

CORNERSTONE PROGRESSIVE RETURN FUND
Form N-PX
August 11, 2014

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, DC 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED
MANAGEMENT INVESTMENT COMPANY

Investment Company Act file number 811-22066

Cornerstone Progressive Return Fund

(Exact name of registrant as specified in charter)

7 Dawson Street Huntington Station, NY
(Address of principal executive offices)

11746
(Zip code)

Frank J. Maresca

AST Fund Solutions, LLC, 7 Dawson Street, Huntington Station, NY 11746

(Name and address of agent for service)

Registrant's telephone number, including area code: (866) 668-6558

Date of fiscal year end: December 31

Date of reporting period: July 1, 2013 - June 30, 2014

ITEM 1. PROXY VOTING RECORD.

Company Name	Ticker	CUSIP	Meeting Date	Proposal Number	Proposal Description	Proponent	Mgmt Recommendation
Abbott Laboratories	ABT	002824100	4/25/2014	1.1	Elect Robert J. Alpern	Mgmt	For
Abbott Laboratories	ABT	002824100	4/25/2014	1.2	Elect Roxanne S. Austin	Mgmt	For
Abbott Laboratories	ABT	002824100	4/25/2014	1.3	Elect Sally E. Blount	Mgmt	For
Abbott Laboratories	ABT	002824100	4/25/2014	1.4	Elect W. James Farrell	Mgmt	For
Abbott Laboratories	ABT	002824100	4/25/2014	1.5	Elect Edward M. Liddy	Mgmt	For
Abbott Laboratories	ABT	002824100	4/25/2014	1.6	Elect Nancy McKinstry	Mgmt	For
Abbott Laboratories	ABT	002824100	4/25/2014	1.7	Elect Phebe N. Novakovic	Mgmt	For
Abbott Laboratories	ABT	002824100	4/25/2014	1.8	Elect William A. Osborn	Mgmt	For
Abbott Laboratories	ABT	002824100	4/25/2014	1.9	Elect Samuel C. Scott III	Mgmt	For
Abbott Laboratories	ABT	002824100	4/25/2014	1.10	Elect Glenn F. Tilton	Mgmt	For
Abbott Laboratories	ABT	002824100	4/25/2014	1.11	Elect Miles D. White	Mgmt	For
Abbott Laboratories	ABT	002824100	4/25/2014	2	Ratification of Auditor	Mgmt	For
Abbott Laboratories	ABT	002824100	4/25/2014	3	Advisory Vote on Executive Compensation	Mgmt	For
Abbott Laboratories	ABT	002824100	4/25/2014	4	ShrHldr Proposal Regarding Labeling of Genetically Modified Organisms	ShrHldr	Against
Abbott Laboratories	ABT	002824100	4/25/2014	5	ShrHldr Proposal Regarding	ShrHldr	Against

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Lobbying Report

Abbott Laboratories	ABT	002824100	4/25/2014	6	ShrHldr Proposal Regarding Excluding Compliance Costs for the Purposes of Executive Compensation	ShrHldr	Against
AbbVie Inc.	ABBV	00287Y109	5/9/2014	1.1	Elect Robert J. Alpern	Mgmt	For
AbbVie Inc.	ABBV	00287Y109	5/9/2014	1.2	Elect Edward M. Liddy	Mgmt	For
AbbVie Inc.	ABBV	00287Y109	5/9/2014	1.3	Elect Frederick H. Waddell	Mgmt	For
AbbVie Inc.	ABBV	00287Y109	5/9/2014	2	Ratification of Auditor	Mgmt	For
AbbVie Inc.	ABBV	00287Y109	5/9/2014	3	Advisory Vote on Executive Compensation	Mgmt	For
Aberdeen Asia-Pacific Income Fund Inc	FAX	003009107	3/27/2014	1.1	Elect P. Gerald Malone	Mgmt	For
Aberdeen Global Income Fund Inc	FCO	003013109	3/27/2014	1.1	Elect P. Gerald Malone	Mgmt	For
Aberdeen Global Income Fund Inc	FCO	003013109	3/27/2014	1.2	Elect John T. Sheehy	Mgmt	For
Aberdeen Greater China Fund, Inc.	GCH	003031101	6/9/2014	1.1	Elect C. William Maher	Mgmt	For
Aberdeen Greater China Fund, Inc.	GCH	003031101	6/9/2014	1.2	Elect Jonathan J.K. Taylor	Mgmt	For
Aberdeen Israel Fund Inc	ISL	00301L109	3/27/2014	1.1	Elect James J. Cattano	Mgmt	For
Aberdeen Israel Fund Inc	ISL	00301L109	3/27/2014	1.2	Elect Steven N. Rappaport	Mgmt	For
Aberdeen Singapore Fund Inc	SGF	003244100	6/9/2014	1.1	Elect Martin J. Gruber	Mgmt	For
Aberdeen Singapore Fund Inc	SGF	003244100	6/9/2014	1.2	Elect Richard J. Herring	Mgmt	For
	ADX	006212104	4/10/2014	1.1		Mgmt	For

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The Adams Express Company					Elect Enrique R. Arzac		
The Adams Express Company	ADX	006212104	4/10/2014	1.2	Elect Phyllis O. Bonanno	Mgmt	For
The Adams Express Company	ADX	006212104	4/10/2014	1.3	Elect Kenneth J. Dale	Mgmt	For
The Adams Express Company	ADX	006212104	4/10/2014	1.4	Elect Frederic A. Escherich	Mgmt	For
The Adams Express Company	ADX	006212104	4/10/2014	1.5	Elect Roger W. Gale	Mgmt	For
The Adams Express Company	ADX	006212104	4/10/2014	1.6	Elect Kathleen T. McGahran	Mgmt	For
The Adams Express Company	ADX	006212104	4/10/2014	1.7	Elect Craig R. Smith	Mgmt	For
The Adams Express Company	ADX	006212104	4/10/2014	1.8	Elect Mark E. Stoeckle	Mgmt	For
The Adams Express Company	ADX	006212104	4/10/2014	2	Ratification of Auditor	Mgmt	For
Advent-Claymore Enhanced Growth & Income Fund	LCM	00765E104	10/16/2013	1	Elect Gerald L. Seizert	Mgmt	For
Advent-Claymore Enhanced Growth & Income Fund	LCM	00765E104	10/16/2013	2	Elect Derek Medina	Mgmt	For
Advent-Claymore Enhanced Growth & Income Fund	LCM	00765E104	10/16/2013	3	Elect Randall C. Barnes	Mgmt	For
Allergan, Inc.	AGN	018490102	5/6/2014	1	Elect David E.I. Pyott	Mgmt	For
Allergan, Inc.	AGN	018490102	5/6/2014	2	Elect Michael R. Gallagher	Mgmt	For

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Allergan, Inc.	AGN	018490102	5/6/2014	3	Elect Deborah Dunsire	Mgmt	For
Allergan, Inc.	AGN	018490102	5/6/2014	4	Elect Trevor M. Jones	Mgmt	For
Allergan, Inc.	AGN	018490102	5/6/2014	5	Elect Louis J. Lavigne, Jr.	Mgmt	For
Allergan, Inc.	AGN	018490102	5/6/2014	6	Elect Peter J. McDonnell	Mgmt	For
Allergan, Inc.	AGN	018490102	5/6/2014	7	Elect Timothy D. Proctor	Mgmt	For
Allergan, Inc.	AGN	018490102	5/6/2014	8	Elect Russell T. Ray	Mgmt	For
Allergan, Inc.	AGN	018490102	5/6/2014	9	Elect Henri A. Termeer	Mgmt	For
Allergan, Inc.	AGN	018490102	5/6/2014	10	Ratification of Auditor	Mgmt	For
Allergan, Inc.	AGN	018490102	5/6/2014	11	Advisory Vote on Executive Compensation	Mgmt	For
Allergan, Inc.	AGN	018490102	5/6/2014	12	Amendment to Certificate of Incorporation Allowing Action by Written Consent	Mgmt	For
Allergan, Inc.	AGN	018490102	5/6/2014	13	ShrHldr Proposal Regarding Independent Board Chairman	ShrHldr	Against
AllianzGI Convertible & Income Fund II	NFJ	018829101	7/17/2013	1.1	Elect Deborah A. DeCotis	Mgmt	For
AllianzGI Convertible & Income Fund II	NFJ	018829101	7/17/2013	1.2	Elect Bradford K. Gallagher	Mgmt	For
AllianzGI Convertible & Income Fund II	NFJ	018829101	7/17/2013	1.3	Elect James A. Jacobson	Mgmt	For
AllianzGI Convertible & Income Fund II	NIE	018829101	7/17/2013	1.1	Elect Deborah A. DeCotis	Mgmt	For
AllianzGI	NIE	018829101	7/17/2013	1.2	Elect Bradford K.	Mgmt	For

