Edgar Filing: FINJAN HOLDINGS, INC. - Form 4

FINJAN HO	DLDINGS, INC	· ·•									
Form 4											
January 28, 2	2016										
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION									OMB APPROVAL		
CUNIVI 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549									OMB Number:	3235-0287	
Check th			v v ca.	sington	Expires:	January 31,					
if no long subject to Section 1 Form 4 c	6. SIAII	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF									
Form 5 obligatio may cont See Instr 1(b).	tinue. Section 1	(20) (h) at the investment (Commenty A at at 1040)									
(Print or Type]	Responses)										
1. Name and Address of Reporting Person <u>*</u> Noonan Michael David			2. Issuer Name and Ticker or Trading Symbol FINJAN HOLDINGS, INC. [FNJN]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last)					ransaction			(Check all applicable)			
	N HOLDINGS UNIVERSITY		(Month/E 01/27/2	-				Director X Officer (give below) Chief H			
	(Street)	4. If Amendment, Date Orig Filed(Month/Day/Year)				Applicable Line) _X_ Form filed by One					
E. PALO A	LTO, CA 9430	3						Form filed by M Person	lore than One Re	porting	
(City)	(State)	(Zip)	Tabl	e I - Non-I	Derivative	Securi	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	any		ned n Date, if Day/Year)	3. Transactio Code (Instr. 8)	4. Securit on(A) or Dis (Instr. 3, 4	sposed	l of (D)	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
_				Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(Instr. 4)		
Common Stock	01/27/2016			М	10,833	А	\$0	41,833	D		
Common Stock	01/27/2016			S	4,333 (1)	D	\$ 1.029	37,500	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)			6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. l De Sec (In
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	\$ 0 <u>(3)</u>	01/27/2016		М		10,833	<u>(4)</u>	<u>(4)</u>	Common Stock	10,833	

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Noonan Michael David C/O FINJAN HOLDINGS, INC. 2000 UNIVERSITY AVE, SUITE 600 E. PALO ALTO, CA 94303			Chief Financial Officer				

Signatures

/s/ Michael 01/28/2016 Noonan **Signature of Date Reporting Person

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents shares of common stock sold pursuant to a 10b5-1 trading plan entered into as of August 25, 2015 to satisfy the reporting (1)person's tax obligations upon vesting of 10,833 restricted stock units ("RSUs")
- Weighted average price. These shares were sold in multiple transactions at prices ranging from \$1.02 to \$1.06 inclusive. The reporting (2) person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth above.
- Each RSU granted to the reporting person represents the contingent right to receive one share of common stock of Finjan Holdings, Inc. (3)or its equivalent cash value, subject to the terms and conditions of the Finjan Holdings, Inc. 2014 Incentive Compensation Plan.
- A total of 130,000 RSUs were granted on October 27, 2014. The RSUs vested one-third on October 27, 2015 and an additional 8.33% of (4) the RSUs vest every three calendar months following such date. The RSUs will be settled upon vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.