Krishnamoorthy Raghu Form 4 November 20, 2018

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 

Number:

3235-0287

Expires:

January 31, 2005

0.5

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10% Owner

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading Krishnamoorthy Raghu Symbol (Last) (First) (Middle) 3. Date of Earliest Transaction

5. Relationship of Reporting Person(s) to Issuer GENERAL ELECTRIC CO [GE] (Check all applicable)

GENERAL ELECTRIC COMPANY, 41 FARNSWORTH **STREET** 

(Street)

(State)

(Zip)

11/17/2018 below)

X\_ Officer (give title Other (specify Senior Vice President

Director

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

4. If Amendment, Date Original Filed(Month/Day/Year)

(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

BOSTON, MA 02210

(City)

		Table 1 Non Delivative Securities Required, Disposed of, or Denericany Owned								
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securi	ties A	cquired	5. Amount of	6. Ownership	7. Nature of	
Security	(Month/Day/Year)	Execution Date, if	Transactio	tion(A) or Disposed of			Securities	Form: Direct	Indirect	
(Instr. 3)	•	any	Code	(D)			Beneficially	(D) or	Beneficial	
		(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)		Owned	Indirect (I)	Ownership		
						Following	(Instr. 4)	(Instr. 4)		
					(4)		Reported			
					(A)		Transaction(s)			
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)			
Common	11/17/2018		M	2,357	A	\$ 0	51,331	D		
Stock	11,1,,2010			_,00.		Ψ 0	01,001	_		
Common Stock	11/17/2018		F	695	D	\$ 7 97	50,636	D		
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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### Edgar Filing: Krishnamoorthy Raghu - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	cution Date, if Transaction Derivative Code Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	·	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	(1)	11/17/2018		M	2,357	11/17/2018	11/17/2018	Common Stock	2,357

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Krishnamoorthy Raghu GENERAL ELECTRIC COMPANY 41 FARNSWORTH STREET BOSTON, MA 02210

Senior Vice President

# **Signatures**

Brian Sandstrom on behalf of Raghu

Krishnamoorthy 11/20/2018

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- **(1)** 1 for 1

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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