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					Gallagher		
Convertible & Income Fund II							
AllianzGI Convertible & Income Fund II	NCV	018829101	7/17/2013	1.1	Elect Deborah A. DeCotis	Mgmt	For
AllianzGI Convertible & Income Fund II	NCV	018829101	7/17/2013	1.2	Elect Bradford K. Gallagher	Mgmt	For
AllianzGI Convertible & Income Fund II	NCV	018829101	7/17/2013	1.3	Elect James A. Jacobson	Mgmt	For
AllianzGI Convertible & Income Fund II	NIE	018829101	1/14/2014	1	Issuance of Common Stock	Mgmt	For
Allstate Corporation	ALL	020002101	5/20/2014	1	Elect F. Duane Ackerman	Mgmt	For
Allstate Corporation	ALL	020002101	5/20/2014	2	Elect Robert D. Beyer	Mgmt	For
Allstate Corporation	ALL	020002101	5/20/2014	3	Elect Kermit R. Crawford	Mgmt	For
Allstate Corporation	ALL	020002101	5/20/2014	4	Elect Jack M. Greenberg	Mgmt	For
Allstate Corporation	ALL	020002101	5/20/2014	5	Elect Herbert L. Henkel	Mgmt	For
Allstate Corporation	ALL	020002101	5/20/2014	6	Elect Siddarth N. Mehta	Mgmt	For
Allstate Corporation	ALL	020002101	5/20/2014	7	Elect Andrea Redmond	Mgmt	For
Allstate Corporation	ALL	020002101	5/20/2014	8	Elect John W. Rowe	Mgmt	For
Allstate Corporation	ALL	020002101	5/20/2014	9	Elect Judith A. Sprieser	Mgmt	For
Allstate Corporation	ALL	020002101	5/20/2014	10	Elect Mary Alice Taylor	Mgmt	For
Allstate Corporation	ALL	020002101	5/20/2014	11	Elect Thomas J. Wilson	Mgmt	For
Allstate Corporation	ALL	020002101	5/20/2014	12	Advisory Vote on Executive Compensation	Mgmt	For
	ALL	020002101	5/20/2014	13		Mgmt	For

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Allstate Corporation					Approval of Material Terms of the Annual Incentive Plan		
Allstate Corporation	ALL	020002101	5/20/2014	14	Ratification of Auditor	Mgmt	For
Allstate Corporation	ALL	020002101	5/20/2014	15	ShrHldr Proposal Regarding Retention of Shares Until Retirement	ShrHldr	Against
Allstate Corporation	ALL	020002101	5/20/2014	16	ShrHldr Proposal Regarding Lobbying Report	ShrHldr	Against
Allstate Corporation	ALL	020002101	5/20/2014	17	ShrHldr Proposal Regarding Political Contributions and Expenditures Report	ShrHldr	Against
Alpine Global Dynamic Dividend Fund	AGD	02082E205	5/28/2014	1.1	Elect Eleanor T Hoagland	Mgmt	For
Alpine Global Dynamic Dividend Fund	AGD	02082E205	5/28/2014	1.2	Elect Jeffrey E. Wacksman	Mgmt	For
Alpine Global Dynamic Dividend Fund	AGD	02082E205	5/28/2014	2	Transaction of Other Business	Mgmt	For
Alpine Global Premier Properties Fund	AWP	02083A103	5/28/2014	1.1	Elect Eleanor T Hoagland	Mgmt	For
Alpine Global Premier Properties Fund	AWP	02083A103	5/28/2014	1.2	Elect Jeffrey E. Wacksman	Mgmt	For
Alpine Global Premier Properties Fund	AWP	02083A103	5/28/2014	2	Transaction of Other Business	Mgmt	For
Alpine Total Dynamic Dividend Fund	AOD	021060207	5/28/2014	1.1	Elect Eleanor T Hoagland	Mgmt	For
Alpine Total Dynamic Dividend Fund	AOD	021060207	5/28/2014	1.2	Elect Jeffrey E. Wacksman	Mgmt	For
	AOD	021060207	5/28/2014	2		Mgmt	For

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Alpine Total Dynamic Dividend Fund					Transaction of Other Business		
American International Group, Inc.	AIG	026874784	5/12/2014	1	Elect Robert H. Benmosche	Mgmt	For
American International Group, Inc.	AIG	026874784	5/12/2014	2	Elect W. Don Cornwell	Mgmt	For
American International Group, Inc.	AIG	026874784	5/12/2014	3	Elect Peter R. Fisher	Mgmt	For
American International Group, Inc.	AIG	026874784	5/12/2014	4	Elect John Fitzpatrick	Mgmt	For
American International Group, Inc.	AIG	026874784	5/12/2014	5	Elect William G. Jurgensen	Mgmt	For
American International Group, Inc.	AIG	026874784	5/12/2014	6	Elect Christopher S. Lynch	Mgmt	For
American International Group, Inc.	AIG	026874784	5/12/2014	7	Elect Arthur C. Martinez	Mgmt	For
Bank of New York Mellon Corporation	BK	064058100	4/8/2014	15	Ratification of Auditor	Mgmt	For
Bank of New York Mellon Corporation	BK	064058100	4/8/2014	16	Amendments to the Long-Term Incentive Plan	Mgmt	For
Bank of New York Mellon Corporation	BK	064058100	4/8/2014	17	ShrHldr Proposal Regarding Independent Board Chairman	ShrHldr	Against
BB&T Corporation	BBT	054937107	4/29/2014	1.1	Elect Jennifer S. Banner	Mgmt	For
BB&T Corporation	BBT	054937107	4/29/2014	1.2	Elect K. David Boyer, Jr.	Mgmt	For
BB&T Corporation	BBT	054937107	4/29/2014	1.3	Elect Anna R. Cablik	Mgmt	For
BB&T Corporation	BBT	054937107	4/29/2014	1.4	Elect Ronald E. Deal	Mgmt	For

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BB&T Corporation	BBT	054937107	4/29/2014	1.5	Elect James A. Faulkner	Mgmt	For
BB&T Corporation	BBT	054937107	4/29/2014	1.6	Elect I. Patricia Henry	Mgmt	For
BB&T Corporation	BBT	054937107	4/29/2014	1.7	Elect John P. Howe, III	Mgmt	For
BB&T Corporation	BBT	054937107	4/29/2014	1.8	Elect Eric C. Kendrick	Mgmt	For
BB&T Corporation	BBT	054937107	4/29/2014	1.9	Elect Kelly S. King	Mgmt	For
BB&T Corporation	BBT	054937107	4/29/2014	1.10	Elect Louis B. Lynn	Mgmt	For
BB&T Corporation	BBT	054937107	4/29/2014	1.11	Elect Edward C. Milligan	Mgmt	For
BB&T Corporation	BBT	054937107	4/29/2014	1.12	Elect Charles A. Patton	Mgmt	For
BB&T Corporation	BBT	054937107	4/29/2014	1.13	Elect Nido R. Qubein	Mgmt	For
BB&T Corporation	BBT	054937107	4/29/2014	1.14	Elect Tollie W. Rich, Jr.	Mgmt	For
BB&T Corporation	BBT	054937107	4/29/2014	1.15	Elect Thomas E. Skains	Mgmt	For
BB&T Corporation	BBT	054937107	4/29/2014	1.16	Elect Thomas N. Thompson	Mgmt	For
BB&T Corporation	BBT	054937107	4/29/2014	1.17	Elect Edwin H. Welch	Mgmt	For
BB&T Corporation	BBT	054937107	4/29/2014	1.18	Elect Stephen T. Williams	Mgmt	For
BB&T Corporation	BBT	054937107	4/29/2014	2	Ratification of Auditor	Mgmt	For
BB&T Corporation	BBT	054937107	4/29/2014	3	Advisory Vote on Executive Compensation	Mgmt	For
BB&T Corporation	BBT	054937107	4/29/2014	4	Adoption of Majority Vote for Election of Directors	Mgmt	For
BB&T Corporation	BBT	054937107	4/29/2014	5	ShrHldr Proposal Regarding Political Contributions and	ShrHldr	Against

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					Expenditures Report		
BB&T Corporation	BBT	054937107	4/29/2014	6	ShrHldr Proposal Regarding Recoupment of Unearned Bonuses	ShrHldr	Against
BlackRock Corporate High Yield Fund Inc	COY	09255L106	10/11/2013	1	Reorganization of BlackRock Corporate High Yield Fund, Inc. ("COY") into HYT	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	CYE	09255M104	7/30/2013	1.1	Elect Paul L. Audet	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	CYE	09255M104	7/30/2013	1.2	Elect Michael J. Castellano	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	CYE	09255M104	7/30/2013	1.3	Elect Richard E. Cavanagh	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	CYE	09255M104	7/30/2013	1.4	Elect Frank J. Fabozzi	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	CYE	09255M104	7/30/2013	1.5	Elect Kathleen F. Feldstein	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	CYE	09255M104	7/30/2013	1.6	Elect James T. Flynn	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	CYE	09255M104	7/30/2013	1.7	Elect Henry Gabbay	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	CYE	09255M104	7/30/2013	1.8	Elect Jerrold B. Harris	Mgmt	For
	CYE	09255M104	7/30/2013	1.9		Mgmt	For

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BlackRock Corporation High Yield Fund III Inc					Elect R. Glenn Hubbard		
BlackRock Corporation High Yield Fund III Inc	CYE	09255M104	7/30/2013	1.10	Elect W. Carl Kester	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	CYE	09255M104	7/30/2013	1.11	Elect Karen P. Robards	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	HYV	09255M104	7/30/2013	1.1	Elect Paul L. Audet	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	HYV	09255M104	7/30/2013	1.2	Elect Michael J. Castellano	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	HYV	09255M104	7/30/2013	1.3	Elect Richard E. Cavanagh	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	HYV	09255M104	7/30/2013	1.4	Elect Frank J. Fabozzi	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	HYV	09255M104	7/30/2013	1.5	Elect Kathleen F. Feldstein	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	HYV	09255M104	7/30/2013	1.6	Elect James T. Flynn	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	HYV	09255M104	7/30/2013	1.7	Elect Henry Gabbay	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	HYV	09255M104	7/30/2013	1.8	Elect Jerrold B. Harris	Mgmt	For

