

Edgar Filing: Small Cap Premium & Dividend Income Fund, Inc. - Form NSAR-B

Small Cap Premium & Dividend Income Fund, Inc.
Form NSAR-B
February 29, 2008

PAGE 1
000 B000000 12/31/2007
000 C000000 0001322979
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 SMALL CAP PREMIUM & DIVIDEND INCOME FUND INC.
001 B000000 811-21746
001 C000000 8774494742
002 A000000 P.O. BOX 9011
002 B000000 PRINCETON
002 C000000 NJ
002 D010000 08543
002 D020000 9011
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
007 C010200 2
007 C010300 3
007 C010400 4
007 C010500 5
007 C010600 6
007 C010700 7
007 C010800 8
007 C010900 9
007 C011000 10
008 A000001 IQ INVESTMENT ADVISORS LLC
008 B000001 A
008 C000001 801-63151
008 D010001 NEW YORK
008 D020001 NY
008 D030001 10080
008 A000002 BLACKROCK INVESTMENT MANAGEMENT, LLC
008 B000002 S
008 C000002 801-56972
008 D010002 PLAINSBORO
008 D020002 NJ
008 D030002 08536
010 A000001 PRINCETON ADMINISTRATORS, LLC
010 C010001 PRINCETON
010 C020001 NJ
010 C030001 08543
010 C040001 9095
011 A000001 MERRILL LYNCH, PIERCE, FENNER & SMITH INC.
PAGE 2
011 B000001 8-7221
011 C010001 NEW YORK
011 C020001 NY
011 C030001 10281
011 C040001 1201
012 A000001 BNY MELLON SHAREOWNER SERVICES

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012 B000001 85-05006
012 C010001 JERSEY CITY
012 C020001 NJ
012 C030001 07310
013 A000001 DELOITTE & TOUCHE LLP
013 B010001 PRINCETON
013 B020001 NJ
013 B030001 08540
014 A000001 MLPF&S AND OTHER ML BROKER/DEALER AFFILIATES
014 B000001 8-7221
014 A000002 BLACKROCK INVESTMENTS, INC.
014 B000002 8-048436
014 A000003 NORTHERN FUNDS DISTRIBUTORS, LLC
014 B000003 8-051242
014 A000004 PNC CAPITAL MARKETS, LLC
014 B000004 8-032493
014 A000005 J.J.B. HILLIARD W.L. LYONS, INC.
014 B000005 8-033133
014 A000006 PFPC DISTRIBUTORS, INC.
014 B000006 8-045467
014 A000007 MGI FUNDS DISTRIBUTORS, INC.
014 B000007 8-046960
014 A000008 ABN AMRO DISTRIBUTION SERVICES, INC.
014 B000008 8-052396
014 A000009 BLACKROCK DISTRIBUTORS, INC.
014 B000009 8-048775
014 A000010 PERSIMMON SECURITIES, INC.
014 B000010 8-053071
014 A000011 PNC INVESTMENTS LLC
014 B000011 8-066195
014 A000012 STATE STREET RESEARCH INVEST SERV, INC.
014 B000012 8-035442
015 A000001 STATE STREET BANK AND TRUST COMPANY
015 B000001 C
015 C010001 BOSTON
015 C020001 MA
015 C030001 02101
015 E010001 X
015 A000002 THE BANK OF NEW YORK MELLON
015 B000002 S
015 C010002 NEW YORK
015 C020002 NY
015 C030002 10286
015 E010002 X
015 A000003 BANKERS TRUST COMPANY
PAGE 3
015 B000003 S
015 C010003 NEW YORK
015 C020003 NY
015 C030003 10006
015 E010003 X
015 A000004 CUSTODIAL TRUST CO.
015 B000004 S
015 C010004 NEW YORK
015 C020004 NY
015 C030004 10167
015 E010004 X
015 A000005 MORGAN GUARANTY TRUST CO. OF NEW YORK
015 B000005 S
015 C010005 NEW YORK
015 C020005 NY
015 C030005 10260

