other (specify below)
President

RALEIGH, NC 27609

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| Class A Common | | | | | 6,737 | D | |
| Class A Common | 12/17/2018 | | G | V 29,000 (1) D | \$ 0 301,825 | I | By Spouse |
| Class A Common | 12/17/2018 | | G | V 14,500 A | \$ 0 14,500 | I | As trustee for Claire Holding Bristow 2018 Irrevocable Family Trust |
| | 12/17/2018 | | G | V 14,500 A | \$ 0 14,500 | I | |

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| | | | | |
|-------------------|--------|----------------|---|---|
| Class A Common | | | | As Trustee for Peter M. Bristow 2nd Amended and Restated Trust |
| Class A Common | 10,858 | ⁽²⁾ | I | Spouse as beneficiary of trust |
| Class A Common | 10,850 | ⁽²⁾ | I | As custodian for Peter Bristow, Jr. |
| Class A Common | 12,533 | | I | As custodian for Charlotte Bristow |
| Class A Common | 12,377 | | I | As custodian for Ella Bristow |
| Class A Common | 6,106 | ⁽²⁾ | I | PMB Investments, LLC |
| Class A Common | 2,154 | ⁽²⁾ | I | CRB Investments, LLC |
| Class A Common | 2,045 | ⁽²⁾ | I | EHB Investments, LLC |
| Class A Common | 19,041 | ⁽²⁾ | I | As trustee for PMB Trust 2011 |
| Class A Common | 19,041 | ⁽²⁾ | I | As trustee for CRB Trust 2011 |
| Class A Common | 19,041 | ⁽²⁾ | I | As trustee for EHB Trust 2011 |
| Class A Common | 1,775 | ⁽²⁾ | I | By son, Peter M. Bristow, Jr. |
| Class B Common | 538 | | D | |
| Class B Common | 82,866 | | I | By spouse |
| | 1,250 | ⁽²⁾ | I | |

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| | | | | | | | |
|-------------------|--|--|--|----------------------|---|--|---|
| Class B Common | | | | | | | Spouse as beneficiary of Trust |
| Class B Common | | | | 2,080 ⁽²⁾ | I | | As custodian for Peter Bristow, Jr. |
| Class B Common | | | | 2,081 ⁽²⁾ | I | | As custodian for Charlotte Bristow |
| Class B Common | | | | 2,081 ⁽²⁾ | I | | As custodian for Ella Bristow |
| Class B Common | | | | 8,350 ⁽²⁾ | I | | PMB Investments, LLC |
| Class B Common | | | | 8,850 ⁽²⁾ | I | | CRB Investments, LLC |
| Class B Common | | | | 8,710 ⁽²⁾ | I | | EHB Investments, LLC |
| Class B Common | | | | 82 ⁽²⁾ | I | | By Son, Peter M. Bristow, Jr. |
| Class B Common | | | | 82 ⁽²⁾ | I | | By daughter, Charlotte Bristow |
| Class B Common | | | | 82 ⁽²⁾ | I | | By daughter, Ella Bristow |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Own Follo Repor Trans |
|---|--|---|---|--------------------------------------|---|--|---|---|---|
|---|--|---|---|--------------------------------------|---|--|---|---|---|