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BlackRock Corporation High Yield Fund III Inc	HYV	09255M104	7/30/2013	1.9	Elect R. Glenn Hubbard	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	HYV	09255M104	7/30/2013	1.10	Elect W. Carl Kester	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	HYV	09255M104	7/30/2013	1.11	Elect Karen P. Robards	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	HYV	09255M104	10/11/2013	1	Reorganization of BlackRock Corporate High Yield Fund V, Inc. ("HYV") into HYT	Mgmt	For
BlackRock Credit Allocation Income Trust	BTZ	092508100	7/30/2013	1.1	Elect Richard E. Cavanagh	Mgmt	For
BlackRock Credit Allocation Income Trust	BTZ	092508100	7/30/2013	1.2	Elect Kathleen F. Feldstein	Mgmt	For
BlackRock Credit Allocation Income Trust	BTZ	092508100	7/30/2013	1.3	Elect Henry Gabbay	Mgmt	For
BlackRock Credit Allocation Income Trust	BTZ	092508100	7/30/2013	1.4	Elect Jerrold B. Harris	Mgmt	For
Blackrock Ecosolutions Investment Trust	BQR	092546100	7/30/2013	1.1	Elect Richard E. Cavanagh	Mgmt	For
Blackrock Ecosolutions Investment Trust	BQR	092546100	7/30/2013	1.2	Elect Kathleen F. Feldstein	Mgmt	For
Blackrock Ecosolutions Investment Trust	BQR	092546100	7/30/2013	1.3	Elect Henry Gabbay	Mgmt	For
Blackrock Ecosolutions Investment Trust	BQR	092546100	7/30/2013	1.4	Elect Jerrold B. Harris	Mgmt	For
Blackrock Energy &	BGR	09250U101	7/30/2013	1.1	Elect Richard E. Cavanagh	Mgmt	For

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Resources Trust

Blackrock Energy & Resources Trust	BGR	09250U101	7/30/2013	1.2	Elect Kathleen F. Feldstein	Mgmt	For
Blackrock Energy & Resources Trust	BGR	09250U101	7/30/2013	1.3	Elect Henry Gabbay	Mgmt	For
Blackrock Energy & Resources Trust	BGR	09250U101	7/30/2013	1.4	Elect Jerrold B. Harris	Mgmt	For
BlackRock Enhanced Capital and Income Fund Inc	CII	09256A109	7/30/2013	1.1	Elect Paul L. Audet	Mgmt	For
BlackRock Enhanced Capital and Income Fund Inc	CII	09256A109	7/30/2013	1.2	Elect Michael J. Castellano	Mgmt	For
BlackRock Enhanced Capital and Income Fund Inc	CII	09256A109	7/30/2013	1.3	Elect Richard E. Cavanagh	Mgmt	For
BlackRock Enhanced Capital and Income Fund Inc	CII	09256A109	7/30/2013	1.4	Elect Frank J. Fabozzi	Mgmt	For
BlackRock Enhanced Capital and Income Fund Inc	CII	09256A109	7/30/2013	1.5	Elect Kathleen F. Feldstein	Mgmt	For
BlackRock Enhanced Capital and Income Fund Inc	CII	09256A109	7/30/2013	1.6	Elect James T. Flynn	Mgmt	For
BlackRock Enhanced Capital and Income Fund Inc	CII	09256A109	7/30/2013	1.7	Elect Henry Gabbay	Mgmt	For
BlackRock Enhanced Capital and Income Fund Inc	CII	09256A109	7/30/2013	1.8	Elect Jerrold B. Harris	Mgmt	For
	CII	09256A109	7/30/2013	1.9		Mgmt	For

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BlackRock Enhanced Capital and Income Fund Inc					Elect R. Glenn Hubbard			
BlackRock Enhanced Capital and Income Fund Inc	CII	09256A109	7/30/2013	1.10	Elect W. Carl Kester	Mgmt	For	
BlackRock Enhanced Capital and Income Fund Inc	CII	09256A109	7/30/2013	1.11	Elect Karen P. Robards	Mgmt	For	
BlackRock Enhanced Equity Dividend Trust	BDJ	09251A104	7/30/2013	1.1	Elect Richard E. Cavanagh	Mgmt	For	
BlackRock Enhanced Equity Dividend Trust	BDJ	09251A104	7/30/2013	1.2	Elect Kathleen F. Feldstein	Mgmt	For	
BlackRock Enhanced Equity Dividend Trust	BDJ	09251A104	7/30/2013	1.3	Elect Henry Gabbay	Mgmt	For	
BlackRock Enhanced Equity Dividend Trust	BDJ	09251A104	7/30/2013	1.4	Elect Jerrold B. Harris	Mgmt	For	
BlackRock Global Opportunities Equity Trust	BOE	092501105	7/30/2013	1.1	Elect Richard E. Cavanagh	Mgmt	For	
BlackRock Global Opportunities Equity Trust	BOE	092501105	7/30/2013	1.2	Elect Kathleen F. Feldstein	Mgmt	For	
BlackRock Global Opportunities Equity Trust	BOE	092501105	7/30/2013	1.3	Elect Henry Gabbay	Mgmt	For	
BlackRock Global Opportunities Equity Trust	BOE	092501105	7/30/2013	1.4	Elect Jerrold B. Harris	Mgmt	For	
BlackRock High Income Shares	HIS	09250E107	10/11/2013	1	Reorganization of BlackRock High Income Shares ("HIS") into HYT	Mgmt	For	

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BlackRock High Yield Trust.	BHY	09248N102	10/11/2013	1	Reorganization of BlackRock High Yield Trust ("BHY") into HYT	Mgmt	For
BlackRock International Growth and Income Trust	BGY	092524107	7/30/2013	1.1	Elect Richard E. Cavanagh	Mgmt	For
BlackRock International Growth and Income Trust	BGY	092524107	7/30/2013	1.2	Elect Kathleen F. Feldstein	Mgmt	For
BlackRock International Growth and Income Trust	BGY	092524107	7/30/2013	1.3	Elect Henry Gabbay	Mgmt	For
BlackRock International Growth and Income Trust	BGY	092524107	7/30/2013	1.4	Elect Jerrold B. Harris	Mgmt	For
BlackRock Real Asset Equity Trust	BCF	09254B109	7/30/2013	1.1	Elect Richard E. Cavanagh	Mgmt	For
BlackRock Real Asset Equity Trust	BCF	09254B109	7/30/2013	1.2	Elect Kathleen F. Feldstein	Mgmt	For
BlackRock Real Asset Equity Trust	BCF	09254B109	7/30/2013	1.3	Elect Henry Gabbay	Mgmt	For
Cohen & Steers Quality Income Realty Fund, Inc.	RQI	19247L106	4/24/2014	1.2	Elect Michael Clark	Mgmt	For
Cohen & Steers Quality Income Realty Fund, Inc.	RQI	19247L106	4/24/2014	1.3	Elect Richard E. Kroon	Mgmt	For
Cohen & Steers REIT & Preferred Income Fund, Inc.	RNP	19247X100	4/24/2014	1.1	Elect Bonnie Cohen	Mgmt	For
Cohen & Steers REIT & Preferred Income Fund, Inc.	RNP	19247X100	4/24/2014	1.2	Elect Michael Clark	Mgmt	For

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Cohen & Steers REIT & Preferred Income Fund, Inc.	RNP	19247X100	4/24/2014	1.3	Elect Richard E. Kroon	Mgmt	For
Cohen & Steers Total Return Realty Fund Inc	RFI	19247R103	4/24/2014	1	Issuance of Common Stock	Mgmt	For
Cohen & Steers Total Return Realty Fund Inc	RFI	19247R103	4/24/2014	2	Authority to Increase or Decrease Authorized Common Stock	Mgmt	For
Cohen & Steers Total Return Realty Fund Inc	RFI	19247R103	4/24/2014	3	Amendment to Investment Objective Regarding Loans	Mgmt	For
Cohen & Steers Total Return Realty Fund Inc	RFI	19247R103	4/24/2014	4	Amendment to Investment Objective Regarding Short Sales or Positions	Mgmt	For
Cohen & Steers Total Return Realty Fund Inc	RFI	19247R103	4/24/2014	5	Amendment to Investment Objective Regarding Purchases Made on Margin	Mgmt	For
Cohen & Steers Total Return Realty Fund Inc	RFI	19247R103	4/24/2014	1.1	Elect Bonnie Cohen	Mgmt	For
Cohen & Steers Total Return Realty Fund Inc	RFI	19247R103	4/24/2014	1.2	Elect Michael Clark	Mgmt	For
Cohen & Steers Total Return Realty Fund Inc	RFI	19247R103	4/24/2014	1.3	Elect Richard E. Kroon	Mgmt	For
Comcast Corporation	CMCSA	20030N200	5/21/2014	1.1	Elect Kenneth J. Bacon	Mgmt	For
Comcast Corporation	CMCSA	20030N200	5/21/2014	1.2	Elect Sheldon M. Bonovitz	Mgmt	For
Comcast Corporation	CMCSA	20030N200	5/21/2014	1.3	Elect Edward D. Breen	Mgmt	For
	CMCSA	20030N200	5/21/2014	1.4		Mgmt	For