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015 E010005 X
015 A000006 J.P. MORGAN CHASE BANK
015 B000006 S
015 C010006 NEW YORK
015 C020006 NY
015 C030006 10017
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019 B000000 10
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020 A000001 CITIGROUP GLOBAL MARKETS, INC.
020 B000001 11-2418191
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020 A000002 UBS SECURITIES LLC
020 B000002 13-3873456
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020 A000003 LEHMAN BROTHERS INC.
020 B000003 13-2518466
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020 A000004 DEUTSCHE BANK SECURITIES INC.
020 B000004 13-2730828
020 C000004 11
020 A000005 BLOOMBERG TRADEBOOK LLC
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020 A000006 MERRILL LYNCH, PIERCE, FENNER & SMITH INC.
020 B000006 13-5674085
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020 A000007 GOLDMAN, SACHS & CO.
020 B000007 13-5108880
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020 A000008 WEEDEN & CO. L.P.
020 B000008 13-3364318
020 C000008 2
020 A000009 KNIGHT CAPITAL MARKETS LLC
PAGE 4
020 B000009 52-2105134
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020 A000010 CREDIT SUISSE SECURITIES (USA) L.L.C.
020 B000010 13-5659485
020 C000010 1
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022 B000001 13-4973745
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022 C000002 842312
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022 A000003 BANC OF AMERICA SECURITIES LLC
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022 A000004 FTN FINANCIAL SECURITIES CORP.
022 B000004 62-0201385
022 C000004 24811
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022 A000005 NYC POLICE DEPARTMENT PENSION FUND
022 B000005 13-6357165
022 C000005 365
022 D000005 1739
022 A000006 NVIT SMALL CAP INDEX

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022 B000006 20-4300621
022 C000006 287
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022 A000007 PACIFIC SELECT SMALL-CAP INDEX PORTFOLIO
022 B000007 33-0829170
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022 A000008 MASTER SMALL CAP INDEX SERIES
022 B000008 22-3483690
022 C000008 420
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022 A000009 NYC FIRE DEPARTMENT PENSION FUND
022 B000009 13-6357165
022 C000009 266
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022 A000010 NATIONWIDE SMALLCAP
022 B000010 31-1680673
022 C000010 302
022 D000010 556
023 C000000 7295853
023 D000000 24632
024 000000 Y
025 A000001 KNIGHT CAPITAL GROUP, INC
025 C000001 E
025 D000001 225

PAGE 5

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025 D000006 0
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025 D000008 0
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026 C000000 N
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026 G020000 N
026 H000000 N
027 000000 N
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| 072 R000000 | 45 |
| 072 S000000 | 43 |
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| 072 Z000000 | 1246 |
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| 074 T000000 | 234552 |
| 074 U010000 | 13645 |
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| 074 V010000 | 17.19 |
| 074 V020000 | 0.00 |
| PAGE 9 | |
| 074 W000000 | 0.0000 |
| 074 X000000 | 10511 |
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| 075 B000000 | 253934 |
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| 077 B000000 | Y |
| 078 000000 | N |
| 080 A000000 | ICI MUTUAL INSURANCE CO. |
| 080 C000000 | 750 |
| 081 A000000 | Y |
| 081 B000000 | 9 |
| 082 A000000 | N |

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| | | |
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| 083 | A000000 | N |
| 083 | B000000 | 0 |
| 084 | A000000 | N |
| 084 | B000000 | 0 |
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| 085 | B000000 | N |
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| 086 | A020000 | 1663 |
| 086 | B010000 | 49 |
| 086 | B020000 | 924 |
| 086 | C010000 | 0 |
| 086 | C020000 | 0 |
| 086 | D010000 | 0 |
| 086 | D020000 | 0 |
| 086 | E010000 | 0 |
| 086 | E020000 | 0 |
| 086 | F010000 | 0 |
| 086 | F020000 | 0 |
| 087 | A010000 | COMMON STOCK |
| 087 | A020000 | 83165P101 |
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| 088 | A000000 | N |
| 088 | B000000 | N |
| 088 | C000000 | N |
| 088 | D000000 | N |
| SIGNATURE | DONALD C. BURKE | |
| TITLE | PRESIDENT | |