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Comcast Corporation						Elect Joseph J. Collins		
Comcast Corporation	CMCSA	20030N200	5/21/2014	1.5		Elect J. Michael Cook	Mgmt	For
Comcast Corporation	CMCSA	20030N200	5/21/2014	1.6		Elect Gerald L. Hassell	Mgmt	For
Comcast Corporation	CMCSA	20030N200	5/21/2014	1.7		Elect Jeffrey A. Honickman	Mgmt	For
Comcast Corporation	CMCSA	20030N200	5/21/2014	1.8		Elect Eduardo G. Mestre	Mgmt	For
Comcast Corporation	CMCSA	20030N200	5/21/2014	1.9		Elect Brian L. Roberts	Mgmt	For
Comcast Corporation	CMCSA	20030N200	5/21/2014	1.10		Elect Ralph J. Roberts	Mgmt	For
Comcast Corporation	CMCSA	20030N200	5/21/2014	1.11		Elect Johnathan A. Rodgers	Mgmt	For
Comcast Corporation	CMCSA	20030N200	5/21/2014	1.12		Elect Judith Rodin	Mgmt	For
Comcast Corporation	CMCSA	20030N200	5/21/2014	2		Ratification of Auditor	Mgmt	For
Comcast Corporation	CMCSA	20030N200	5/21/2014	3		Advisory Vote on Executive Compensation	Mgmt	For
Comcast Corporation	CMCSA	20030N200	5/21/2014	4		ShrHldr Proposal Regarding Lobbying Report	ShrHldr	Against
Comcast Corporation	CMCSA	20030N200	5/21/2014	5		ShrHldr Proposal Regarding Compensation in the Event of a Change in Control	ShrHldr	Against
ConocoPhillips	COP	20825C104	5/13/2014	1		Elect Richard L. Armitage	Mgmt	For
ConocoPhillips	COP	20825C104	5/13/2014	2		Elect Richard H. Auchinleck	Mgmt	For
ConocoPhillips	COP	20825C104	5/13/2014	3		Elect Charles E. Bunch	Mgmt	For
ConocoPhillips	COP	20825C104	5/13/2014	4		Elect James E. Copeland, Jr.	Mgmt	For
ConocoPhillips	COP	20825C104	5/13/2014	5			Mgmt	For

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					Elect Jody L Freeman		
ConocoPhillips	COP	20825C104	5/13/2014	6	Elect Gay Huey Evans	Mgmt	For
ConocoPhillips	COP	20825C104	5/13/2014	7	Elect Ryan M. Lance	Mgmt	For
ConocoPhillips	COP	20825C104	5/13/2014	8	Elect Robert A. Niblock	Mgmt	For
ConocoPhillips	COP	20825C104	5/13/2014	9	Elect Harald J. Norvik	Mgmt	For
ConocoPhillips	COP	20825C104	5/13/2014	10	Elect William E. Wade, Jr.	Mgmt	For
ConocoPhillips	COP	20825C104	5/13/2014	11	Ratification of Auditor	Mgmt	For
ConocoPhillips	COP	20825C104	5/13/2014	12	Advisory Vote on Executive Compensation	Mgmt	For
ConocoPhillips	COP	20825C104	5/13/2014	13	2014 Omnibus Stock and Performance Incentive Plan	Mgmt	For
ConocoPhillips	COP	20825C104	5/13/2014	14	ShrHldr Proposal Regarding Lobbying Report	ShrHldr	Against
ConocoPhillips	COP	20825C104	5/13/2014	15	ShrHldr Proposal Regarding Reporting and Reducing Greenhouse Gas Emissions	ShrHldr	Against
Corning Incorporated	GLW	219350105	4/29/2014	1	Elect Stephanie A. Burns	Mgmt	For
Corning Incorporated	GLW	219350105	4/29/2014	2	Elect John A. Canning Jr.	Mgmt	For
Corning Incorporated	GLW	219350105	4/29/2014	3	Elect Richard T. Clark	Mgmt	For
Corning Incorporated	GLW	219350105	4/29/2014	4	Elect Robert F. Cummings, Jr.	Mgmt	For
Corning Incorporated	GLW	219350105	4/29/2014	5	Elect James B. Flaws	Mgmt	For
	GLW	219350105	4/29/2014	6		Mgmt	For

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Corning Incorporated					Elect Deborah A. Henretta		
Corning Incorporated	GLW	219350105	4/29/2014	7	Elect Kurt M. Landgraf	Mgmt	For
Corning Incorporated	GLW	219350105	4/29/2014	8	Elect Kevin J. Martin	Mgmt	For
Corning Incorporated	GLW	219350105	4/29/2014	9	Elect Deborah D. Rieman	Mgmt	For
Corning Incorporated	GLW	219350105	4/29/2014	10	Elect Hansel E. Tookes II	Mgmt	For
Corning Incorporated	GLW	219350105	4/29/2014	11	Elect Wendell P. Weeks	Mgmt	For
Corning Incorporated	GLW	219350105	4/29/2014	12	Elect Mark S. Wrighton	Mgmt	For
Corning Incorporated	GLW	219350105	4/29/2014	13	Advisory Vote on Executive Compensation	Mgmt	For
Corning Incorporated	GLW	219350105	4/29/2014	14	2014 Variable Compensation Plan	Mgmt	For
Corning Incorporated	GLW	219350105	4/29/2014	15	Ratification of Auditor	Mgmt	For
Cutwater Select Income Fund	CSI	232229104	6/18/2014	1.1	Elect W. Thacher Brown	Mgmt	For
Cutwater Select Income Fund	CSI	232229104	6/18/2014	1.2	Elect Gautam Khanna	Mgmt	For
Cutwater Select Income Fund	CSI	232229104	6/18/2014	1.3	Elect Ellen D. Harvey	Mgmt	For
Cutwater Select Income Fund	CSI	232229104	6/18/2014	1.4	Elect Thomas E. Spock	Mgmt	For
Cutwater Select Income Fund	CSI	232229104	6/18/2014	1.5	Elect Suzanne P. Welsh	Mgmt	For
Deere & Company	DE	244199105	2/26/2014	1	Elect Samuel R. Allen	Mgmt	For
Deere & Company	DE	244199105	2/26/2014	2	Elect Crandall C. Bowles	Mgmt	For
Deere & Company	DE	244199105	2/26/2014	3	Elect Vance D. Coffman	Mgmt	For
Deere & Company	DE	244199105	2/26/2014	4	Elect Charles O. Holliday, Jr.	Mgmt	For

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Deere & Company	DE	244199105	2/26/2014	5	Elect Dipak C. Jain	Mgmt	For
Deere & Company	DE	244199105	2/26/2014	6	Elect Clayton M. Jones	Mgmt	For
Deere & Company	DE	244199105	2/26/2014	7	Elect Joachim Milberg	Mgmt	For
Deere & Company	DE	244199105	2/26/2014	8	Elect Richard B. Myers	Mgmt	For
Deere & Company	DE	244199105	2/26/2014	9	Elect Gregory R. Page	Mgmt	For
Deere & Company	DE	244199105	2/26/2014	10	Elect Thomas H. Patrick	Mgmt	For
Deere & Company	DE	244199105	2/26/2014	11	Elect Sherry M. Smith	Mgmt	For
Deere & Company	DE	244199105	2/26/2014	12	Advisory Vote on Executive Compensation	Mgmt	For
Deere & Company	DE	244199105	2/26/2014	13	Ratification of Auditor	Mgmt	For
Delaware Enhanced Global Dividend & Income Fund	DEX	246060107	8/21/2013	1.1	Elect Thomas L. Bennett	Mgmt	For
Delaware Enhanced Global Dividend & Income Fund	DEX	246060107	8/21/2013	1.2	Elect Joseph W. Chow	Mgmt	For
Delaware Enhanced Global Dividend & Income Fund	DEX	246060107	8/21/2013	1.3	Elect Patrick P. Coyne	Mgmt	For
Delaware Enhanced Global Dividend & Income Fund	DEX	246060107	8/21/2013	1.4	Elect John A. Fry	Mgmt	For
Delaware Enhanced Global Dividend & Income Fund	DEX	246060107	8/21/2013	1.5	Elect Anthony D. Knerr	Mgmt	For
Delaware Enhanced Global Dividend &	DEX	246060107	8/21/2013	1.6	Elect Lucinda S. Landreth	Mgmt	For

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Income Fund

Delaware Enhanced Global Dividend & Income Fund	DEX	246060107	8/21/2013	1.7	Elect Frances A. Sevilla-Sacasa	Mgmt	For
Delaware Enhanced Global Dividend & Income Fund	DEX	246060107	8/21/2013	1.8	Elect Thomas K. Whitford	Mgmt	For
Delaware Enhanced Global Dividend & Income Fund	DEX	246060107	8/21/2013	1.9	Elect Janet L. Yeomans	Mgmt	For
Delaware Enhanced Global Dividend & Income Fund	DEX	246060107	8/21/2013	1.10	Elect J. Richard Zecher	Mgmt	For
Devon Energy Corporation	DVN	25179M103	6/4/2014	1.1	Elect Barbara M. Baumann	Mgmt	For
Devon Energy Corporation	DVN	25179M103	6/4/2014	1.2	Elect John E. Bethancourt	Mgmt	For
Devon Energy Corporation	DVN	25179M103	6/4/2014	1.3	Elect Robert H. Henry	Mgmt	For
Devon Energy Corporation	DVN	25179M103	6/4/2014	1.4	Elect John A. Hill	Mgmt	For
Devon Energy Corporation	DVN	25179M103	6/4/2014	1.5	Elect Michael M. Kanovsky	Mgmt	For
Devon Energy Corporation	DVN	25179M103	6/4/2014	1.6	Elect Robert A. Mosbacher, Jr.	Mgmt	For
Devon Energy Corporation	DVN	25179M103	6/4/2014	1.7	Elect J. Larry Nichols	Mgmt	For
Devon Energy Corporation	DVN	25179M103	6/4/2014	1.8	Elect Duane C. Radtke	Mgmt	For
Devon Energy Corporation	DVN	25179M103	6/4/2014	1.9	Elect Mary P. Ricciardello	Mgmt	For
Devon Energy Corporation	DVN	25179M103	6/4/2014	1.10	Elect John Richels	Mgmt	For
Devon Energy Corporation	DVN	25179M103	6/4/2014	2	Advisory Vote on Executive Compensation	Mgmt	For
	EXC	30161N101	5/6/2014	3		Mgmt	For

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Exelon Corporation					Elect John A. Canning Jr.		
Exelon Corporation	EXC	30161N101	5/6/2014	4	Elect Christopher M. Crane	Mgmt	For
Exelon Corporation	EXC	30161N101	5/6/2014	5	Elect Yves C. de Balmann	Mgmt	For
Exelon Corporation	EXC	30161N101	5/6/2014	6	Elect Nicholas DeBenedictis	Mgmt	For
Exelon Corporation	EXC	30161N101	5/6/2014	7	Elect Nelson A. Diaz	Mgmt	For
Exelon Corporation	EXC	30161N101	5/6/2014	8	Elect Sue Ling Gin	Mgmt	For
Exelon Corporation	EXC	30161N101	5/6/2014	9	Elect Paul L. Joskow	Mgmt	For
Exelon Corporation	EXC	30161N101	5/6/2014	10	Elect Robert J. Lawless	Mgmt	For
Exelon Corporation	EXC	30161N101	5/6/2014	11	Elect Richard W. Mies	Mgmt	For
Exelon Corporation	EXC	30161N101	5/6/2014	12	Elect William C. Richardson	Mgmt	For
Exelon Corporation	EXC	30161N101	5/6/2014	13	Elect John W. Rogers, Jr.	Mgmt	For
Exelon Corporation	EXC	30161N101	5/6/2014	14	Elect Mayo A. Shattuck III	Mgmt	For
Exelon Corporation	EXC	30161N101	5/6/2014	15	Elect Stephen D. Steinour	Mgmt	For
Exelon Corporation	EXC	30161N101	5/6/2014	16	Ratification of Auditor	Mgmt	For
Exelon Corporation	EXC	30161N101	5/6/2014	17	Advisory Vote on Executive Compensation	Mgmt	For
Exelon Corporation	EXC	30161N101	5/6/2014	18	Renewal of the Annual Incentive Plan for Senior Executives	Mgmt	For
Exelon Corporation	EXC	30161N101	5/6/2014	19	ShrHldr Proposal Regarding Pay Ratio	ShrHldr	Against
Federated Enhanced	FTT	314162108	9/13/2013	1.1	Elect Thomas M. O'Neill	Mgmt	For

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Treasury Income Fund

Federated Enhanced Treasury Income Fund	FTT	314162108	9/13/2013	1.2	Elect John S. Walsh	Mgmt	For
First Trust Dividend and Income Fund	FAV	33731L100	9/16/2013	1	Approval of a New Sub-Advisory Agreement Between First Trust Dividend & Income Fund and Chartwell Investment Partners, L.P.	Mgmt	For
First Trust Energy Income and Growth Fund	FEO	33731K102	4/23/2014	1.1	Elect Robert F. Keith	Mgmt	For
First Trust Energy Income and Growth Fund	FEO	33731K102	4/23/2014	1.1	Elect Robert F. Keith	Mgmt	For
First Trust High Income Long Short Fund	FSD	33738E109	4/23/2014	1.1	Elect Robert F. Keith	Mgmt	For
First Trust Mortgage Income Fund	FMY	33734E103	4/23/2014	1.1	Elect Robert F. Keith	Mgmt	For
Franklin Universal Trust	FT	355145103	3/21/2014	1.1	Elect Harris J. Ashton	Mgmt	For
Franklin Universal Trust	FT	355145103	3/21/2014	1.2	Elect Sam Ginn	Mgmt	For
Franklin Universal Trust	FT	355145103	3/21/2014	1.3	Elect Edith E. Holiday	Mgmt	For
Franklin Universal Trust	FT	355145103	3/21/2014	1.4	Elect Gregory E. Johnson	Mgmt	For
Franklin Universal Trust	FT	355145103	3/21/2014	1.5	Elect Rupert H. Johnson, Jr.	Mgmt	For
Franklin Universal Trust	FT	355145103	3/21/2014	1.6	Elect J. Michael Luttig	Mgmt	For
Franklin Universal Trust	FT	355145103	3/21/2014	1.7	Elect Frank A. Olson	Mgmt	For
Franklin Universal Trust	FT	355145103	3/21/2014	1.8	Elect Larry D. Thompson	Mgmt	For

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Franklin Universal Trust	FT	355145103	3/21/2014	1.9	Elect John B. Wilson	Mgmt	For
Gabelli Global Utility & Income Trust.	GLU	36242L105	5/12/2014	1.1	Elect Salvatore J. Zizza	Mgmt	For
GAMCO Natural Resources, Gold & Income Trust	GGN	36465A109	5/12/2014	1.1	Elect Frank J. Fahrenkopf, Jr.	Mgmt	For
GAMCO Natural Resources, Gold & Income Trust	GGN	36465A109	5/12/2014	1.2	Elect Salvatore J. Zizza	Mgmt	For
GAMCO Natural Resources, Gold & Income Trust	GNT	36465A109	5/12/2014	1.1	Elect Mario d'Urso	Mgmt	For
GAMCO Natural Resources, Gold & Income Trust	GNT	36465A109	5/12/2014	1.2	Elect Michael J. Melarkey	Mgmt	For
GAMCO Natural Resources, Gold & Income Trust	GNT	36465A109	5/12/2014	1.3	Elect Anthonie C. van Ekris	Mgmt	For
GDL Fund	GDL	361570104	5/12/2014	1.1	Elect Clarence A. Davis	Mgmt	For
GDL Fund	GDL	361570104	5/12/2014	1.2	Elect Arthur V. Ferrara	Mgmt	For
General American Investors Company, Inc.	GAM	368802104	4/16/2014	1.1	Elect Rodney B. Berens	Mgmt	For
General American Investors Company, Inc.	GAM	368802104	4/16/2014	1.2	Elect Lewis B. Cullman	Mgmt	For
General American Investors Company, Inc.	GAM	368802104	4/16/2014	1.3	Elect Spencer Davidson	Mgmt	For
General American Investors Company, Inc.	GAM	368802104	4/16/2014	1.4	Elect Gerald M. Edelman	Mgmt	For
General American Investors	GAM	368802104	4/16/2014	1.5	Elect John D. Gordan, III	Mgmt	For

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Company, Inc.

General American Investors Company, Inc.	GAM	368802104	4/16/2014	1.6	Elect Betsy F. Gotbaum	Mgmt	For
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General American Investors Company, Inc.	GAM	368802104	4/16/2014	1.7	Elect Sidney R. Knafel	Mgmt	For
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General American Investors Company, Inc.	GAM	368802104	4/16/2014	1.8	Elect Daniel M. Neidich	Mgmt	For
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General American Investors Company, Inc.	GAM	368802104	4/16/2014	1.9	Elect Jeffrey W. Priest	Mgmt	For
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General American Investors Company, Inc.	GAM	368802104	4/16/2014	2	Ratification of Auditor	Mgmt	For
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General Dynamics Corporation	GD	369550108	5/7/2014	1	Elect Mary T. Barra	Mgmt	For
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General Dynamics Corporation	GD	369550108	5/7/2014	2	Elect Nicholas D. Chabraja	Mgmt	For
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General Dynamics Corporation	GD	369550108	5/7/2014	3	Elect James S. Crown	Mgmt	For
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General Dynamics Corporation	GD	369550108	5/7/2014	4	Elect William P. Fricks	Mgmt	For
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General Dynamics Corporation	GD	369550108	5/7/2014	5	Elect Paul G. Kaminski	Mgmt	For
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General Dynamics Corporation	GD	369550108	5/7/2014	6	Elect John M. Keane	Mgmt	For
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General Dynamics Corporation	GD	369550108	5/7/2014	7	Elect Lester L. Lyles	Mgmt	For
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	GD	369550108	5/7/2014	8		Mgmt	For
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General Dynamics Corporation					Elect James N. Mattis		
General Dynamics Corporation	GD	369550108	5/7/2014	9	Elect Phebe N. Novakovic	Mgmt	For
General Dynamics Corporation	GD	369550108	5/7/2014	10	Elect William A. Osborn	Mgmt	For
General Dynamics Corporation	GD	369550108	5/7/2014	11	Elect Laura J Schumacher	Mgmt	For
General Dynamics Corporation	GD	369550108	5/7/2014	12	Elect Sir Robert Walmsley	Mgmt	For
General Dynamics Corporation	GD	369550108	5/7/2014	13	Ratification of Auditor	Mgmt	For
General Dynamics Corporation	GD	369550108	5/7/2014	14	Advisory Vote on Executive Compensation	Mgmt	For
General Dynamics Corporation	GD	369550108	5/7/2014	15	ShrHldr Proposal Regarding Independent Board Chairman	ShrHldr	Against
General Dynamics Corporation	GD	369550108	5/7/2014	16	ShrHldr Proposal Regarding Lobbying Report	ShrHldr	Against
General Electric Company	GE	369604103	4/23/2014	1	Elect W. Geoffrey Beattie	Mgmt	For
General Electric Company	GE	369604103	4/23/2014	2	Elect John J. Brennan	Mgmt	For
General Electric Company	GE	369604103	4/23/2014	3	Elect James I. Cash, Jr.	Mgmt	For
General Electric Company	GE	369604103	4/23/2014	4	Elect Francisco D'Souza	Mgmt	For
General Electric Company	GE	369604103	4/23/2014	5	Elect Marijn E. Dekkers	Mgmt	For
General Electric Company	GE	369604103	4/23/2014	6	Elect Ann M. Fudge	Mgmt	For
General Electric Company	GE	369604103	4/23/2014	7	Elect Susan	Mgmt	For

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Company					Hockfield		
General Electric Company	GE	369604103	4/23/2014	8	Elect Jeffrey R. Immelt	Mgmt	For
General Electric Company	GE	369604103	4/23/2014	9	Elect Andrea Jung	Mgmt	For
General Electric Company	GE	369604103	4/23/2014	10	Elect Robert W. Lane	Mgmt	For
General Electric Company	GE	369604103	4/23/2014	11	Elect Rochelle B. Lazarus	Mgmt	For
General Electric Company	GE	369604103	4/23/2014	12	Elect James J. Mulva	Mgmt	For
General Electric Company	GE	369604103	4/23/2014	13	Elect James E. Rohr	Mgmt	For
General Electric Company	GE	369604103	4/23/2014	14	Elect Mary L. Schapiro	Mgmt	For
General Electric Company	GE	369604103	4/23/2014	15	Elect Robert J. Swieringa	Mgmt	For
General Electric Company	GE	369604103	4/23/2014	16	Elect James S. Tisch	Mgmt	For
General Electric Company	GE	369604103	4/23/2014	17	Elect Douglas A. Warner III	Mgmt	For
General Electric Company	GE	369604103	4/23/2014	18	Advisory Vote on Executive Compensation	Mgmt	For
General Electric Company	GE	369604103	4/23/2014	19	Ratification of Auditor	Mgmt	For
General Electric Company	GE	369604103	4/23/2014	20	ShrHldr Proposal Regarding Cumulative Voting	ShrHldr	Against
General Electric Company	GE	369604103	4/23/2014	21	ShrHldr Proposal Regarding Retention of Shares	ShrHldr	Against
General Electric Company	GE	369604103	4/23/2014	22	ShrHldr Proposal Regarding Double Board Nominees	ShrHldr	Against
General Electric Company	GE	369604103	4/23/2014	23	ShrHldr Proposal Regarding Right to Act by Written Consent	ShrHldr	Against
	GE	369604103	4/23/2014	24		ShrHldr	Against

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General Electric Company					ShrHldr Proposal Regarding Cessation of All Stock Options and Bonuses		
General Electric Company	GE	369604103	4/23/2014	25	ShrHldr Proposal Regarding Sale of Company	ShrHldr	Against
Goldman Sachs Group Inc.	GS	38141G104	5/16/2014	1	Elect Lloyd C. Blankfein	Mgmt	For
Goldman Sachs Group Inc.	GS	38141G104	5/16/2014	2	Elect M. Michele Burns	Mgmt	For
Goldman Sachs Group Inc.	GS	38141G104	5/16/2014	3	Elect Gary D. Cohn	Mgmt	For
Goldman Sachs Group Inc.	GS	38141G104	5/16/2014	4	Elect Claes Dahlbäck	Mgmt	For
Goldman Sachs Group Inc.	GS	38141G104	5/16/2014	5	Elect William W. George	Mgmt	For
Invesco Municipal Trust	VKQ	46131J103	8/2/2013	2	Elect Colin D. Meadows	Mgmt	For
John Hancock Income Securities Trust	JHS	410123103	2/18/2014	1.1	Elect Charles L. Bardelis	Mgmt	For
John Hancock Income Securities Trust	JHS	410123103	2/18/2014	1.2	Elect James R. Boyle	Mgmt	For
John Hancock Income Securities Trust	JHS	410123103	2/18/2014	1.3	Elect Craig Bromley	Mgmt	For
John Hancock Income Securities Trust	JHS	410123103	2/18/2014	1.4	Elect Peter S. Burgess	Mgmt	For
John Hancock Income Securities Trust	JHS	410123103	2/18/2014	1.5	Elect William H. Cunningham	Mgmt	For
John Hancock Income Securities Trust	JHS	410123103	2/18/2014	1.6	Elect Grace K. Fey	Mgmt	For
John Hancock Income Securities Trust	JHS	410123103	2/18/2014	1.7	Elect Theron S. Hoffman	Mgmt	For

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John Hancock Income Securities Trust	JHS	410123103	2/18/2014	1.8	Elect Deborah C. Jackson	Mgmt	For
John Hancock Income Securities Trust	JHS	410123103	2/18/2014	1.9	Elect Hassell H. McClellan	Mgmt	For
John Hancock Income Securities Trust	JHS	410123103	2/18/2014	1.10	Elect James M. Oates	Mgmt	For
John Hancock Income Securities Trust	JHS	410123103	2/18/2014	1.11	Elect Steven R. Pruchansky	Mgmt	For
John Hancock Income Securities Trust	JHS	410123103	2/18/2014	1.12	Elect Gregory A. Russo	Mgmt	For
John Hancock Income Securities Trust	JHS	410123103	2/18/2014	1.13	Elect Warren A. Thomson	Mgmt	For
John Hancock Investors Trust	JHI	410142103	2/18/2014	1.1	Elect Charles L. Bardelis	Mgmt	For
John Hancock Investors Trust	JHI	410142103	2/18/2014	1.2	Elect James R. Boyle	Mgmt	For
John Hancock Investors Trust	JHI	410142103	2/18/2014	1.3	Elect Craig Bromley	Mgmt	For
John Hancock Investors Trust	JHI	410142103	2/18/2014	1.4	Elect Peter S. Burgess	Mgmt	For
John Hancock Investors Trust	JHI	410142103	2/18/2014	1.5	Elect William H. Cunningham	Mgmt	For
John Hancock Investors Trust	JHI	410142103	2/18/2014	1.6	Elect Grace K. Fey	Mgmt	For
John Hancock Investors Trust	JHI	410142103	2/18/2014	1.7	Elect Theron S. Hoffman	Mgmt	For
John Hancock Investors Trust	JHI	410142103	2/18/2014	1.8	Elect Deborah C. Jackson	Mgmt	For
John Hancock Investors Trust	JHI	410142103	2/18/2014	1.9	Elect Hassell H. McClellan	Mgmt	For
John Hancock Investors Trust	JHI	410142103	2/18/2014	1.10	Elect James M. Oates	Mgmt	For
John Hancock Investors Trust	JHI	410142103	2/18/2014	1.11	Elect Steven R. Pruchansky	Mgmt	For

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John Hancock Investors Trust	JHI	410142103	2/18/2014	1.12	Elect Gregory A. Russo	Mgmt	For
John Hancock Investors Trust	JHI	410142103	2/18/2014	1.13	Elect Warren A. Thomson	Mgmt	For
John Hancock Premium Dividend Fund	PDT	41013T105	2/18/2014	1.1	Elect James R. Boyle	Mgmt	For
John Hancock Premium Dividend Fund	PDT	41013T105	2/18/2014	1.2	Elect William H. Cunningham	Mgmt	For
John Hancock Premium Dividend Fund	PDT	41013T105	2/18/2014	1.3	Elect Grace K. Fey	Mgmt	For
John Hancock Premium Dividend Fund	PDT	41013T105	2/18/2014	1.4	Elect Hassell H. McClellan	Mgmt	For
John Hancock Premium Dividend Fund	PDT	41013T105	2/18/2014	1.5	Elect Gregory A. Russo	Mgmt	For
John Hancock Tax- Advantaged Dividend Income	HTD	41013V100	2/18/2014	1.1	Elect James R. Boyle	Mgmt	For
John Hancock Tax- Advantaged Dividend Income	HTD	41013V100	2/18/2014	1.2	Elect William H. Cunningham	Mgmt	For
John Hancock Tax- Advantaged Dividend Income	HTD	41013V100	2/18/2014	1.3	Elect Grace K. Fey	Mgmt	For
John Hancock Tax- Advantaged Dividend Income	HTD	41013V100	2/18/2014	1.4	Elect Hassell H. McClellan	Mgmt	For
John Hancock Tax- Advantaged Dividend Income	HTD	41013V100	2/18/2014	1.5	Elect Gregory A. Russo	Mgmt	For
Johnson Controls, Inc.	JCI	478366107	1/29/2014	1.1	Elect Natalie A. Black	Mgmt	For
Johnson Controls, Inc.	JCI	478366107	1/29/2014	1.2	Elect Raymond L. Conner	Mgmt	For
Johnson Controls, Inc.	JCI	478366107	1/29/2014	1.3	Elect William H. Lacy	Mgmt	For
Johnson	JCI	478366107	1/29/2014	1.4	Elect Alex A.	Mgmt	For

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Controls, Inc.					Molinaroli		
Johnson Controls, Inc.	JCI	478366107	1/29/2014	2	Ratification of Auditor	Mgmt	For
Johnson Controls, Inc.	JCI	478366107	1/29/2014	3	Advisory Vote on Executive Compensation	Mgmt	For
JPMorgan Chase & Co.	JPM	46625H100	5/20/2014	1	Elect Linda B. Bammann	Mgmt	For
JPMorgan Chase & Co.	JPM	46625H100	5/20/2014	2	Elect James A. Bell	Mgmt	For
JPMorgan Chase & Co.	JPM	46625H100	5/20/2014	3	Elect Crandall C. Bowles	Mgmt	For
JPMorgan Chase & Co.	JPM	46625H100	5/20/2014	4	Elect Stephen B. Burke	Mgmt	For
JPMorgan Chase & Co.	JPM	46625H100	5/20/2014	5	Elect James S. Crown	Mgmt	For
JPMorgan Chase & Co.	JPM	46625H100	5/20/2014	6	Elect James Dimon	Mgmt	For
JPMorgan Chase & Co.	JPM	46625H100	5/20/2014	7	Elect Timothy P. Flynn	Mgmt	For
JPMorgan Chase & Co.	JPM	46625H100	5/20/2014	8	Elect Laban P. Jackson, Jr.	Mgmt	For
JPMorgan Chase & Co.	JPM	46625H100	5/20/2014	9	Elect Michael A. Neal	Mgmt	For
JPMorgan Chase & Co.	JPM	46625H100	5/20/2014	10	Elect Lee R. Raymond	Mgmt	For
JPMorgan Chase & Co.	JPM	46625H100	5/20/2014	11	Elect William C. Weldon	Mgmt	For
JPMorgan Chase & Co.	JPM	46625H100	5/20/2014	12	Advisory Vote on Executive Compensation	Mgmt	For
JPMorgan Chase & Co.	JPM	46625H100	5/20/2014	13	Ratification of Auditor	Mgmt	For
JPMorgan Chase & Co.	JPM	46625H100	5/20/2014	14	ShrHldr Proposal Regarding Lobbying Report	ShrHldr	Against
JPMorgan Chase & Co.	JPM	46625H100	5/20/2014	15	ShrHldr Proposal Regarding Right to Call a Special Meeting	ShrHldr	Against

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JPMorgan Chase & Co.	JPM	46625H100	5/20/2014	16	ShrHldr Proposal Regarding Cumulative Voting	ShrHldr	Against
Kellogg Company	K	487836108	4/25/2014	1.1	Elect John Bryant	Mgmt	For
Kellogg Company	K	487836108	4/25/2014	1.2	Elect Stephanie A. Burns	Mgmt	For
Kellogg Company	K	487836108	4/25/2014	1.3	Elect June Montgomery Tabron	Mgmt	For
Kellogg Company	K	487836108	4/25/2014	1.4	Elect Rogelio Rebolledo	Mgmt	For
Kellogg Company	K	487836108	4/25/2014	2	Advisory Vote on Executive Compensation	Mgmt	For
Kellogg Company	K	487836108	4/25/2014	3	Repeal of Classified Board	Mgmt	For
Kellogg Company	K	487836108	4/25/2014	4	Ratification of Auditor	Mgmt	For
Kellogg Company	K	487836108	4/25/2014	5	ShrHldr Proposal Regarding Human Rights Report	ShrHldr	Against
Kellogg Company	K	487836108	4/25/2014	6	ShrHldr Proposal Regarding Simple Majority Vote	ShrHldr	Against
Korea Equity Fund Inc	KEF	50063B104	8/15/2013	1.1	Elect E. Han Kim	Mgmt	For
Korea Equity Fund Inc	KEF	50063B104	8/15/2013	1.2	Elect Marcia L. MacHarg	Mgmt	For
Kroger Co.	KR	501044101	6/26/2014	1	Elect Reuben V. Anderson	Mgmt	For
Kroger Co.	KR	501044101	6/26/2014	2	Elect Robert D. Beyer	Mgmt	For
Kroger Co.	KR	501044101	6/26/2014	3	Elect David B. Dillon	Mgmt	For
Kroger Co.	KR	501044101	6/26/2014	4	Elect Susan J. Kropf	Mgmt	For
Kroger Co.	KR	501044101	6/26/2014	5	Elect David B. Lewis	Mgmt	For
Kroger Co.	KR	501044101	6/26/2014	6		Mgmt	For

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					Elect W. Rodney McMullen		
Kroger Co.	KR	501044101	6/26/2014	7	Elect Jorge P. Montoya	Mgmt	For
Kroger Co.	KR	501044101	6/26/2014	8	Elect Clyde R. Moore	Mgmt	For
Kroger Co.	KR	501044101	6/26/2014	9	Elect Susan M. Phillips	Mgmt	For
Kroger Co.	KR	501044101	6/26/2014	10	Elect Steven R. Rogel	Mgmt	For
Kroger Co.	KR	501044101	6/26/2014	11	Elect James A. Runde	Mgmt	For
Kroger Co.	KR	501044101	6/26/2014	12	Elect Ronald L. Sargent	Mgmt	For
Kroger Co.	KR	501044101	6/26/2014	13	Elect Bobby S. Shackouls	Mgmt	For
Kroger Co.	KR	501044101	6/26/2014	14	2014 Long-Term Incentive and Cash Bonus Plan	Mgmt	For
Kroger Co.	KR	501044101	6/26/2014	15	Advisory Vote on Executive Compensation	Mgmt	For
Kroger Co.	KR	501044101	6/26/2014	16	Ratification of Auditor	Mgmt	For
Kroger Co.	KR	501044101	6/26/2014	17	ShrHldr Proposal Regarding Human Rights Report	ShrHldr	Against
Kroger Co.	KR	501044101	6/26/2014	18	ShrHldr Proposal Regarding Extended Producer Responsibility	ShrHldr	Against
Liberty All-Star Equity Fund	USA	530158104	8/29/2013	1.1	Elect Richard W. Lowry	Mgmt	For
Liberty All-Star Equity Fund	USA	530158104	8/29/2013	1.2	Elect John J. Neuhauser	Mgmt	For
Liberty All-Star Equity Fund	USA	530158104	8/29/2013	1.3	Elect Richard C. Rantzow	Mgmt	For
Eli Lilly and Company	LLY	532457108	5/5/2014	1	Elect Michael L. Eskew	Mgmt	For
	LLY	532457108	5/5/2014	2		Mgmt	For

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Eli Lilly and Company					Elect Karen N. Horn		
Eli Lilly and Company	LLY	532457108	5/5/2014	3	Elect William Kaelin	Mgmt	For
Microsoft Corporation	MSFT	594918104	11/19/2013	5	Elect Stephen J. Luczo	Mgmt	For
Microsoft Corporation	MSFT	594918104	11/19/2013	6	Elect David F. Marquardt	Mgmt	For
Microsoft Corporation	MSFT	594918104	11/19/2013	7	Elect Charles H. Noski	Mgmt	For
Microsoft Corporation	MSFT	594918104	11/19/2013	8	Elect Helmut G. W. Panke	Mgmt	For
Microsoft Corporation	MSFT	594918104	11/19/2013	9	Elect John W. Thompson	Mgmt	For
Microsoft Corporation	MSFT	594918104	11/19/2013	10	Approval of the Material Terms of the Executive Officer Incentive Plan	Mgmt	For
Microsoft Corporation	MSFT	594918104	11/19/2013	11	ADVISORY VOTE ON EXECUTIVE COMPENSATION	Mgmt	For
Microsoft Corporation	MSFT	594918104	11/19/2013	12	Ratification of Auditor	Mgmt	For
Neuberger Berman High Yield Strategies Fund	NHS	64128C106	8/22/2013	1.1	Elect Jack L. Rivkin	Mgmt	For
Neuberger Berman High Yield Strategies Fund	NHS	64128C106	8/22/2013	1.2	Elect Tom D. Seip	Mgmt	For
Neuberger Berman Real Estate Securities Income Fund Inc	NRO	64190A103	8/22/2013	1.1	Elect Jack L. Rivkin	Mgmt	For
Neuberger Berman Real Estate Securities Income Fund Inc	NRO	64190A103	8/22/2013	1.2	Elect Tom D. Seip	Mgmt	For
The New Ireland Fund, Inc.	IRL	645673104	6/10/2014	1.1	Elect Margaret Duffy	Mgmt	For

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The New Ireland Fund, Inc.	IRL	645673104	6/10/2014	1.2	Elect Sean Hawkshaw	Mgmt	For
Nuveen AMT-Free Municipal Income Fund	NEA	670657105	8/7/2013	1.1	Elect Judith M. Stockdale	Mgmt	For
Nuveen AMT-Free Municipal Income Fund	NEA	670657105	8/7/2013	1.2	Elect Carole E. Stone	Mgmt	For
Nuveen AMT-Free Municipal Income Fund	NEA	670657105	8/7/2013	1.3	Elect Virginia L. Stringer	Mgmt	For
Nuveen Build America Bond Fund	NBB	67074C103	8/7/2013	1.1	Elect William C. Hunter	Mgmt	For
Nuveen Build America Bond Fund	NBB	67074C103	8/7/2013	1.2	Elect Judith M. Stockdale	Mgmt	For
Nuveen Build America Bond Fund	NBB	67074C103	8/7/2013	1.3	Elect Carole E. Stone	Mgmt	For
Nuveen Build America Bond Fund	NBB	67074C103	8/7/2013	1.4	Elect Virginia L. Stringer	Mgmt	For
Nuveen Dividend Advantage Municipal Fund	NXZ	67070F100	8/7/2013	1.1	Elect Judith M. Stockdale	Mgmt	For
Nuveen Dividend Advantage Municipal Fund	NXZ	67070F100	8/7/2013	1.2	Elect Carole E. Stone	Mgmt	For
Nuveen Dividend Advantage Municipal Fund	NXZ	67070F100	8/7/2013	1.3	Elect Virginia L. Stringer	Mgmt	For
Nuveen Dividend Advantage Municipal Fund	NZF	67070F100	8/7/2013	1.1	Elect Judith M. Stockdale	Mgmt	For
Nuveen Dividend Advantage Municipal Fund	NZF	67070F100	8/7/2013	1.2	Elect Carole E. Stone	Mgmt	For
Nuveen Dividend Advantage Municipal Fund	NZF	67070F100	8/7/2013	1.3	Elect Virginia L. Stringer	Mgmt	For

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Municipal Fund

Nuveen Dividend Advantage Municipal Income Fund	NVG	67071L106	8/7/2013	1.1	Elect Judith M. Stockdale	Mgmt	For
Nuveen Dividend Advantage Municipal Income Fund	NVG	67071L106	8/7/2013	1.2	Elect Carole E. Stone	Mgmt	For
Nuveen Dividend Advantage Municipal Income Fund	NVG	67071L106	8/7/2013	1.3	Elect Virginia L. Stringer	Mgmt	For
Nuveen Municipal Advantage Fund	NMA	67062H106	8/7/2013	1.1	Elect John P. Amboian	Mgmt	For
Nuveen Municipal Advantage Fund	NMA	67062H106	8/7/2013	1.2	Elect Robert P. Bremner	Mgmt	For
Nuveen Municipal Advantage Fund	NMA	67062H106	8/7/2013	1.3	Elect Jack B. Evans	Mgmt	For
Nuveen Municipal Advantage Fund	NMA	67062H106	8/7/2013	1.4	Elect David J. Kundert	Mgmt	For
Nuveen Municipal Advantage Fund	NMA	67062H106	8/7/2013	1.5	Elect Judith M. Stockdale	Mgmt	For
Nuveen Municipal Advantage Fund	NMA	67062H106	8/7/2013	1.6	Elect Carole E. Stone	Mgmt	For
Nuveen Municipal Advantage Fund	NMA	67062H106	8/7/2013	1.7	Elect Virginia L. Stringer	Mgmt	For
Nuveen Municipal Advantage Fund	NMA	67062H106	8/7/2013	1.8	Elect Terence J. Toth	Mgmt	For
Nuveen Municipal Market Opportunity Fund	NMO	67062W103	8/7/2013	1.1	Elect John P. Amboian	Mgmt	For
Nuveen Municipal	NMO	67062W103	8/7/2013	1.2	Elect Robert P. Bremner	Mgmt	For

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Market
Opportunity Fund

Nuveen NMO 67062W103 8/7/2013 1.3 Elect Jack B. Evans Mgmt For

Municipal
Market
Opportunity Fund

Nuveen NMO 67062W103 8/7/2013 1.4 Elect David J. Kundert Mgmt For

Municipal
Market
Opportunity Fund

Nuveen NMO 67062W103 8/7/2013 1.5 Elect Judith M. Stockdale Mgmt For

Municipal
Market
Opportunity Fund

Nuveen NMO 67062W103 8/7/2013 1.6 Elect Carole E. Stone Mgmt For

Municipal
Market
Opportunity Fund

Nuveen NMO 67062W103 8/7/2013 1.7 Elect Virginia L. Stringer Mgmt For

Municipal
Market
Opportunity Fund

Nuveen NMO 67062W103 8/7/2013 1.8 Elect Terence J. Toth Mgmt For

Municipal
Market
Opportunity Fund

Nuveen NPP 67062P108 8/7/2013 1.1 Elect John P. Amboian Mgmt For

Performance Plus
Municipal Fund

Nuveen NPP 67062P108 8/7/2013 1.2 Elect Robert P. Bremner Mgmt For

Performance Plus
Municipal Fund

Nuveen NPP 67062P108 8/7/2013 1.3 Elect Jack B. Evans Mgmt For

Performance Plus
Municipal Fund

Nuveen NPP 67062P108 8/7/2013 1.4 Elect David J. Kundert Mgmt For

Performance Plus
Municipal Fund

Nuveen NPP 67062P108 8/7/2013 1.5 Elect Judith M. Stockdale Mgmt For

Performance Plus
Municipal Fund

Nuveen NPP 67062P108 8/7/2013 1.6 Elect Carole E. Stone Mgmt For

Performance Plus
Municipal Fund

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Municipal Fund

Nuveen Performance Plus Municipal Fund	NPP	67062P108	8/7/2013	1.7	Elect Virginia L. Stringer	Mgmt	For
Nuveen Performance Plus Municipal Fund	NPP	67062P108	8/7/2013	1.8	Elect Terence J. Toth	Mgmt	For
Nuveen Quality Income Municipal Fund	NPF	670977107	8/7/2013	1.1	Elect John P. Amboian	Mgmt	For
Nuveen Quality Income Municipal Fund	NPF	670977107	8/7/2013	1.2	Elect Robert P. Bremner	Mgmt	For
Nuveen Quality Income Municipal Fund	NPF	670977107	8/7/2013	1.3	Elect Jack B. Evans	Mgmt	For
Nuveen Quality Income Municipal Fund	NPF	670977107	8/7/2013	1.4	Elect David J. Kundert	Mgmt	For
Nuveen Quality Income Municipal Fund	NPF	670977107	8/7/2013	1.5	Elect Judith M. Stockdale	Mgmt	For
Nuveen Quality Income Municipal Fund	NPF	670977107	8/7/2013	1.6	Elect Carole E. Stone	Mgmt	For
Nuveen Quality Income Municipal Fund	NPF	670977107	8/7/2013	1.7	Elect Virginia L. Stringer	Mgmt	For
Nuveen Quality Income Municipal Fund	NPF	670977107	8/7/2013	1.8	Elect Terence J. Toth	Mgmt	For
Nuveen Quality Preferred Income Fund	JTP	67072C105	4/11/2014	1.1	Elect William Adams, IV	Mgmt	For
Nuveen Quality Preferred Income Fund	JTP	67072C105	4/11/2014	1.2	Elect David J. Kundert	Mgmt	For
Nuveen Quality Preferred Income Fund	JTP	67072C105	4/11/2014	1.3	Elect John K. Nelson	Mgmt	For
Nuveen Quality Preferred Income	JTP	67072C105	4/11/2014	1.4	Elect Terence J. Toth	Mgmt	For

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Fund							
Nuveen Quality Preferred Income Fund	JPS	67072C105	4/11/2014	1.1	Elect William Adams, IV	Mgmt	For
Nuveen Quality Preferred Income Fund	JPS	67072C105	4/11/2014	1.2	Elect David J. Kundert	Mgmt	For
Nuveen Quality Preferred Income Fund	JPS	67072C105	4/11/2014	1.3	Elect John K. Nelson	Mgmt	For
Nuveen Quality Preferred Income Fund	JPS	67072C105	4/11/2014	1.4	Elect Terence J. Toth	Mgmt	For
Nuveen Quality Preferred Income Fund 3	JHP	67072W101	4/11/2014	1.1	Elect William Adams, IV	Mgmt	For
Nuveen Quality Preferred Income Fund 3	JHP	67072W101	4/11/2014	1.2	Elect David J. Kundert	Mgmt	For
Nuveen Quality Preferred Income Fund 3	JHP	67072W101	4/11/2014	1.3	Elect John K. Nelson	Mgmt	For
Nuveen Quality Preferred Income Fund 3	JHP	67072W101	4/11/2014	1.4	Elect Terence J. Toth	Mgmt	For
Nuveen Select Quality Municipal Fund	NQS	670973106	8/7/2013	1.1	Elect John P. Amboian	Mgmt	For
Nuveen Select Quality Municipal Fund	NQS	670973106	8/7/2013	1.2	Elect Robert P. Bremner	Mgmt	For
Nuveen Select Quality Municipal Fund	NQS	670973106	8/7/2013	1.3	Elect Jack B. Evans	Mgmt	For
Nuveen Select Quality Municipal Fund	NQS	670973106	8/7/2013	1.4	Elect David J. Kundert	Mgmt	For
Nuveen Select Quality Municipal Fund	NQS	670973106	8/7/2013	1.5	Elect Judith M. Stockdale	Mgmt	For
Nuveen Select Quality	NQS	670973106	8/7/2013	1.6	Elect Carole E. Stone	Mgmt	For

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Municipal Fund

Nuveen Select Quality Municipal Fund	NQS	670973106	8/7/2013	1.7	Elect Virginia L. Stringer	Mgmt	For
Nuveen Select Quality Municipal Fund	NQS	670973106	8/7/2013	1.8	Elect Terence J. Toth	Mgmt	For
Nuveen Tax-Advantaged Dividend Growth Fund	NBD	67074Q102	8/7/2013	1.1	Elect William C. Hunter	Mgmt	For
Nuveen Tax-Advantaged Dividend Growth Fund	NBD	67074Q102	8/7/2013	1.2	Elect Judith M. Stockdale	Mgmt	For
State Street Corporation	STT	857477103	5/14/2014	14	Ratification of Auditor	Mgmt	For
The Swiss Helvetia Fund, Inc.	SWZ	870875101	6/27/2014	1.1	Elect Richard Brealey	Mgmt	For
The Swiss Helvetia Fund, Inc.	SWZ	870875101	6/27/2014	1.2	Elect Claus Helbig	Mgmt	For
The Swiss Helvetia Fund, Inc.	SWZ	870875101	6/27/2014	1.3	Elect Samuel B. Witt, III	Mgmt	For
The Swiss Helvetia Fund, Inc.	SWZ	870875101	6/27/2014	2	Approval of a New Investment Advisory Agreement	Mgmt	For
The Swiss Helvetia Fund, Inc.	SWZ	870875101	6/27/2014	3	Approval of a New Investment Sub-Advisory Agreement	Mgmt	For
The Taiwan Fund, Inc.	TWN	874036106	4/22/2014	1.1	Elect Joe O. Rogers	Mgmt	For
The Taiwan Fund, Inc.	TWN	874036106	4/22/2014	1.2	Elect M. Christopher Canavan, Jr.	Mgmt	For
The Taiwan Fund, Inc.	TWN	874036106	4/22/2014	1.3	Elect Michael F. Holland	Mgmt	For
	TWN	874036106	4/22/2014	1.4		Mgmt	For

The Taiwan
Fund, Inc.

Elect William C.
Kirby

The Taiwan TWN
Fund, Inc.